# PROCEEDINGS OF SPIE

# Laser Resonators, Microresonators, and Beam Control XXV

Vladimir S. Ilchenko Andrea M. Armani Julia V. Sheldakova Editors

31 January – 1 February 2023 San Francisco, California, United States

Sponsored and Published by SPIE

Volume 12407

Proceedings of SPIE 0277-786X, V. 12407

SPIE is an international society advancing an interdisciplinary approach to the science and application of light.

Laser Resonators, Microresonators, and Beam Control XXV, edited by Vladimir S. Ilchenko, Andrea M. Armani, Julia V. Sheldakova, Proc. of SPIE Vol. 12407, 1240701 © 2023 SPIE · 0277-786X · doi: 10.1117/12.2678360

Proc. of SPIE Vol. 12407 1240701-1

# Contents

v Conference Committee

#### NONLINEAR EFFECTS IN MICRORESONATORS

- 12407 02 Enhancing and tailoring light-matter interaction in the near-infrared by all-dielectric metasurfaces supporting silicon-slot quasi-bound state in the continuum modes [12407-4]
- 12407 03 Sub-megahertz optical features using a resonator-free twisted Brillouin gain medium (Invited Paper) [12407-1]

#### **MICRORESONATORS: NOVEL FABRICATION METHODS**

- 12407 04 Ultra-high-Q-factor racetrack resonators on thick SOI platform through sidewall roughness improvement by hydrogen annealing [12407-6]
- 12407 05 Subtractive processing of thick silicon nitride waveguide resonators: a comparison of soft and hard etch templates [12407-7]

#### MICROCOMBS AND MICROCAVITY SOLITONS I

12407 06 Low-noise hybrid integrated frequency-agile laser and soliton microcomb for FMCW LiDAR [12407-17]

### MICROCOMBS AND MICROCAVITY SOLITONS II

- 12407 07 **Bistable solitons in third-harmonic generation frequency combs (Invited Paper)** [12407-18]
- 12407 08 Synchronization of microresonator frequency combs in chaotic regime [12407-19]

#### MICROCOMBS, CAVITIES, AND LASERS

- 12407 09 Stable Kerr frequency combs excited in the vicinity of strong modal dispersion disruptions (Invited Paper) [12407-22]
- 12407 0A On the phase noise of microwaves generated with Kerr optical frequency combs [12407-23]

The papers in this volume were part of the technical conference cited on the cover and title page. Papers were selected and subject to review by the editors and conference program committee. Some conference presentations may not be available for publication. Additional papers and presentation recordings may be available online in the SPIE Digital Library at SPIEDigitalLibrary.org.

The papers reflect the work and thoughts of the authors and are published herein as submitted. The publisher is not responsible for the validity of the information or for any outcomes resulting from reliance thereon.

Please use the following format to cite material from these proceedings: Author(s), "Title of Paper," in Laser Resonators, Microresonators, and Beam Control XXV, edited by Vladimir S. Ilchenko, Andrea M. Armani, Julia V. Sheldakova, Proc. of SPIE 12407, Seven-digit Article CID Number (DD/MM/YYYY); (DOI URL).

ISSN: 0277-786X ISSN: 1996-756X (electronic)

ISBN: 9781510659193 ISBN: 9781510659209 (electronic)

Published by **SPIE** P.O. Box 10, Bellingham, Washington 98227-0010 USA Telephone +1 360 676 3290 (Pacific Time) SPIE.org Copyright © 2023 Society of Photo-Optical Instrumentation Engineers (SPIE).

Copying of material in this book for internal or personal use, or for the internal or personal use of specific clients, beyond the fair use provisions granted by the U.S. Copyright Law is authorized by SPIE subject to payment of fees. To obtain permission to use and share articles in this volume, visit Copyright Clearance Center at copyright.com. Other copying for republication, resale, advertising or promotion, or any form of systematic or multiple reproduction of any material in this book is prohibited except with permission in writing from the publisher.

Printed in the United States of America by Curran Associates, Inc., under license from SPIE.

Publication of record for individual papers is online in the SPIE Digital Library.



**Paper Numbering:** A unique citation identifier (CID) number is assigned to each article in the Proceedings of SPIE at the time of publication. Utilization of CIDs allows articles to be fully citable as soon as they are published online, and connects the same identifier to all online and print versions of the publication. SPIE uses a seven-digit CID article numbering system structured as follows:

• The first five digits correspond to the SPIE volume number.

• The last two digits indicate publication order within the volume using a Base 36 numbering system employing both numerals and letters. These two-number sets start with 00, 01, 02, 03, 04, 05, 06, 07, 08, 09, 0A, 0B ... 0Z, followed by 10-1Z, 20-2Z, etc. The CID Number appears on each page of the manuscript.

# Enhancing and tailoring light-matter interaction in the near-infrared by all-dielectric metasurfaces supporting silicon-slot quasi-bound state in the continuum modes

J. F. Algorri<sup>a,b,c</sup>, D. C. Zografopoulos<sup>d</sup>, Y. Ding<sup>e,f</sup>, V. Dmitriev<sup>g</sup>, J. M. López-Higuera<sup>a,b,c</sup>, J. M. Sánchez-Pena<sup>h</sup>, L. C. Andreani<sup>i</sup>, M. Galli<sup>i</sup>, F. Dell'Olio<sup>j\*</sup>

<sup>a</sup>Photonics Engineering Group, Universidad de Cantabria, 39005, Santander, Spain; <sup>b</sup>CIBER de Bioingeniera, Biomateriales y Nanomedicina, Instituto de Salud Carlos III, 28029, Madrid, Spain; <sup>c</sup>Instituto de Investigación Sanitaria Valdecilla (IDIVAL), 39011, Santander, Spain; <sup>d</sup>Consiglio Nazionale delle Ricerche, Istituto per la Microelettronica e Microsistemi (CNR-IMM), Roma 00133, Italy; <sup>e</sup>SiPhotonIC ApS, Virum Stationsvej 207, 2830, Virum, Denmark; <sup>f</sup>Department of Electrical and Photonics Engineering, Technical University of Denmark, Ørsteds Plads, Bygning 343, Kongens Lyngby, 2800, Denmark; <sup>g</sup>Electrical Engineering Department, Federal University of Pará, CEP 66075-900 Belém, Brazil; <sup>h</sup>Department of Electronic Technology, Carlos III University, Madrid 28911, Spain; <sup>i</sup>Dipartimento di Fisica, Università di Pavia, 27100 Pavia, Italy; <sup>j</sup>Department of Electrical and Information Engineering, Polytecnic University of Bari, 70125, Bari, Italy <sup>\*</sup>francesco.dellolio@poliba.it

## ABSTRACT

Light-matter interaction is crucial in many application domains of nanophotonics, including biosensing, trapping at the nanoscale, nonlinear optics, and lasing. Many approaches, mainly based on photonic and plasmonic resonant structures, have been investigated to enhance and tailor the interaction, but those based on all-dielectric metasurfaces have several unique advantages: low loss, easy excitation and readout, possibility of engineering the optical field distribution with many degrees of freedom, and electric tuning. Here we show that properly designed all-dielectric metasurfaces can support silicon-slot quasi-bound states in the continuum modes resonating in the near-infrared, strongly confining light in air and, consequently, enhancing light-matter interaction. Some samples of the designed metasurface have been fabricated in a silicon-on-sapphire wafer by e-beam lithography and reactive ion etching. The optical characterization of the chip has confirmed the excitation of the quasi-bound state in the continuum resonant modes, with measured Q-factor values exceeding 700.

Keywords: Metamaterials, biosensing, bound states in the continuum, nanofabrication, silicon metasurfaces, symmetryprotected modes

## 1. INTRODUCTION

Light-matter interaction plays a pivotal role in science and technology, being crucial in lasers, sensing, spectroscopy, quantum information processing, and lasers. The most efficient approach for enhancing light-matter integration is that based on resonant structures, which can be implemented by using a wide range of approaches that have been extensively studied in the last decades<sup>1,2</sup>. Metasurfaces (MSs), which consist of an ensemble of subwavelength optical elements, termed as meta-atoms, with properly designed spectral and polarization responses, have the potential of extensively controlling light-matter interaction and are attracting an increasing research interest<sup>3</sup>.

MSs can be properly designed to support bound states in the continuum (BIC) modes<sup>4</sup>, whose unique features in the context of sensing have been recently proved<sup>5</sup>. Different classes of BIC modes can be excited in MSs, including the symmetry-protected BIC (SP-BIC) ones, which allow for high Q-factor values<sup>6,7,8</sup>.

Laser Resonators, Microresonators, and Beam Control XXV, edited by Vladimir S. Ilchenko, Andrea M. Armani, Julia V. Sheldakova, Proc. of SPIE Vol. 12407, 1240702 © 2023 SPIE · 0277-786X · doi: 10.1117/12.2648765 The paper reports on an all-dielectric silicon-on-sapphire MS operating in the near-infrared (NIR), properly intended for enhancing light-matter interaction. The metasurface, which is formed by a periodic array of circular slot segments etched in a thin silicon layer on a sapphire (see Figure 1), supports a SP-BIC with very high field confinement in the circular slots. An eigenfrequency study, symmetry-analysis considerations, and full-wave light propagation simulations demonstrate the SP nature of the BIC silicon-slot mode<sup>9</sup>. Both simulations and experiments show that the resonance Q-factor can be modified by adjusting the degree of asymmetry in the metasurface unit cell. This is obtained by shortening the arc length of one of the two circular slots. Due to the nearly entire confinement of the electric field within the slots, the NIR resonant wavelength of the quasi-BIC (qBIC) mode exhibits a considerable dependency on the refractive index of the surrounding material, with a sensitivity of 435 nm/RIU for gas sensing<sup>9</sup>. The excitation of the silicon-slot qBIC mode is experimentally confirmed by examining the transmission spectra of a collection of samples manufactured with varying degrees of asymmetry. The measured spectra corroborate the correlation between the asymmetry and the qBIC mode resonant wavelength change.

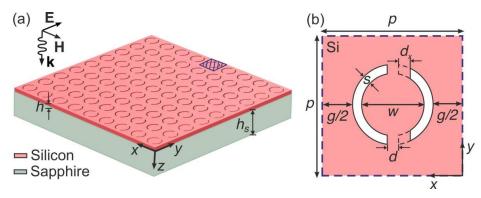


Figure 1. (a) Configuration of the all-dielectric silicon-on-sapphire metasurface. The incident planewave is y-polarized and impinges perpendicularly on the MS. (b) MS unit cell, which is formed by two segments of a circular slot ring with inner diameter *w* and slot width *s*. The distance between adjacent a circular slot rings is *g* and, the MS pitch is p = w + g + 2s. The CSR is interrupted by two symmetrical silicon bridges of width *d*. The length of one of the two slot segments is reduced by introducing the asymmetry parameter  $d_x$ , which asymmetrically increases the width of the silicon bridge. The thickness of the silicon layer is h = 200 nm. The thickness of the sapphire substrate is  $h_s = 430$  µm.

# 2. SELECTED EXPERIMENTAL RESULTS

The design is based on full-wave and eigenvalue simulations using the finite-element method. The selected values for the geometrical parameters of the MS are s = 40 nm, g = 130 nm, w = 650 nm, and d = 80 nm. The simulations show that, for the BIC mode, the field is mostly concentrated inside the circular slot ring segments, resembling slot modes in slotted silicon nanowire waveguides.

The MS fabrication has been conducted in the nanofabrication facilities available at SiPhotonIC ApS following a standard e-beam lithography protocol. The samples have been manufactured on a silicon-on-sapphire wafer with a 200-nm thick silicon layer epitaxially grown on a 430-µm sapphire substrate.

The samples' transmittance has been measured under normal incidence of a polarized light beam using a Fourier-transform spectrometer with a spectral resolution of 0.25 pm.

The measured transmittance spectra for samples with varying degrees of asymmetry are shown in Figure 2. The ripple that can be observed in all spectra is due to Fabry-Perot oscillations in the sapphire substrate. The qBIC resonance is clearly visible for  $d_x$  down to 40 nm, whereas for lower values it is masked by the Fabry-Perot oscillation as well as by resonance damping. The measured Q-factors range from Q = 510 for  $d_x = 120$  mm to Q = 725 for  $d_x = 40$  nm. For  $d_x \le 20$  nm, smaller than the e-beam spot size, the qBIC is not detectable in the transmittance spectra due to complete quenching. The measured Q values are of the same order of magnitude compared to most experimental demonstrations of silicon-based qBIC meta-surfaces. They are significantly lower than the theoretical ones due to resonance damping, mainly due to statistical variations of the geometrical features of the fabricated metasurfaces.

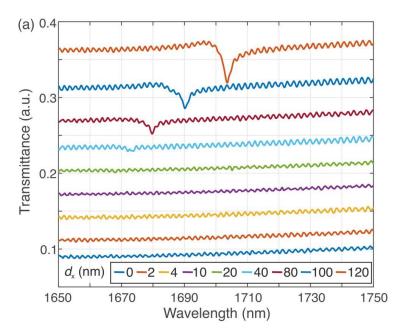


Figure 2. Transmission spectra for samples with different values of the asymmetry parameter  $d_x$ .

### 3. CONCLUSIONS

A silicon dielectric metasurface designed to enhance light-matter interaction and support a slot-like qBIC in the NIR with a Q-factor > 700 is reported. This experimental proof-of-concept demonstration introduces a new paradigm for the development of IR refractometric sensors and other devices based on enhanced light-matter interaction thanks to the strong optical field confinement in deeply subwavelength resonant cavities.

#### REFERENCES

- [1] de Leon, N. P., et al., "Tailoring Light-Matter Interaction with a Nanoscale Plasmon Resonator" Phys. Rev. Lett. 108, 226803 (2012).
- [2] Galfsky, T., Gu, J., Narimanov, E. E., and Menon, V. M., "Photonic hypercrystals for control of light-matter interactions," Proc. Natl. Acad. Sci. U.S.A. 114, 5125–5129 (2017).
- [3] Guan, J. et al., "Light–Matter Interactions in Hybrid Material Metasurfaces," Chem. Rev. 122, 15177–15203 (2022).
- [4] Kupriianov, A. S., et al., "Metasurface Engineering through Bound States in the Continuum," Phys. Rev. Applied 12, 014024 (2019).
- [5] Samadi, M., et al., "All-Dielectric Metasurface Based on Complementary Split-Ring Resonators for Refractive Index Sensing," Photonics 9, 130 (2022).
- [6] Algorri, J. F., et al., "Analogue of electromagnetically induced transparency in square slotted silicon metasurfaces supporting bound states in the continuum," Opt. Express 30, 4615 (2022).
- [7] Algorri, J. F., et al., "Strongly resonant silicon slot metasurfaces with symmetry-protected bound states in the continuum," Opt. Express 29, 10374 (2021).
- [8] D. C. Zografopoulos and O. Tsilipakos, "Recent advances in strongly-resonant and gradient all-dielectric metasurfaces," Mat. Adv. 4, 11 (2023).
- [9] Algorri, J. F., et al., "Experimental demonstration of a silicon-slot quasi-bound state in the continuum in nearinfrared all-dielectric metasurfaces," Optics and Laser Technology. Submitted.