

A General Method for Solvent Exchange of Plasmonic Nanoparticles and Self-Assembly into SERS-Active Monolayers

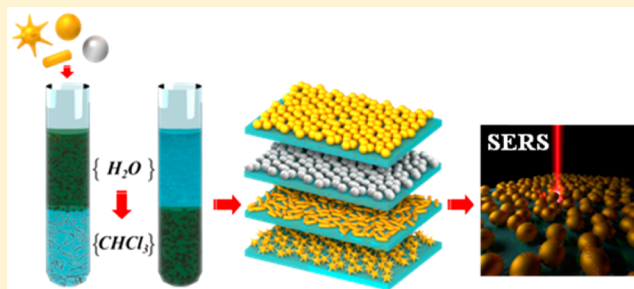
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Supporting Information

ABSTRACT: We present a general route for the transfer of Au and Ag nanoparticles of different shapes and sizes, from water into various organic solvents. The experimental conditions for each type of nanoparticles were optimized by using a combination of thiolated poly(ethylene glycol) and a hydrophobic capping agent, such as dodecanethiol. The functionalized nanoparticles were readily transferred into organic dispersions with long-term stability (months). Such organic dispersions efficiently spread out on water, leading to self-assembly at the air/liquid interface into extended nanoparticle arrays which could in turn be transferred onto solid substrates. The dense close packing in the obtained nanoparticle monolayers results in extensive plasmon coupling, rendering them efficient substrates for surface-enhanced Raman scattering spectroscopy.



INTRODUCTION

Plasmonic nanoparticles are key building blocks in nanotechnology, with unique features that allow exploitation of light–matter interactions across different fields.^{1–4} Since the optical properties of plasmonic nanomaterials are directly related to their morphology, a wide range of methods have been developed for the synthesis of (mainly) water-based dispersions of noble metal nanoparticles (NPs) with well-defined morphologies and uniform sizes.^{5,6} Upon the assembly of suitable building blocks, nanostructures with tailored plasmonic properties can be obtained.⁷ Anisotropic nanoparticles are of particular interest because their plasmonic response can be tuned into the near-IR (NIR), nanostars and nanorods being prominent examples of plasmonic performance.^{8,9} Although the preparation of anisotropic nanoparticles with accurate size and shape control is usually carried out in water, processing them into self-assembled nanostructures can often be improved if the nanoparticles are dispersed in organic solvents.^{10,11} Therefore, phase transfer of nanoparticles from aqueous to organic media has become an important strategy for different applications.¹² Previously reported methods were strongly limited to rather small particle dimensions (<25 nm),^{13–15} so additional efforts are required for larger and/or anisotropic nanoparticles. Aklamines were used to transfer Au and Ag nanoparticles with sizes up to 100 nm, octadecylamine being the most efficient at successful phase transfer.¹⁶ Tailor-made ligands such as bidentated thiols¹⁷ have also been designed to achieve this goal, as well as polymers including thiolated polystyrene,¹⁸ but the large amount of polymer required significantly hinders further application of the

nanoparticles in plasmonics. PEGylation has also been employed to transfer large gold nanoparticles and nanorods, but either a common solvent¹⁹ or mechanical forces²⁰ were needed to obtain a successful phase transfer. A method that combines thiolated poly(ethylene glycol) (PEG-SH) and dodecylamine has been recently reported²¹ for the transfer of spherical gold nanoparticles up to 60 nm, CTAB-capped gold nanorods, and silver nanoprims. The hydrophobic nanoparticles obtained could be subsequently coated with an amphiphilic polymer, but this phase transfer process has been reported to be rather time-consuming.

We propose herein a simple and rapid procedure based on a combination of commercially available PEG-SH and 1-dodecanethiol (DDT), as a general method to transfer a wide variety of gold and silver nanoparticles of different sizes (up to 200 nm) and shapes (spheres, nanorods, nanostars) from aqueous dispersion into chloroform. Because of the strong affinity of the thiol group to the metal particle surface, complete phase transfer can be achieved in a very short time, and particles with long-term stability are readily obtained. Although the combination of PEG-SH and DDT leads to efficient nanoparticle phase transfer, when DDT alone was used aggregation occurred. This suggests that addition of PEG-SH is a critical first step to prevent aggregation of large NPs during phase transfer, in agreement with previous reports.^{21,22} DDT was selected as an efficient stabilizer in nonpolar solvents

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because the long aliphatic chain provides hydrophobic interactions between particles (steric repulsion). Notwithstanding, complete phase transfer can also be achieved by means of other hydrophobic thiols that are soluble in chloroform, leading to dispersions that remain unaltered for several months and which can be dried and redispersed in different solvents. Additionally, hydrophobic coatings are known to facilitate self-assembly of metal nanoparticles. We exploited this property to effectively assemble plasmonic nanoparticles with various shapes into extended compact monolayers at the liquid–air interface and subsequently transfer them onto solid substrates that can be directly applied as plasmonic substrates for optical sensing applications. In particular, the obtained monolayers were used as surface-enhanced Raman scattering (SERS) substrates with great potential toward ultrasensitive (and selective) molecular detection.^{23–29} These novel substrates offer advantages such as high reproducibility, robustness, and reliable signal generation. Because different particle sizes, shapes and materials can be used, this versatile method for phase transfer allows the design of substrates that can be tailored for specific applications.

MATERIALS AND METHODS

Materials. Milli-Q water (resistivity 18.2 MΩ·cm) was used in all experiments. Hydrogen tetrachloroaurate trihydrate (HAuCl₄·3H₂O, ≥99.9%), sodium citrate tribasic dihydrate (≥98%), hexadecyltrimethylammonium bromide (CTAB, ≥99%), 5-bromosalicylic acid (90%), silver nitrate (AgNO₃, ≥99%), L-ascorbic acid (AA, ≥99%), tannic acid, 1-dodecanethiol (DDT, ≥98%), O-[2-(3-mercaptopropionylamino)ethyl]-O'-methylpoly(ethylene glycol) (PEG-SH, MW 5000 g/mol), 4-mercaptobenzoic acid (4-MBA, 90%), poly(isobutylene-alt-maleic anhydride) (average *M_w* ~ 6000 g/mol), dodecylamine (98%), and chloroform (CHCl₃, ≥99.8%) were purchased from Sigma-Aldrich. Hydrochloric acid solution (37%) was purchased from Panreac. All glassware was washed with aqua regia, rinsed 3-fold with Milli-Q water, and dried before use.

Synthesis of Nanoparticles. Gold nanoparticle seed solution (Au seed) comprised an aqueous colloid (average diameter 13 ± 3 nm) that was prepared by adding 5 mL of 1 wt % sodium citrate solution to 95 mL of boiling 0.5 mM HAuCl₄ under vigorous stirring. After 15 min of boiling, the solution was cooled down to room temperature and then stored at 4 °C for long-term storage. Spherical gold nanoparticles (AuNPs) with average diameters of 30 ± 4 nm (Au 30), 50 ± 5 nm (Au 50), and 100 ± 10 nm (Au 100) were synthesized according to a reported seeded growth method.³⁰ As-synthesized AuNPs featured LSPR bands centered at 521, 530, and 555 nm, respectively, and they were used without further purification. AuNPs of 204 ± 8 nm with LSPR peaks at 567 nm (quadrupole) and ~796 nm (dipole) were purchased from BBI solutions and washed using several centrifugation steps (870 g; 10 min) before use.

Gold nanostars (AuNSs) of different sizes were synthesized by a modified seed-mediated growth method.³¹ Different amounts of citrate-stabilized gold nanoparticle seed solution were added to 10 mL of 0.25 mM HAuCl₄ containing 10 μL of 1.0 M HCl in a 20 mL glass vial at room temperature under moderate stirring. Quickly, 100 μL of AgNO₃ (3 mM) and 50 μL of ascorbic acid (100 mM) were simultaneously added to the above solution, which rapidly turned from light red to blue or greenish-black, depending on the added amount of Au⁰. Specifically, 1 mL, 500 μL, and 100 μL of gold nanoparticle seeds were added to obtain small (sAuNS), middle (mAuNS), and big gold nanostars (bAuNS) with LSPR bands at 725, 770, and 884 nm and core/overall sizes of 23 ± 2 nm/34 ± 4 nm, 35 ± 3 nm/63 ± 7 nm, and 56 ± 4 nm/98 ± 7 nm, respectively. Immediately after growth, the solutions were stirred with PEG-SH (see PEG-SH concentrations in Table 1) for 15 min, washed by centrifugation at 1190g, 25 min, 10 °C, and redispersed in water.

Table 1. Phase Transfer Conditions

nanoparticle	[NP]/M	PEG-SH molecules per nm ²	molecules of DDT per nm ²	centrifugation after phase transfer
Au seeds	4.8 × 10 ⁻⁹	0.8	148	3900g; 30 min; 20 °C
Au 30	9.5 × 10 ⁻¹⁰	0.6	150	2430g; 15 min; 20 °C
Au 50	2.0 × 10 ⁻¹⁰	0.6	155	1550g; 15 min; 20 °C
Au 100	2.0 × 10 ⁻¹¹	0.6	165	600g; 15 min; 20 °C
Au 200	6.2 × 10 ⁻¹³	1.4	125	470g; 10 min; 20 °C
Ag 30	7.8 × 10 ⁻¹¹	0.9	113	1550g; 15 min; 20 °C
Ag 50	2.5 × 10 ⁻¹¹	1.0	130	1190g; 15 min; 20 °C
Ag 100	3.9 × 10 ⁻¹¹	2.1	204	870g; 15 min; 20 °C
AuNRs	3.3 × 10 ⁻¹⁰	1.4	133	2430g; 15 min; 20 °C
sAuNSs ^a	3.5 × 10 ⁻¹⁰	1.4	155	1730g; 25 min; 10 °C
mAuNSs ^a	6.5 × 10 ⁻¹¹	1.4	155	1320g; 25 min; 10 °C
bAuNSs ^a	8 × 10 ⁻¹²	1.4	155	970g; 25 min; 10 °C

^aThe diameter of small, middle, and big AuNSs was estimated considering the diameter of a sphere of 30, 50, and 100 nm, respectively.

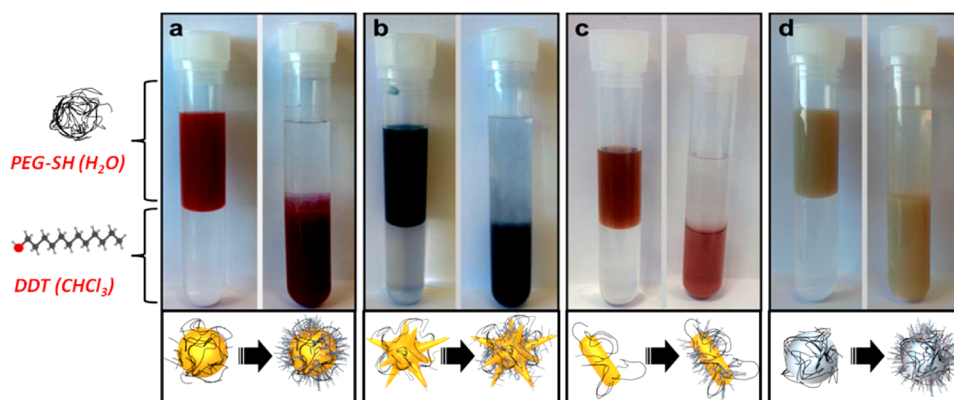


Figure 1. Phase transfer of PEGylated Au 50 (a), mAuNSs (b), AuNRs (c), and Ag 100 (d) from water (upper phase) into a solution of DDT in chloroform (lower phase). Left and right tubes show the same samples before and after phase transfer. The time lapse between both pictures was 1 hour in all cases.

Single-crystalline gold nanorods (AuNRs) (61 ± 5 nm length; 15 ± 2 nm width) were synthesized through a seed-mediated method involving the prereduction of HAuCl_4 with salicylic acid.^{32,33} Nanorods with an absorbance maximum at 735 nm were obtained within 4 h after seed addition. The AuNRs were then washed by centrifugation (4760g, 40 min, 29°C), and the pellet was redispersed in 0.05 M CTAB to remove excess reactants.

Silver nanoparticles (AgNPs) with average diameters of 28 ± 6 nm (Ag 30), 51 ± 8 nm (Ag 50), and 94 ± 12 nm (Ag 100) were synthesized following a published procedure.³⁴ The prepared AgNPs displayed LSPR bands centered at 420, 439, and 487 nm. The nanoparticle solutions were centrifuged after synthesis (7870g, 20°C , 40 min for Ag 30 and Ag 50, 20 min for Ag 100) to remove the excess of tannic acid used in the synthesis.

Phase Transfer. Gold and silver nanoparticles of different shapes and sizes were transferred from water to chloroform. The aqueous nanoparticle colloids were first stabilized with a suitable amount of PEG-SH, calculated to be between 0.6 and 2.1 molecules per nm^2 depending of each particle type (Table 1). AuNPs and AuNSs were PEGylated directly without purification from the synthesis, whereas AuNRs and AgNPs were washed twice by centrifugation (4760g, 40 min, 29°C) and redispersed in water to remove excess of CTAB. Subsequently, a DDT solution (estimated to provide between 113 and 204 molecules per nm^2 of NP surface) in CHCl_3 was added to the aqueous phase containing the NPs. Phase transfer occurred after vigorous stirring for periods between 15 min and 1 h for different nanoparticles. The transfer was assisted by addition of 20–40 μL of concentrated HCl to 5 mL of NPs solution (see Table 1) for AuNPs, AuNRs, and AgNPs.¹⁷ Upon transfer to CHCl_3 , the organosols were purified by centrifugation and washing to remove free DDT. Precipitation was facilitated by adding ethanol to the chloroform solution (1:5), and centrifugation conditions were optimized for each particle type (Table 1). For stability studies and further use, all samples were stored at 4°C .

Self-Assembly at the Air/Liquid Interface and Fabrication of Plasmonic Substrates. The dispersions of plasmonic nanoparticles in chloroform were dried under a gentle flow of dry nitrogen. The dry nanoparticles were then redispersed in an ethanol:hexane solvent mixture at 1:4 volume ratio to facilitate monolayer formation at the air/water interface. Ethanol was added first, immediately followed by hexane. The resulting dispersions were immersed in an ultrasonic bath for 5 s to ensure the absence of aggregates prior to spreading at the air/water interface. Plastic Petri dishes with a diameter of ca. 3 cm were used as water containers. For self-assembly of the nanoparticles at the air/water interface, the nanoparticle dispersions were gently spread dropwise on top of the surface of pure water. Upon evaporation of the organic solvents, the water surface was covered by a monolayer of nanoparticles. In each case, the concentration of the dispersion was adjusted to obtain complete coverage of the water surface with a single monolayer of the nanoparticles. The monolayer was then transferred

onto a substrate by gently touching the monolayer with the substrate parallel to the surface, which is known as “horizontal lifting” or the “Langmuir–Schaeffer” technique. Transfer onto transmission electron microscopy (TEM) grids was performed to acquire TEM images; transfer onto glass substrates was performed for UV–vis spectroscopy and SERS. Glass slides (24×24 mm² Menzel–Gläser, Thermo Scientific, Germany) were thoroughly cleaned by sonication in water with soap, ethanol, and acetone, 15 min for each solvent. After this cleaning procedure, the glass slides were thoroughly rinsed with Milli-Q ultrapure water and gently blow-dried with a stream of N_2 gas. Substrates were stored in sealed Petri dishes.

Sample Preparation for SERS. Prior to using self-assembled monolayers for SERS experiments, the organic ligands were removed from the nanoparticle surface by UV/ozone cleaning (UV/ozone ProCleaner, BioforceNanoscience) for 1 h. The substrates were cut into equal pieces with a surface area of approximately 5×2.5 mm² and immediately incubated in 300 μL of a 10 μM 4-MBA aqueous solution for 1 h, freshly prepared from a 1 mM stock in ethanol. The samples were then extensively washed with Milli-Q water to remove unbound 4-MBA molecules and dried under moderate nitrogen flow; SERS spectra were collected, typically within 1–5 h. In particular, AgNP assemblies were measured immediately after drying to avoid oxidation.

Polymer Coating. For the polymer coating, 2.5 mL of mAuNS solution ($[\text{NP}] \sim 1.5 \times 10^{-10}$ M) in CHCl_3 was mixed with the prepared amphiphilic polymer (PMA) dissolved in CHCl_3 ($V_p = 10$ μL , $c_p = 0.05$ M, $R_{p/\text{area}} = 150$ nm^{−2}) in a 25 mL round-bottom flask. The mixture was stirred, and the solvent was slowly evaporated. The resulting solid film containing the NPs was dissolved in 28 mM sodium borate buffer at pH = 12 (SBB 12). After polymer coating the particles were purified, concentrated, and characterized by different techniques.

Characterization. TEM images were collected with a JEOL JEM-1400PLUS transmission electron microscope operating at 120 kV, using carbon-coated 400 square mesh copper grids. Scanning electron microscopy (SEM) images were obtained using an ESEM Quanta250 FEG (FEI, The Netherlands). UV–vis optical extinction spectra were recorded using an Agilent 8453 UV–vis diode-array spectrophotometer and an Agilent Cary 5000 UV–vis–NIR spectrophotometer. ¹H NMR spectra were acquired using a Bruker 500 MHz spectrometer (see Supporting Information for details). SERS experiments were performed with a confocal Raman microscope (Renishaw InVia) equipped with a motorized scan stage, two Peltier-cooled CCD detectors, and three excitation lasers of 532, 633, and 785 nm (maximum output powers of 41, 13, and 157 mW, respectively). The laser beam was focused onto the solid sample surface under ambient air conditions through a 100 $\times$ objective with a numerical aperture of 0.85 and set to effective irradiation powers of 120 μW (at 532 nm), 100 μW (at 633 nm), and 180 μW (at 785 nm) as measured by a photodiode power sensor (PD300-3W, Ophir) or through an immersion 40 $\times$ objective with NA of 0.8 and set effective to powers

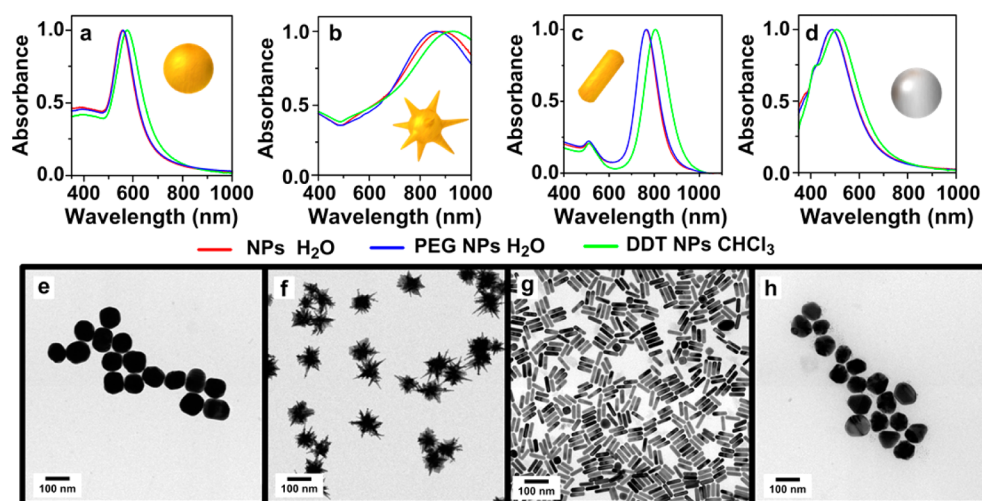


Figure 2. Vis–NIR spectra of NPs in water (red lines), after adding PEG-SH in water (blue lines) and after coating with PEG/DDT and transfer into CHCl_3 (green lines). TEM images for Au 100 (a, e), bAuNSs (b, f), AuNRs (c, g), and Ag 100 (d, h).

of 250 μW (at 532 nm), 110 μW (at 633 nm), and 1200 μW (at 785 nm) when working in solution. The scattered light was collected with an integration time of 1 s. All SERS spectra presented here were averaged over 100 single spectra measured at different points on the substrate. Typically, a $20 \times 20 \mu\text{m}^2$ area was chosen, and single spectra were collected with a point distance of 2 μm in x - and y -direction (10×10 spectra). The averaged spectra were baseline corrected using the Wire 3.4 software. Two-dimensional SERS maps were generated by plotting the baseline corrected intensity of the 4-MBA ring stretch mode at 1078 cm^{-1} as a function of the position on the defined grid.

RESULTS AND DISCUSSION

Complete transfer of Au and Ag nanoparticles of different shapes and sizes was achieved using DDT as hydrophobic capping agent, with PEG-SH as prestabilizer (see [Materials and Methods](#) section for details). In order to confirm the presence of both ligands on the particle surface upon transfer into chloroform, NMR analysis was carried out ([Figure S1A,B](#)). Comparison of the ^1H NMR spectra of the functionalized nanoparticles with those of solutions of both ligands confirms that the ligands are attached to the nanoparticles, as indicated by the observed chemical shifts and signal broadening^{35,36} and the perfect fit in the assignment of the number of protons for DDT. Importantly, the peaks for functional groups located near the metal are not observed, confirming the absence of free ligands. Analysis of the NMR spectra indicated a small decrease in the PEG/DDT ratio upon phase transfer and washing (see complete discussion in the [Supporting Information](#)). Successful phase transfer was additionally indicated by the concomitant transfer of red, blue, and yellow colors for AuNPs (and NRs), AuNSs, and AgNPs, respectively, from water to chloroform ([Figure 1](#)).

On the other hand, both the red-shift of the LSPR band without broadening and the well-separated particles found in TEM images confirmed the absence of aggregation as well as the preservation of particle size and shape upon phase transfer ([Figure 2](#) and [Figures S2–S4](#)). The strong affinity between the thiol group and the metallic surfaces was essential to ensure rapid phase transfer, so that all the nanoparticles were transferred from water into chloroform within less than 1 h, just 15 min in the case of AuNSs. Prestabilization with a small amount of PEG-SH was needed in all cases to avoid aggregation and facilitate the transfer of single (nonaggregated)

particles. Nevertheless, DDT, which provides hydrophobicity to the particles, was added in excess to guarantee the maximum surface coating and therefore the complete phase transfer to organic media. Besides, addition of HCl further facilitated the process, in agreement with previous reports.^{17,37}

The obtained hydrophobic nanoparticles displayed a remarkable colloidal stability in chloroform, which was monitored by recording the corresponding vis–NIR spectra for 2 months. As shown in [Figure 3](#) and [Figures S2–S4](#) no trace

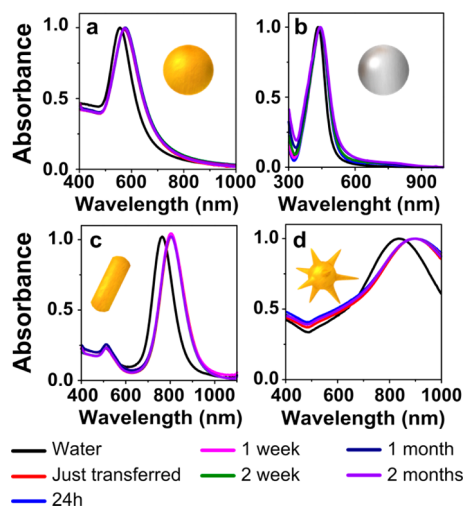


Figure 3. Normalized vis–NIR spectra in water (black lines) and in chloroform (colored lines), after selected periods of time as labeled, for Au 100 (a), Ag 50 (b), AuNRs (c), and bAuNSs (d).

of aggregation or sedimentation of the particles could be observed, either in the first step (prestabilization with PEG-SH) or in the second one (transfer into chloroform via DDT adsorption). Note that the stability of AgNPs is comparable to that of AuNPs while in solution, but after drying on solid substrates oxidation of AgNPs was found to occur, as indicated by morphological changes ([Figure S5](#)). Regarding the stability of AuNSs, upon storage in water the tips were observed to become more rounded as the LSPR band significantly blue-shifted over time, in agreement with previous reports, but after PEG/DDT capping and phase transfer into chloroform, no

LSPR shift was observed and the tips remained sharp, which is likely due to surface passivation through Au–S bonds³⁸ (Figure S6).

Once the nanoparticles have been transferred into chloroform they can be washed by centrifugation, dried, and redispersed in different organic solvents. As an example, we show in Figure S7 vis–NIR spectra of AuNRs and *m*AuNSs dispersed in various solvents. The registered LSPR shifts are in agreement with the respective refractive indexes, except for hexane, where the particles present lower stability due to the lack of solubility of PEG-SH. PEG can be easily dissolved in organic solvents that display hydrogen bond donating capacity such as chloroform, but this does not apply to hexane.¹⁹ Interestingly, when reducing the amount of PEG-SH and keeping a constant DDT concentration, the colloidal stability in hexane or other nonpolar solvents such as toluene can be notably improved, as confirmed by less broadened LSPR bands. A different strategy to improve NP solubility includes the selection of different thiolated hydrophobic molecules. For example, combining 11-mercaptoundecanol with DDT in a 1:1 ratio and adding a shorter PEG-SH molecule (750 Da), the solubility and stability of particles in slightly polar solvents such as ethyl acetate can be significantly increased. Other thiolated ligands soluble in chloroform were tested (not shown), confirming the wide versatility of the method presented here.

An ethanol:hexane mixture (1:4) was used as spreading solvent. This mixture of solvents allowed us to obtain extensive nanoparticle monolayers, which were then transferred onto solid substrates (Figure 4).^{39–41} It should be noted that solvents with larger spreading coefficients, e.g. mixtures of chloroform and isopropanol, might be beneficial for spreading

of the nanoparticles. In all cases, long-range order was achieved, with dense nanoparticle packing and small interparticle gaps.

High-magnification TEM images from monolayers transferred onto carbon-coated copper grids show high packing density over large areas (Figure 4). As expected, such short interparticle distances lead to plasmon coupling, which is reflected in significant red-shift and broadening of LSPR bands (Figure 4 b and Figure S8). Plasmon coupling has been demonstrated to enhance the plasmonic features in 2D SERS-active platforms.⁴² In the case of AuNSs, dense packing leads to a high degree of spike interdigitation, which is rarely achieved using chemical immobilization methods,⁴³ and leads to a particularly large extent of plasmon coupling as compared to nanospheres. Remarkably, these monolayers present several advantages for the fabrication of plasmonic substrates, such as readily achieved homogeneous large areas on the square centimeter range, while “coffee ring” or similar local effects are avoided. Formation of dense monolayers was therefore achieved through a simple experimental procedure for both phase transfer and assembly, which can be scaled up to arbitrarily large areas by simply selecting the appropriate amount of nanoparticles (Figure S9).

Since phase transfer, self-assembly, and cleaning processes are identical for all nanoparticles, regardless of size, shape, and composition, we can readily compare their relative performances as SERS substrates. We selected 4-mercaptobenzoic acid (4-MBA) as a covalently binding probe molecule, at a concentration of 10 μ M, to probe the SERS activity using three different laser excitation wavelengths of 532, 633, and 785 nm. Figure 5 a as well as Figures S10 and S11 depict SERS spectra obtained with illumination at 785 nm, showing in all cases the characteristic vibrational fingerprint of (mainly deprotonated) 4-MBA, as assigned in previous works.^{44–47} The most prominent peaks at 1078 and 1587 cm^{-1} correspond to excitation of ring breathing and axial ring deformation modes.^{44,46} Several peaks with lower intensities were observed at 1484, 1180, 1142, 720, and 696 cm^{-1} and can be assigned as the 19a and 9b modes (based on the nomenclature for benzene),^{48,49} in-plane CH bending,^{46,49} out-of-plane ring hydrogen wagging, and a mixture of OCO bending, in-plane ring compression, and C–S stretching, respectively.⁴⁶ The broad bands around 1400 and 845 cm^{-1} correspond to the COO^- symmetric stretch mode and the bending mode, respectively.^{44,46,50} An additional high signal around 920 cm^{-1} , which was exclusively registered from the Ag 50 sample, originates from organic residues formed during synthesis and plasma cleaning.

The highest SERS signal was observed from AuNR (Figure 5 c) and AuNP films (Figure 5 b), where the intensity was found to increase with increasing sphere diameter (Figure S10). The SERS performance of AgNP and in particular AuNS monolayers (Figure 5 d,e) was significantly lower, with intensities 1 order of magnitude smaller than those from AuNP and AuNR films. This behavior can be explained taking into account that the highest enhancement is obtained on substrates with LSPR bands located between excitation the scattered frequencies.⁵¹ At an excitation wavelength of 785 nm, this condition complies best with the AuNP and AuNR samples (Figure 4 b and Figure S8).

The SERS signal intensity also depends on several additional surface specific factors, e.g., analyte binding affinity, presence of surfactants,^{52–54} or contaminants and surface defects.⁵⁵ Additionally, potential applications require reliability of the

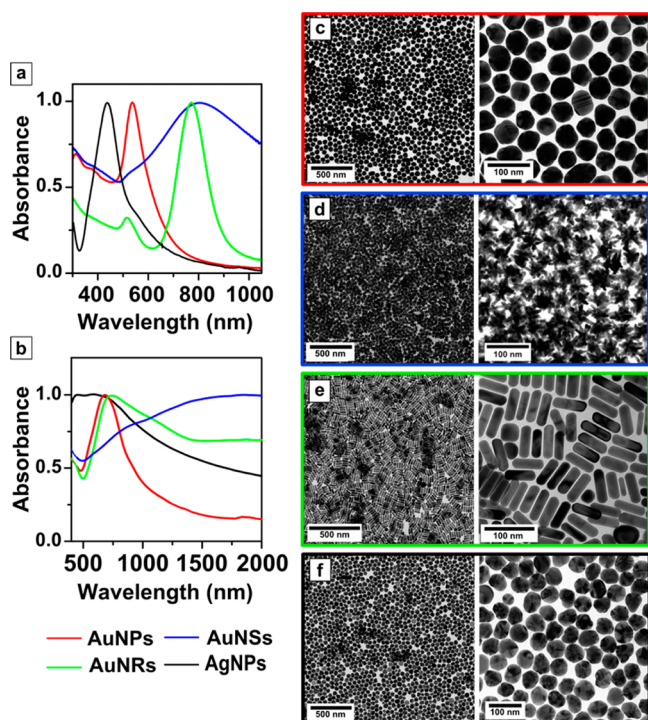


Figure 4. (a) Vis–NIR spectra of different plasmonic nanoparticles dispersed in ethanol:hexane (1:4). (b) Vis–NIR spectra of plasmonic nanoparticle monolayers transferred onto a transparent glass substrate. (c–f) TEM images of Au 50 (c), *m*AuNSs (d), AuNRs (e), and Ag 50 (f) monolayers upon transfer onto TEM grids.

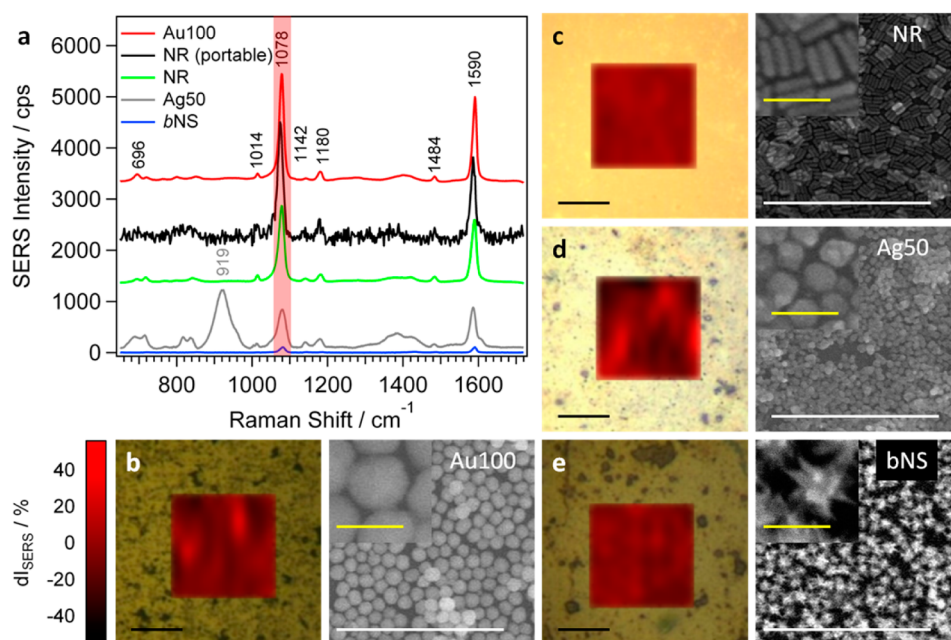


Figure 5. (a) Averaged SERS spectra of 4-MBA (10 μ M) on Au 100 (b), AuNRs (c), Ag 50 (d), and bAuNSs (e). The corresponding SERS maps for the signal at 1078 cm^{-1} (red-shaded area in (a)) as a function of the deviation from the average intensity dI (in %), together with representative SEM images of the assemblies before analyte incubation. The black SERS spectrum in (a) corresponds to the average of three 4-MBA spectra on the AuNR sample measured with a portable Raman spectrometer.

measured signal as a key factor. Because of the relatively large laser spot size ($>1 \mu\text{m}^2$), defects on the atomic scale do not play a significant role, but shape imperfections, a small degree of disorder within the assembly, and remaining organic residues may cause significant point-to-point intensity fluctuations. To avoid large errors and to statistically improve the signal, we averaged SERS spectra over 100 points located on a $20 \times 20 \mu\text{m}^2$ grid. To probe the local homogeneity, we analyzed intensity fluctuations of single-point spectra presented as SERS maps in Figure 5 as well as Figures S10 and S11. The lowest signal variation from the averaged value (dI in %) was observed for the AuNR sample with 12% (Figure 5 c). The AuNP samples showed fluctuations ranging from 15% to 34% for increasing particle diameters (Figure 5 b and Figure S10), whereas the SERS signal from small, middle, and big AuNSs was found to scatter within ca. 30% (Figure 5 e and Figure S11). The lowest degree of homogeneity was found for Ag 50 (Figure 5 d), with signal deviations up to 50%. These results are directly related to the degree of disorder within the assemblies as well as with larger interparticle contact area for smaller nanoparticles. The relatively high disorder of the Ag 50 substrate accompanied by the presence of organics which could prevent the effective binding of the analyte are likely to be responsible for the high signal fluctuations on the Ag substrate. Ag particles are additionally sensitive to oxidation, as discussed above (Figure S5), so the formation of a thin oxide layer can affect the SERS performance. To probe homogeneity over large areas, SERS maps were recorded from at least three different areas distributed over the substrate. The intrasample average signal variation did not exceed 5% for AuNRs but amounted 7%, 10%, and 13% for Au 30, 50, and 100, respectively. In small, middle, and big AuNSs the SERS signal fluctuated less than 15%. Reproducibility of the SERS response was studied by repeating sample preparation with different nanoparticle batches, finding average batch-to-batch intensity fluctuations lower than 5% for AuNRs and 15% for AuNPs. When 4-MBA

SERS spectra were recorded using 532 and 633 nm excitations, different results were obtained, as at 532 nm the most intense signals were obtained from Ag 50, reaching intensities 2 orders of magnitude higher than those from AuNP substrates (Figure S12e). In line with the SERS electromagnetic mechanism, recorded intensities were lower from Ag 50 at 633 nm but higher from AuNPs (Figure S12f). AuNS substrates did not show SERS activity when excited with both high energy laser lines.

As additional advantages of this system, we show that detection can be performed directly from solution and using a portable Raman spectrometer. Thus, we measured SERS from substrates immersed in 10 μ M 4-MBA solutions. Exemplarily, the spectrum and map for the AuNR sample are shown in Figure S13. The average SERS intensity (at 785 nm) only varies by 2.5%. For other nanoparticle assemblies we recorded in solution the same average intensity deviations and point-to-point fluctuations as those measured in air. Applicability outside the laboratory will also require using easy to handle standard analytical equipment for rapid detection instead of sophisticated scientific setups. We measured 4-MBA on the AuNR substrate using a portable Raman spectrometer (BWTEK, i-Raman-785S) working at a fixed 785 nm excitation wavelength. P_{laser} and t_{int} were adjusted so that the 4-MBA SERS intensities in both setups were of the same order of magnitude without damaging the substrate, e.g., through high power exposition. To reach these conditions, $P_{\text{laser}} = 19 \text{ mW}$ measured at sample and $t_{\text{int}} = 1 \text{ s}$ were needed (laser spot size of about $80 \mu\text{m}$). We thus measured at three randomly chosen points distributed over the whole surface, and the average is shown in Figure 5 a, with a standard deviation of 7%.

SERS enhancement factors (EF) were calculated by means of the frequently used equation⁵⁶

$$\text{EF} = \frac{I_{\text{SERS}}}{N_{\text{SERS}}} \frac{N_{\text{bulk}}}{I_{\text{Raman}}}$$

where I_{SERS} and I_{Raman} represent the 4-MBA signal intensities recorded from the probed number of molecules absorbed on the substrate (N_{SERS}) and from the number of molecules within the scattering volume (N_{bulk}) of a solid powder, respectively. For determination of the scattering volume from the bulk material the depth of field approach presented by Khan et al.⁵⁷ was used, resulting in N_{bulk} (785 nm) $\approx$ 1013. N_{SERS} (785 nm) was estimated to be 108 assuming formation of a 4-MBA monolayer (more details in [Supporting Information](#)). I_{Raman} and I_{SERS} were measured with 95 and 3517 cps, respectively, leading to an EF (785 nm) of approximately 3.7×10^6 in the case of the AuNR substrate. This value is of the same order of magnitude as previously reported values.⁵⁷

We finally show that the obtained nanoparticle organosols can also be used for secondary coating with an amphiphilic polymer that has been previously reported as suitable for biological applications.^{21,58} After removal of excess DDT by centrifugation of the dispersion in chloroform, the reported polymer coating procedure (cf. [Materials and Methods](#) and [Supporting Information](#) section 9) was applied using dodecylamine modified polyisobutylene-*alt*-maleic anhydride (PMA). The hydrophobic nanoparticles were thus wrapped with the polymer via hydrophobic interactions between the side chains of the polymer (dodecylamine) and the NP ligands. The particles could then be transferred into water, where the charged polymer shell provides sufficient stability in biofluids. Representative vis–NIR spectra and TEM images ([Figure 6](#)

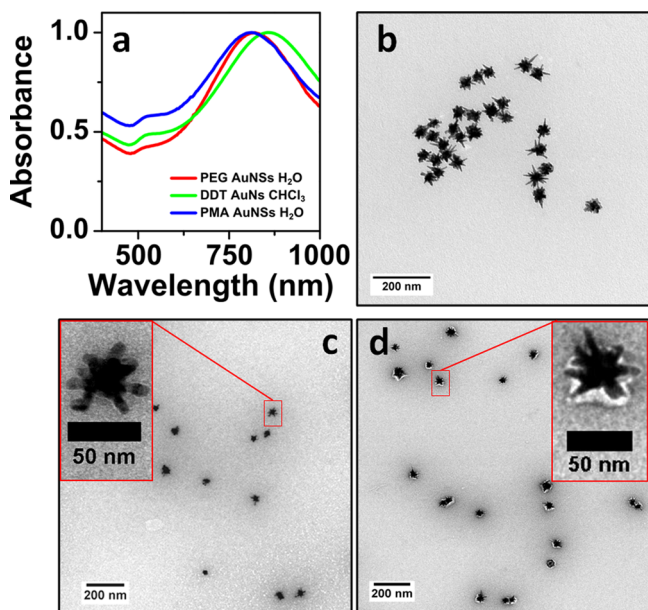


Figure 6. (a) UV–vis spectra of PEG-SH stabilized Au NSs in water (red), after transfer into CHCl_3 with PEG/DDT (green), and again in water after PMA coating (blue). (b–d) TEM images of PMA-coated Au NSs (b), negatively stained bare Au NSs (c), and negatively stained polymer coated Au NSs (d).

a,b) of PMA-coated AuNSs confirm the colloidal stability of the particles upon polymer coating. TEM images of negatively stained, polymer coated Au NSs confirm the presence of an organic layer wrapping the particles in water. A white shell surrounding the NPs can be clearly appreciated for PMA-coated particles ([Figure 6 d](#) and [Figure S16](#)), whereas noncoated NSs ([Figure 6 c](#)) do not display the characteristic white halo.

CONCLUSIONS

A general route for transferring plasmonic nanoparticles from aqueous dispersions into chloroform and other organic solvents has been developed, which can be applied to Ag and Au nanoparticles of arbitrary sizes and shapes, including spheres, nanorods, and nanostars. The transferred particles are stable in chloroform for months and can be readily dried, purified, and redispersed in various organic solvents. This efficient phase transfer procedure is based on functionalization of the nanoparticles' surface with a mixture of PEG-SH and DDT. While DDT provides the required hydrophobicity for the nanoparticles to reach the organic phase, PEG-SH provides enough stability to avoid aggregation during phase transfer. Using ethanol:hexane 1:4 mixtures as spreading solvent, homogeneous extended self-assembled nanoparticle monolayers were obtained on the centimeter scale. Whereas the self-assembly of plasmonic nanoparticles at liquid interfaces has been traditionally restricted due to limited size of nanoparticles available in organic solvents, limited stability, and complicated experimental procedures, the method proposed here allows us to obtain plasmonic nanoparticles in organic solvents with no restriction in size, shape, or surface chemical composition, readily forming nanoparticle assemblies. The close packing of the plasmonic nanoparticles favors plasmon coupling, thus providing enhanced plasmonic features. We compared the SERS activity between the different plasmonic substrates fabricated by detection of 4-MBA, finding that AuNP and AuNR self-assembled monolayers displayed optimum SERS performance in air and in solution for 785 and 633 nm excitation lasers, with high signal reproducibility, robustness, and moderate point-to-point fluctuations. We also showed that high-end experimental setups are not necessary to obtain intense and reproducible SERS signals from our assemblies. Additionally, the obtained hydrophobic nanoparticles proved suitable for subsequent polymer coating, thereby broadening potential applications due to the obtained high stability under biological conditions.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the [ACS Publications website](#) at DOI: [10.1021/acs.langmuir.5b01838](#).

Figures S1–S16 ([PDF](#))

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Notes

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