**TESIS DOCTORAL** 

## REDUCCIÓN FOTOELECTROQUÍMICA DE CO<sub>2</sub> UTILIZANDO UN REACTOR DE MEMBRANA EN MODO CONTINUO

PhD THESIS

## PHOTOELECTROCHEMICAL REDUCTION OF CO<sub>2</sub> USING A CONTINUOUS MEMBRANE REACTOR

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## Photoelectrochemical reduction of CO<sub>2</sub> using a continuous membrane reactor

Reducción fotoelectroquímica de CO<sub>2</sub> utilizando un reactor de membrana en modo continuo

Tesis Doctoral presentada para optar al título de Doctor por la Universidad de Cantabria

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La Tesis Doctoral se presenta como un resumen de trabajos previamente publicados en revistas científicas internacionales incluidas en el *Journal of Citation Reports-Science Edition* (JCR), siguiendo la normativa del Programa de Doctorado en Ingeniería Química, de la Energía y de Procesos de la Universidad de Cantabria para la elaboración de Tesis Doctorales a traves de un compendio de artículos previamente publicados. El trabajo se ha desarrollado en el grupo de investigación de Desarrollo de Procesos Químicos y Control de Contaminantes (DePRO) del Departamento de Ingenierías Química y Biomolecular de la Universidad de Cantabria, liderado por el Prof. Ángel Irabien Gulías, bajo la supervisión del Dr. Jonathan Albo Sánchez y el Dr. Guillermo Díaz Sainz. A continuación se enumeran las contribuciones científicas publicadas, así como las contribuciones a congresos publicados en libros con ISBN:

a) Artículos en revistas científicas, indicando la lista de autores por orden de firma, año de publicación, título del artículo, título de la revista, número de revista, números de la primera y última página, índice de impacto de la revista, cuartil en el área temática, denominación del área temática de la revista, y posición relativa en el área temática.

1. <u>Sergio Castro</u>, Jonathan Albo, Ángel Irabien, **2018.** Photoelectrochemical reactors for CO<sub>2</sub> utilization. ACS Sustainable Chemistry & Engineering, 6, 15877-15894. Índice de impacto: 6,970. Q1 y D1; Chemical Engineering 9/138.

2. <u>Sergio Castro</u>, Jonathan Albo, Ángel Irabien, **2020.** Continuous conversion of CO<sub>2</sub> to alcohols in a TiO<sub>2</sub> photoanodedriven photoelectrochemical system. Journal of Chemical Technology & Biotechnology, 95, 1876-1882. Índice de impacto: 3,174. Q2; Chemical Engineering 63/143.

3. Iván Merino-García<sup>1</sup>, <u>Sergio Castro<sup>1</sup></u>, Ángel Irabien, Ignacio Hernández, Verónica Rodríguez, Rafael Camarillo, Jesusa Rincón, Jonathan Albo, **2022.** Efficient photoelectrochemical conversion of CO<sub>2</sub> to ethylene and methanol using a Cu cathode and TiO<sub>2</sub> nanoparticles synthesized in supercritical medium as photoanode. Journal of Environmental Chemical Engineering, 10, 107441. Índice de impacto: 7,7. Q1; Chemical Engineering 16/140. <sup>1</sup>Iván Merino-Garcia y Sergio Castro han contribuido igualmente a este trabajo como primeros autores.







b) Comunicaciones presentadas en congresos y publicadas en libros con ISBN, detallando la lista de autores por orden de firma, título de la comunicación, congreso, fecha de celebración, lugar de celebración, ISBN y tipo de comunicación.

1. <u>Sergio Castro</u>, Jonathan Albo, Angel Irabien, Continuous photo-electrochemical reduction of CO<sub>2</sub> in a TiO<sub>2</sub> photoanode-driven system, 3<sup>rd</sup> ANQUE-ICCE International Congress of Chemical Engineering. 19 - 21 junio **2019**, Santander (España). <u>ISBN</u>: 978-84-09-12430-5. Comunicación oral.

2. <u>Sergio Castro</u>, Jonathan Albo, Verónica Rodriguez, Rafael Camarillo, Jesusa Rincón, Angel Irabien, Reducción en continuo de CO<sub>2</sub> utilizando nanopartículas de TiO<sub>2</sub> en una celda fotoelectroquímica, V Workshop de la Red E3TECH / I Workshop Iberoamericano a Distancia E3TECH "Aplicaciones Medioambientales y Energéticas de la Tecnología Electroquímica". 28 – 31 octubre **2020**, Online. <u>ISBN</u>: 978-84-09-24561-1. Comunicación póster.

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## Resumen

### RESUMEN

La reducción de las emisiones de dióxido de carbono (CO<sub>2</sub>) en la atmósfera es una prioridad en la agenda política mundial, con el objetivo de lograr una producción de energía en un ciclo neutro en carbono. En este contexto, las tecnologías de Captura, Almacenamiento y Utilización de Carbono (CAUC) han sido objeto de investigación durante las últimas décadas. En particular, la conversión del CO<sub>2</sub> en productos útiles se presenta como una solución para abordar de manera conjunta los desafíos del calentamiento global y la escasez de energía.

Entre las diversas aproximaciones disponibles para activar y convertir el CO<sub>2</sub> en productos útiles (como la conversión química, termoquímica, hidrotérmica, bioquímica, electroquímica o fotoquímica, entre otros), destaca la vía electrocatalítica por su capacidad para almacenar energía renovable intermitente en forma de enlaces químicos. Es decir, la electroreducción no solo proporciona una forma viable de reutilizar el CO<sub>2</sub>, sino también un método para la producción de químicos con valor añadido. Además, la aplicación de luz directa sobre los electrodos durante la electroreducción, la conversión fotoelectrocatalítica de CO<sub>2</sub>, ofrece la ventaja de reducir el voltaje externo necesario y, por ende, el consumo de energía requerido para llevar a cabo la reacción. Este voltaje de polarización externo aplicado en el proceso, junto al empleo de celdas divididas, impulsa la separación efectiva de los pares electrón-hueco generados por la luz en el electrodo, superando una de las principales limitaciones de la reducción fotocatalítica de CO<sub>2</sub>. Así la fotoelectrocatálisis destaca como una alternativa prometedora que combina las ventajas de la electrocatálisis y la fotocatálisis, reduciendo el voltaje necesario requerido en la conversión electroquímica de CO<sub>2</sub> en productos químicos útiles mediante el uso de la luz.

La presente Tesis Doctoral se enmarca en los proyectos de investigación CTQ2013-48280-C3-1-R, CTQ2016-76231- C2-1-R, y PID2019-104050RA-I00, los cuales se centran en el desarrollo de procesos eficientes para la valorización de CO<sub>2</sub>. Bajo la dirección del Prof. Ángel Irabien, el grupo de investigación de Desarrollo de Procesos Químicos y Control de Contaminantes (DePRO) perteneciente al Departamento de Ingenierías Química y Biomolecular de la Universidad de Cantabria, ha logrado avances significativos en la transformación electroquímica de CO<sub>2</sub> en productos de alto valor, como ácido fórmico, alcoholes e hidrocarburos. En esta Tesis Doctoral, se busca desarrollar un proceso continuo de conversión de CO<sub>2</sub> por vía fotoelectroquímica, con el fin de reducir los sobrepotenciales necesarios para la conversión de CO<sub>2</sub> mediante el uso directo de la luz, lo cual representa una línea de investigación nueva en el grupo.

Para alcanzar este ambicioso objetivo se llevó a cabo, en primer lugar, una exhaustiva revisión del estado del arte. De esta revisión, se destaca que la configuración más empleada es la de fotoánodo-cátodo a oscuras, aprovechando los beneficios de utilizar semiconductores tipo

*n*, más abundantes, económicos y estables para la oxidación de H<sub>2</sub>O, en lugar de semiconductores tipo *p*.

Posteriormente, se diseña y construye un sistema experimental para llevar a cabo la fotoelectroreducción de CO<sub>2</sub> a metanol y etanol en continuo. Se emplea una celda fotoelectroquímica (PEC) compuesta inicialmente por un fotoánodo basado en TiO<sub>2</sub>-P25 en configuración MEA, que se ilumina con luz LED UV (100 mW·cm<sup>-2</sup>), junto con una placa de Cu a oscuras. Con esta configuración, se logra un incremento de hasta 4,3 mA·cm<sup>-2</sup> (2 V vs. Ag/AgCl) al iluminar la superficie del fotoánodo. El funcionamiento del sistema se ve favorecido al aplicar -1,8 V vs. Ag/AgCl con una carga catalítica de TiO<sub>2</sub>-P25 de 3 mg·cm<sup>-2</sup>, lo que permite alcanzar una velocidad de producción de 9,5 µmol·m<sup>-2</sup>·s<sup>-1</sup>, una eficiencia Faradaica del 16,2% y una eficiencia energética del 5,2% para metanol, y una velocidad de producción de 6,8 µmol·m<sup>-2</sup>·s<sup>-1</sup>, eficiencia Faradaica del 23,2% y eficiencia energética del 6,8% para etanol. La producción de metanol se ve favorecida con el incremento de voltaje, mientras que la producción de alcoholes a partir de CO<sub>2</sub> con luz UV, reduciendo la energía requerida en un sistema electroquímico a oscuras.

Tras estos desarrollos, se investiga el empleo de nanopartículas sintetizadas en medio supercrítico (TiO<sub>2</sub>-SC) para la preparación de fotoánodos, con el objetivo de mejorar la eficiencia del proceso. La caracterización fotoelectroquímica de los fotoánodos revela que la densidad de corriente alcanzada es significativamente más elevada (12,31 mA·cm<sup>-2</sup>) que la obtenida en el sistema en ausencia de luz (7,18 mA·cm<sup>-2</sup>). Esta corriente también supera la obtenida anteriormente con los fotoánodos fabricados con nanopartículas comerciales de TiO2-P25 (5,9 mA·cm<sup>-2</sup>) en las mismas condiciones. Esto se atribuye a las propiedades mejoradas del TiO<sub>2</sub>-SC, como su morfología, su cristalinidad y área superficial, que influyen en la absorción de luz y la banda prohibida (o bandgap, en inglés), permitiendo un mejor acceso de reactivos a los sitios fotoactivos y una mejor separación de cargas. Como resultado, la producción de etileno, un producto de gran interés comercial, se incrementa hasta seis veces (147,4 µmol·m<sup>-2</sup>·s<sup>-1</sup>) cuando se utilizan superficies de TiO2-SC como fotoánodos, en comparación con el rendimiento del proceso a oscuras. La formación de metanol también se favorece bajo la luz (4,72 µmol·m<sup>-2</sup>·s<sup>-1</sup>). Además, las eficiencias Faradaicas tanto para el etileno como para el metanol (46,6% y 15,3%, respectivamente), aumentan con la irradiación de luz, superando los resultados reportados en la literatura para sistemas fotoelectroquímicos en configuración fotoánodo-cátodo. En consecuencia, la eficiencia en la conversión de energía solar en productos mejora en el sistema basado en TiO<sub>2</sub>-SC iluminado (5,4% para etileno y 1,9% para metanol), en comparación con el comportamiento de los fotoánodos de TiO<sub>2</sub>-P25 (3,7% para etileno y 1% de metanol).

# Planteamiento

#### Planteamiento

#### **CAPÍTULO 1. PLANTEAMIENTO**

#### 1.1 Marco de la Tesis Doctoral

El uso de combustibles fósiles para generar energía eléctrica y su aplicación en sectores como la agricultura, la industria y el transporte ha ocasionado un constante incremento de las emisiones de dióxido de carbono (CO2) a la atmósfera desde la Revolución Industrial (Mustafa et al., 2020). Los últimos datos de la Administración Nacional Oceánica y Atmosférica (NOAA, por sus siglas en inglés) muestran que la concentración de CO<sub>2</sub> ha superado las 421 ppm (ESRL Global Monitoring Laboratory, 2023). Estas emisiones son responsables del efecto invernadero, reconocido internacionalmente como la principal causa del cambio climático por el Panel Intergubernamental del Cambio Climático (IPCC, por sus siglas en inglés) (The Intergovernmental Panel on Climate Change, 2023) y la Organización de las Naciones Unidas (ONU) (Naciones Unidas, 2023). El IPCC recomienda limitar el calentamiento global a un aumento de 1,5 °C y, en todo caso, a 2,0 °C, tomando como referencia la época preindustrial, para el año 2100, con el fin de evitar un cambio climático irreversible, tal como se estableció en la Conferencia de las Partes (COP) de Paris en 2015. En consonancia, la ONU ha definido 17 Objetivos de Desarrollo Sostenible (ODS) (Objetivos de Desarrollo Sostenible, 2023), con diferentes metas en cada uno de ellos, a alcanzar para el año 2030. Entre los ODS se encuentran los números 7 y 13, que se centran en obtener energía asequible y no contaminante, y en la acción por el clima, respectivamente. Estos objetivos abordan distintos aspectos como la implementación de fuentes de energía renovable y la reducción de las emisiones de gases de efecto invernadero. En la misma línea, es importante mencionar el Pacto Verde Europeo, aprobado en 2020 por la Comisión Europea. Este pacto incluye, entre otras cosas, un conjunto de iniciativas políticas con el objetivo de lograr la neutralidad climática de la Unión Europea para el año 2050 (Comisión Europea, 2023).

Para alcanzar estos objetivos, es necesario reemplazar las fuentes de energía con alto contenido de carbono por fuentes renovables, como la energía eólica o solar y, al mismo tiempo, mejorar la eficiencia de los procesos industriales. Sin embargo, la transición hacia la descarbonización avanza lentamente y se prevé una fuerte dependencia de los combustibles fósiles en las próximas décadas (International Energy Agency, 2023). Por lo tanto, se están realizando esfuerzos significativos para promover la tecnologías de CAUC (Irabien et al., 2018), especialmente en aquellos sectores de difícil descarbonización como la producción de acero, cemento, el sector de la aviación o la producción de sustancias químicas básicas, entre otros (Marco Estratégico de Energía y Clima, 2023).

Los procesos tradicionales de captura de CO<sub>2</sub>, como la absorción con aminas, presentan limitaciones debido a los altos costes asociados con la captura, separación y purificación del CO<sub>2</sub> (Vadillo et al., 2022a; Vadillo et al., 2022b). Además, el almacenamiento de CO<sub>2</sub> conlleva un consumo energético significativo, principalmente por el transporte requerido a explotaciones de gas y petróleo o a acuíferos salinos (Aminu et al., 2017). En general, la sociedad tiene una percepción negativa de estos procesos (Isa et al., 2019). Por lo tanto, existe un creciente interés en la conversión del CO<sub>2</sub> capturado en productos químicos de valor añadido mediante el uso de fuentes de energía renovable. Esto no solo tiene beneficios medioambientales, sino también económicos y sociales (Zhang et al., 2020a, 2020b).

En la actualidad, existen diversas tecnologías para activar y convertir el CO<sub>2</sub> en productos útiles, como es la conversión química, termoquímica, hidrotérmica, bioquímica, electroquímica o fotoquímica, entre otras (Fernández-Caso et al., 2023; Han et al., 2023; Okoye-Chine et al., 2022; Ampelli et al., 2023). Entre ellas, la vía electrocatalítica es especialmente interesante, ya que permite almacenar energías renovables e intermitentes en forma de enlaces químicos (Lin et al., 2023). En otras palabras, la electroreducción no solo es una ruta técnicamente viable para reutilizar el CO<sub>2</sub>, sino también una forma de generar productos guímicos de valor añadido (Kumar et al., 2023). El CO<sub>2</sub> puede ser reducido a una gran variedad de productos finales, como monóxido de carbono (CO) (Jouny et al., 2019), ácido fórmico (HCOOH) (Fernández-Caso et al., 2023), metanol (CH<sub>3</sub>OH) (Duan et al., 2023), metano (CH<sub>4</sub>) (Zheng et al., 2022) o etileno (C<sub>2</sub>H<sub>4</sub>) (Ai et al., 2022), entre otros. En la Tabla 1.1 se presentan los potenciales termodinámicos para la reducción del CO<sub>2</sub> a pH= 7 en disolución acuosa, con un electrodo estándar de hidrógeno (SHE, por sus siglas en inglés) como referencia a 25 °C y presión atmosférica. El avance de la reacción depende en gran medida del catalizador, el electrolito y el potencial del cátodo. Normalmente, se requieren múltiples etapas de transferencia de electrones acoplados a protones, lo que implica importantes barreras cinéticas para la reacción directa (Kumar et al., 2016; Liu et al., 2021; Nandal & Jain, 2022; Verma & Yadav, 2023; Wang et al., 2019).

Reacción de evolución de hidrógeno	Potencial estándar de reducción vs. SHE (V)
$H_2O + 2e^- \rightarrow H_2 + 2OH^-$	-0,31
$CO_2 + H_2O + 2e^- \rightarrow CO + 2OH^-$	-0,52
CO <sub>2</sub> + 5H <sub>2</sub> O + 6e <sup>-</sup> → CH <sub>3</sub> OH + 6OH <sup>-</sup>	-0,39
$CO_2 + 9H_2O + 12e^- \rightarrow C_2H_5OH + 12OH^-$	-0,33
$CO_2 + 6H_2O + 8e^- \rightarrow CH_4 + 8OH^-$	-0,25
$CO_2 + 8H_2O + 12e^- \rightarrow C_2H_4 + 12OH^-$	-0,34
$CO_2 + H_2O + 2e^- \rightarrow HCOO^- + OH^-$	-0,43

Tabla 1.1. Reacción de evolución de hidrógeno y de reducción del CO <sub>2</sub> a productos con
sus correspondientes potenciales estándar de reducción a pH=7 (Irabien et al., 2018).

Sin embargo, la electroreducción tiene una elevada demanda energética para romper los enlaces de la molécula de CO<sub>2</sub>. Este alto sobrepotencial puede comprometer su efectividad como medida de mitigación del cambio climático (Creissen et al., 2022). Por lo tanto, es necesario realizar esfuerzos para reducir el sobrepotencial de reacción tanto en el cátodo como en el ánodo con el fin de mejorar la eficiencia energética del proceso (Kibria et al., 2019). Si bien es posible

combinar cualquier fuente de electricidad renovable con dispositivos electroquímicos, la integración directa de la luz solar ofrece una ventaja adicional, ya que la luz promueve la generación de electrones, reduciendo la necesidad de energía externa para la conversión de CO<sub>2</sub>. Esta estrategia integrada reduce las pérdidas de energía e, idealmente, elimina la necesidad de conexión a la red en áreas periféricas, permitiendo el uso directo local (Montoya et al., 2016; Seitz et al., 2016).

En este sentido, los sistemas basados en reactores fotoelectroquímicos (PEC, por sus siglas en inglés) integran la generación de energía asistida por luz y la reducción de CO2 dentro del mismo dispositivo, maximizando la eficiencia energética al reducir el aporte energético externo necesario debido a la iluminación del fotoelectrodo (Brito et al., 2022; Han et al., 2023). El objetivo es utilizar los electrones generados con la incidencia de fotones sobre un material semiconductor, tal como ocurre en las reacciones de reducción fotocatalítica, y utilizarlos en la reducción electroquímica de un par redox seleccionado (Tang & Xiao, 2022; Yaashikaa et al., 2019). Por lo tanto, la fotoelectroreducción de  $CO_2$  se encuentra a medio camino entre la conversión electroquímica y la fotocatalítica, aprovechando las oportunidades de ambas. En general, los reactores PEC están compuestos por los propios fotoelectrodos, electrolitos, un circuito externo, y la alimentación de los reactivos (Pitchaimuthu et al., 2022; Zhou et al., 2016). Los electrodos deben estar preferentemente separados mediante una membrana de intercambio iónico para prevenir el transporte de los productos hacia el electrodo opuesto, evitando su reoxidación y facilitando la transferencia de carga entre los compartimentos catódicos y anódicos. Así, la configuración de los fotoelectrodos y reactores desempeñan un papel crucial en la generación de las cargas, su separación, y la inyección extra de electrones para el proceso de reducción de CO<sub>2</sub> (Ochedi et al., 2021).

En cuanto a los materiales, se deben cumplir varios requisitos simultáneamente para lograr el éxito de la fotoelectroreducción (Zhang & Wang, 2023). En primer lugar, y tomando como ejemplo el desarrollo de fotoánodos, el *bandgap* del fotoelectrodo debe ser lo suficientemente bajo como para capturar eficientemente los fotones del espectro solar (<2,2 eV) y debe ser lo suficientemente alto como para proporcionar los electrones excitados para llevar a cabo la reacción de evolución de oxígeno, que es la reacción más común, en el compartimento anódico (>1,23 eV) (Graves et al., 2011). De manera general, los electrodos basados en materiales semiconductores absorben la luz para generar electrones a un nivel de energía más alto que, junto con los huecos generados, son capaces de llevar a cabo las reacciones redox en una interfaz semiconductor/líquido (Zhang& Wang, 2023). Los huecos generados en el fotoánodo, que suele ser un semiconductor de tipo *n*, pueden oxidar el H<sub>2</sub>O a O<sub>2</sub>, mientras que los electrones generados en el fotocátodo, generalmente un semiconductor de tipo *p*, pueden reducir el CO<sub>2</sub> a CO, HCOOH, CH<sub>3</sub>OH o hidrocarburos en presencia o ausencia de un cocatalizador (Xie et al., 2015). Además, el fotoelectrodo debe ser estable y resistente a la corrosión en el electrolito. Por

lo tanto, la fotoelectroquímica es un campo interdisciplinario que incluye disciplinas como la electroquímica, la física, la óptica y la ciencia de superficies (Jiang et al., 2010).

El TiO<sub>2</sub> ha sido el fotocatalizador más investigado hasta la fecha (Liu et al., 2022; Cortes et al., 2020; Cheng et al., 2019; Cheng et al., 2020; Kobayashi et al., 2020). Esto se debe a que el TiO<sub>2</sub> es un semiconductor de tipo *n* con un amplio *bandgap* de 3,0 eV (Styring et al., 2014) y ha sido considerado como un material económico y respetuoso con el medio ambiente desde que se utilizó por primera vez con este propósito en 1979 (Inoue et al., 1979). Además del TiO<sub>2</sub>, existen otros semiconductores que pueden utilizarse como fotoánodos, como por ejemplo el BiVO<sub>4</sub>, WO<sub>3</sub> (Li et al., 2019; Wang et al., 2020); Kang et al., 2021) o mezclas entre ambos (Lu et al., 2020), así como el TiO<sub>2</sub> dopado con distintos materiales como CuO (De Brito et al., 2019), ZrO<sub>2</sub> (De Brito et al., 2019), o Si (Liu et al., 2022), entre otros. Entre los fotocátodos más comunes, destacan los materiales basados en Cu<sub>2</sub>O (Minggu et al., 2020), CuO (Wang et al., 2022), CuFe<sub>2</sub>O<sub>4</sub> (Tarek et al., 2019), Fe<sub>2</sub>O<sub>3</sub> (Bharath et al., 2021), o Si (Hu et al., 2023), entre otros. Sin embargo, el desarrollo de fotocátodos eficientes se encuentra menos avanzado que el desarrollo de fotoánodos, ya que requiere combinar la compleja reducción de CO<sub>2</sub> con las propiedades ópticas y semiconductoras del material.

En cuanto a la configuración del reactor PEC, existen cuatro configuraciones mayoritarias (Creissen et al., 2022; Ding et al., 2020): (Figura 1.1): basada en el empleo de fotoánodo - cátodo a oscuras (Cheng et al., 2014, 2015) (Figura 1.1a), fotocátodo – ánodo a oscuras (Bharath et al., 2021a; Liu et al., 2023; Otgonbayar et al., 2023) (Figura 1.1b), fotoánodo – fotocátodo (Kistler et al., 2021) (Figura 1.1c), o los reactores tándem que incorporan elemento fotovoltaicos (Zhang, et al., 2020a) (Figura 1.1d).



**Figura 1.1.** Configuración de reactor PEC empleando una configuración: (a) fotoánodo-cátodo a oscuras; (b) fotocátodo-ánodo a oscuras, (c) fotocátodo y fotoánodo, y (d) sistema tándem (Rahaman et al., 2020.)

Por otro lado, los reactores PEC pueden operar en modo continuo o discontinuo. La operación en modo continuo ha ganado atención en los últimos años debido a su mayor eficiencia y capacidad para tratar volúmenes más grandes de CO<sub>2</sub>, lo que la hace más adecuada para aplicaciones prácticas (Bi et al., 2022; Lu et al., 2020). A su vez, los reactores PEC con operación en continuo pueden contener el catalizador suspendido en una solución electrolítica (lecho fluidizado) o adherido a una superficie (lecho fijo) (Ola & Maroto-Valer, 2015). Los reactores de lecho fluidizado mejoran la transferencia de materia y calor debido al movimiento de las partículas sólidas, pero separar el catalizador del medio de reacción puede dificultar la eficiencia energética y la formación de productos. En comparación, los reactores de lecho fijo tienen áreas de superficie, tasas de conversión y tiempos de reacción más altos que los reactores de lecho fluidizado. Sin embargo, los gradientes de temperatura entre el flujo de gas y el catalizador pueden reducir la eficiencia de conversión. Así, la integración de (foto)electrodos en reactores de lecho fijo puede realizarse en celdas sin dividir (Figura 1.2a), donde en un compartimento único ocurren tanto las reacciones de reducción y oxidación, con un solo electrolito que actúa como catolito y anolito al mismo tiempo.



**Figura 1.2.** (a) Configuración de reactor fotoelectroquímico sin dividir y (b) Configuración de reactor fotoelectroquímico separado por una membrana de intercambio iónico. Adaptada de la referencia Merino-Garcia et al., 2016.

Por otra parte, las celdas divididas por una membrana (Figura 1.2b) impiden la transferencia de productos de reacción de un compartimento a otro, permitiendo el flujo de especies cargadas según su naturaleza (Endrődi et al., 2017). Así, las membranas de intercambio catiónico (CEM) permiten el transporte de protones desde el ánodo hasta el cátodo (Genovese et al., 2013), las membranas de intercambio aniónico (AEM) permiten el transporte selectivo de especies de carga negativa como el OH<sup>-</sup> (Kutz et al., 2017), y las membranas bipolares (BPM) hechas de AEM y CEM pueden transportar protones al cátodo y OH<sup>-</sup> al ánodo simultáneamente (Gurudayal et al., 2017; Zhou et al., 2016).

Además, se emplean comúnmente estructuras de electrodos de difusión de gas (GDE), tanto para el cátodo como para el ánodo, considerando que ciertos reactivos y productos se encuentran en fase gaseosa. Esta arquitectura de reactor permite superar las limitaciones asociadas con otras configuraciones: i) limitaciones de corriente debido a la baja concentración de CO<sub>2</sub> en la superficie del electrodo, ii) migración de H<sup>+</sup> y acidificación del catolito, o iii) difusión y reoxidación de productos líquidos en el ánodo (Endrődi et al., 2017). En este sentido, es fundamental resaltar también la configuración de ensamblaje membrana-electrodo (MEA), que se compone de una estructura tipo sándwich que consta de tres capas. En primer lugar, se encuentra una membrana de intercambio iónico, comúnmente una membrana de intercambio catiónico, cuya función principal es facilitar la transferencia de protones generados en el ánodo hacia el cátodo. Seguidamente, se sitúa el cátodo, donde se lleva a cabo la reducción del CO<sub>2</sub>. Por último, se encuentra el ánodo, compuesto por materiales activos que generan electrones y, en general, promueven la reacción de evolución de oxígeno (OER). Un aspecto relevante de esta configuración radica en la disminución de las limitaciones de transferencia de materia entre compartimentos a medida que se reduce la distancia entre los electrodos (Ampelli et al., 2012).

Planteamiento

En este contexto, el grupo de investigación Desarrollo de Procesos Químicos y Control de Contaminantes (DePRO), liderado por el Prof. Ángel Irabien Gulías, del Departamento de Ingenierías Química y Biomolecular de la Universidad de Cantabria, cuenta con una amplia experiencia y trayectoria en el desarrollo de procesos de utilización de CO<sub>2</sub> mediante la vía electroquímica para la obtención de productos de alto valor añadido en modo continuo en celdas de flujo. Entre los principales antecedentes de este grupo destacan la Tesis Doctoral "Electrorreducción de dióxido de carbono en fase gas para la producción de hidrocarburos", realizada por el Dr. Iván Merino García y dirigida por el Prof. Ángel Irabien Gulías y el Dr. Jonathan Albo Sánchez, la Tesis Doctoral "Valorización electroquímica de CO2 para la producción de formiato en continuo usando electrodos de difusión de gas (GDEs)", realizada por el Dr. Andrés del Castillo Martín y dirigida por el Prof. Ángel Irabien Gulías y el Dr. Manuel Álvarez Guerra, y, por último, la Tesis Doctoral "Utilización de CO2 por vía electroquímica: desarrollo de un proceso en continuo para la obtención de formiato con alta eficiencia", realizada por el Dr. Guillermo Díaz Sainz y dirigida por el Prof. Ángel Irabien Gulías y el Dr. Manuel Alvarez Guerra. La presente Tesis Doctoral marca el comienzo de una nueva línea de investigación en el grupo, orientada a la fotoelectrorreducción de CO<sub>2</sub> en continuo mediante el uso de reactores de membrana.

### 1.2 Objetivos y estructura

La presente Tesis Doctoral se ha llevado a cabo en el marco de tres proyectos de investigación. Por un lado, el proyecto CTQ2013-48280-C3-1-R (MINECO/FEDER,UE) titulado "Desarrollo e integración de procesos con membranas para la captura y valorización de dióxido de carbono", subvencionado por el Ministerio de Economía y Competitividad, por otro lado, el proyecto CTQ2016-76231-C2-1-R (AEI/FEDER, UE) titulado "Diseño multiescala de procesos de captura y utilización de dióxido de carbono", subvencionado por el Ministerio de Ciencia, Innovación y Universidades, V por último, el proyecto PID2019-104050RA-I00 (AEI /10.13039/501100011033) titulado "Conversión impulsada por la luz de CO2 en combustibles utilizando reactores microfluídicos", subvencionado por el Ministerio de Ciencia e Innovación. Estos proyectos cuentan con el liderazgo y la participación de los investigadores Dr. Jonathan Albo Sánchez y Dr. Guillermo Díaz Sainz, directores de la presente Tesis Doctoral y pertenecientes al grupo de investigación DePRO de la Universidad de Cantabria. Basado en el marco descrito, el objetivo general de la Tesis Doctoral es el análisis, diseño y optimización de un reactor fotoelectroquímico de membrana para la conversión en continuo de CO<sub>2</sub>. Con el fin de alcanzar este objetivo principal, se plantean los siguientes objetivos específicos, los cuales son desarrollados en las publicaciones que conforman la Tesis Doctoral:

• Realizar una exhaustiva revisión bibliográfica sobre la valorización fotoelectroquímica de CO<sub>2</sub>, prestando especial atención a las diferentes configuraciones de los reactores y

electrodos, los diferentes materiales fotoactivos empleados, así como los productos de reducción obtenidos.

- Diseñar la configuración y operación de un fotoelectroreactor de membrana y su funcionamiento en modo continuo. Para ello, se debe llevar a cabo el diseño y la puesta a punto de un sistema experimental versátil que permita operar con diferentes configuraciones de reactor y electrodos para la conversión de CO<sub>2</sub>, tanto en fase líquida como en fase gas.
- Realizar experimentos cinéticos para analizar la influencia de variables clave en el proceso, como la intensidad de la luz suministrada, el voltaje externo aplicado, los caudales de electrolito y CO<sub>2</sub> utilizados, entre otros. Para ello, se ha utilizado TiO<sub>2</sub> como material fotoactivo en el ánodo (TiO<sub>2</sub>-P25) y Cu en el cátodo a oscuras.
- Ampliar el estudio de empleo de materiales más allá de aquellos basados en TiO<sub>2</sub> comercial, explorando el funcionamiento de otros materiales semiconductores basados en TiO<sub>2</sub> con propiedades mejoradas, como son las nanopartículas de TiO<sub>2</sub> sintetizadas en medio supercrítico (TiO<sub>2</sub>-SC).

De acuerdo con los objetivos específicos, y considerando la normativa vigente en la Universidad de Cantabria y en el Programa de Doctorado de la Ingeniería Química, de la Energía y de los Procesos para la elaboración de Tesis Doctorales por compendio de artículos previamente publicados, el presente trabajo se desarrolla en cuatro capítulos distribuidos de la siguiente forma:

- El **Capítulo 1**: que consiste en el planteamiento de la presente Tesis Doctoral, conteniendo el marco y los objetivos.
- El **Capítulo 2**: que describe la metodología experimental aplicada a lo largo de las diferentes partes que componen la Tesis Doctoral.
- El Capítulo 3: que supone el núcleo central de la Tesis Doctoral, incluyendo una copia de los artículos que la sustentan. Estos artículos se integran en el ya mencionado objetivo general de la Tesis Doctoral, incluyendo una revisión bibliográfica sobre la valorización fotoelectroquímica de CO<sub>2</sub> en la publicación "*Photoelectrochemical reactors for CO<sub>2</sub> utilization*", el diseño de la configuración del fotoelectroreactor de membrana y el funcionamiento en modo continuo con materiales basados en TiO<sub>2</sub>, así como el estudio de la influencia de variables clave en el proceso con el trabajo "*Continuous conversion of CO<sub>2</sub> to alcohols in a TiO<sub>2</sub> photoanode-driven photoelectrochemical system*", y explorar el empleo de materiales basados en TiO<sub>2</sub> con propiedades mejoradas a través de la publicación "*Efficient photoelectrochemical conversion of CO<sub>2</sub> to ethylene and methanol using a Cu cathode and TiO<sub>2</sub> nanoparticles synthesized in supercritical medium as photoanode".*
- El **Capítulo 4**: que comprende un resumen global de los principales resultados junto con las conclusiones a las que se ha llegado a través de la elaboración de esta Tesis Doctoral.

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# Metodología

### CAPÍTULO 2. METODOLOGÍA

Este capítulo proporciona una descripción detallada de los materiales empleados, del sistema experimental, y los procedimientos utilizados a lo largo de la Tesis Doctoral.

### 2.1 Síntesis y caracterización de nanopartículas de TiO<sub>2</sub>

La síntesis de nanopartículas de TiO<sub>2</sub> en CO<sub>2</sub> supercrítico (TiO<sub>2</sub>-SC) se ha llevado a cabo utilizando un sistema experimental diseñado específicamente en el Departamento de Ingeniería Química de la Universidad de Castilla-La Mancha (Camarillo et al., 2017), con el que el grupo DePRO de la Universidad de Cantabria ha establecido una colaboración a lo largo de los últimos años. El sistema experimental consta de un baño termostático, una bomba de alta presión y un reactor de síntesis de alta presión como componentes principales. Las nanopartículas de TiO<sub>2</sub> se obtienen mediante la hidrólisis térmica del isopropóxido de titanio (TTIP), como precursor, utilizando etanol como disolvente y CO<sub>2</sub> supercrítico como medio de reacción. Las condiciones de síntesis fueron una presión de 20 MPa, una temperatura de 300 °C, una relación molar de 28 mmol de precursor por mmol de alcohol y un tiempo de reacción de 2 horas. Una vez finalizado el procedimiento de síntesis, los sólidos obtenidos se extrajeron del reactor y se secaron a 105 °C durante 12 horas para asegurar la total eliminación de agua. Posteriormente, el material se somete a una calcinación a 400 °C durante 6 horas para eliminar impurezas de carbono y aumentar la cristalinidad del TiO<sub>2</sub>. Se utiliza, además, como material comercial de referencia, TiO<sub>2</sub>-P25 (Sigma, Aldrich, P25), con un tamaño de partícula promedio de 21 nm.

Los materiales fotoactivos se caracterizan mediante diversas técnicas. Estas técnicas incluyen microscopía electrónica de barrido (SEM) para analizar la morfología y la composición atómica, difracción de rayos X (XRD) para identificar las caras expuestas y la composición de los diferentes elementos presentes, y al mismo tiempo, comprobar la eficiencia en el proceso de síntesis, análisis BET para determinar la superficie del catalizador y espectroscopia infrarroja transformada de Fourier (FTIR) para analizar la composición de los materiales. Para medir las propiedades ópticas, se utiliza un espectrómetro Cary 6000i con una esfera integradora para mediciones de espectroscopia de reflectancia difusa (DRS) en el rango UV-Vis-NIR, y un fluorómetro de doble rejilla FLSP 920 de Edinburgh Instruments equipado con una lámpara de xenón y un tubo fotomultiplicador Hamamatsu R928. Estos instrumentos permiten realizar el análisis de fotoluminiscencia (PL) tanto de emisión como de excitación, lo que permite estudiar las propiedades ópticas tanto de TiO<sub>2</sub>-SC como de TiO<sub>2</sub>-P25.

#### 2.2 Fabricación de los fotoánodos

Para el desarrollo de los fotoánodos, se emplea la técnica de aerografía manual con una tinta compuesta por una mezcla del catalizador de TiO<sub>2</sub>, Nafion (Alfa Aesar, 5% en peso) como aglutinante e isopropanol (99,5%, Sigma Aldrich) como disolvente. La relación másica entre el TiO<sub>2</sub> y el Nafion es de 70:30, con un porcentaje en peso de sólidos (TiO<sub>2</sub> y Nafion) del 3% (97% en peso de isopropanol). La tinta se introduce en un baño de ultrasonidos durante 30 minutos antes de ser depositada sobre el papel de carbono Toray (TGP-H60).

El proceso se lleva a cabo sobre una placa calefactora a 100 °C, para favorecer la evaporación del isopropanol. Posteriormente, el fotoánodo de TiO<sub>2</sub> (carga catalítica entre 1 y 3 mg·cm<sup>-2</sup>) se acopla mediante prensado en caliente con una membrana de intercambio catiónica de Nafion (Nafion 117). Esta membrane se activa en HCl durante 30 minutos y, posteriormente, se enjuaga con agua desionizada antes de su uso, formando un ensamblaje membrana-electrodo (MEA) capaz de mejorar el transporte de cargas y reducir las limitaciones a la transferencia de materia (Albo et al., 2017; Merino-Garcia et al., 2017).

#### 2.3 Caracterización fotoelectroquímica y fisicoquímica de los fotoánodos

Las propiedades PEC de los fotoánodos se determinan, en primer lugar, mediante voltamperometría de barrido lineal (LSV), tanto con iluminación de luz LED como sin ella, mientras se alimenta continuamente CO<sub>2</sub> al reactor en un rango de potenciales de entre -1,2 y -2 V (vs. Ag/AgCl). Además, se investiga el comportamiento de los fotoánodos de TiO<sub>2</sub> mediante ensayos de cronoamperometría a una velocidad de escaneo de 50 mV·s<sup>-1</sup> después de 20 ciclos, con y sin iluminación.

Adicionalmente, las superficies se caracterizan mediante difracción de rayos X (XRD) utilizando un difractómetro de polvo (Phillips X'Pert MDP). Esta caracterización se lleva a cabo antes y después de 150 minutos de operación continua bajo irradiación, con el objetivo de determinar la composición y cristalinidad de los materiales, así como su estabilidad.

#### 2.4 Sistema experimental para la fotoelectroreducción de CO<sub>2</sub>

La fotoelectroreducción de CO<sub>2</sub> en continuo se lleva a cabo en un reactor electroquímico de tipo filtro prensa comercial (Electrocell A/S, Dinamarca) que se adapta con tapas transparentes para poder ser iluminado en ambos compartimentos. La Figura 2.1 muestra una vista detallada de la configuración de la celda, mientras que la Figura 2.2. muestra un esquema del sistema experimental. Este sistema incluye cuatro tanques, para las disoluciones electrolíticas de entrada y salida, dos bombas peristálticas para circular el líquido a un caudal de 10 mL·min<sup>-1</sup>, dos

indicadores de presión y controladores de caudal másico para fijar el caudal de entrada de CO<sub>2</sub> en 180 mL·min<sup>-1</sup>. Además, se utiliza un potenciostato (AutoLabPGSTAT 302N) para controlar el potencial aplicado y medir la densidad de corriente generada.



Figura 2.1. Reactor PEC de tipo filtro prensa iluminado (arriba) y esquema gráfico de los componentes internos de la celda (abajo).

Se emplea una placa de Cu como cátodo y los diferentes MEAs preparados como fotoánodos, que actúan como separador de los compartimentos de la celda PEC. Como catolito y anolito, se utiliza una disolución acuosa de 1 mol·L<sup>-1</sup> de KOH, y una fuente de luz LED UV fría (365 nm) para iluminar el área fotoactiva (10 cm<sup>2</sup>) del fotoánodo. La intensidad de la luz se mide con un radiómetro (Fotorradiómetro Delta OMH) y se controla ajustando la intensidad del LED y la distancia entre el microreactor y el LED.

En el lado del cátodo, hay dos entradas: el catolito y la alimentación gaseosa de CO<sub>2</sub>; y una salida: el catolito con los productos de reacción (líquidos y gaseosos). La placa de Cu actúa como electrodo de trabajo, el fotoelectrodo de TiO<sub>2</sub> como contraelectrodo y Ag/AgCl (1 mm) como electrodo de referencia. La placa de Cu se limpia antes de cada experimento con una solución de HCl (37%) para garantizar que Cu(0) sea la especie mayoritaria (Kim et al., 1988). La reducción de CO<sub>2</sub> se realiza en condiciones ambientales.





Los ensayos fotoelectroquímicos de reducción de CO<sub>2</sub> se llevan a cabo al menos por duplicado en modo continuo durante 50 minutos, cuando se alcanza un rendimiento pseudoestable. Las muestras de gas y líquido se toman a la salida del reactor (compartimento catódico) cada 10 minutos para calcular la concentración del producto a la salida. Se utiliza un cromatógrafo de gases (GCMSQP2010 Ultra, Shimadzu) equipado con un detector de ionización de llama (GC-FID) para medir la formación de productos líquidos, como alcoholes. La producción de formiato en fase líquida también se analiza mediante cromatografía iónica (IC, Dionex ICS 1100). Además, se miden muestras gaseosas utilizando un microcromatógrafo de gases instalado en línea (3000 Micro GC, Inficon). Se descartan los resultados de concentración dos veces por debajo o por encima del valor promedio para, posteriormente, calcular las principales figuras de méritos.

#### 2.5 Figuras de mérito

El funcionamiento del proceso se evalúa teniendo en cuenta las siguientes figuras de mérito: (i) velocidad de formación de productos, (ii) eficiencia Faradaica (EF), (iii) eficiencia energética (EE) y (iv) eficiencia de conversión de energía solar en productos (STF, *Solar-to-fuel*, en inglés).

En primer lugar, la velocidad de formación de productos se define como los moles de producto obtenidos por unidad de tiempo y unidad de área geométrica de electrodo, como se muestra en la ecuación 2.1:

Velocidad de producción (µmol  $\cdot$  m<sup>-2</sup>  $\cdot$  s<sup>-1</sup>) =  $\frac{n}{A \cdot t}$  (Ecuación 2.1)

Donde *n* es el número de moles de producto generado (µmol producto), *A* es el área geométrica de electrodo (m<sup>2</sup>) y *t* es el tiempo total del experimento (s).

Por otro lado, la *EF* expresa el porcentaje de la energía total suministrada que se ha utilizado para obtener el producto deseado. Se puede calcular utilizando la ecuación 2.2:

$$EF(\%) = \frac{z \cdot n \cdot F}{Q \cdot t} \cdot 100 \quad (Ecuación 2.2)$$

Donde *z* es la relación entre el número de electrones intercambiados en el proceso de reducción de CO<sub>2</sub> y el número de moles de producto generado (mol electrones mol producto<sup>-1</sup>), *n* es el número de moles de producto generado (mol producto), *F* es la constante de Faraday (96.485 C·mol electrones<sup>-1</sup>), *Q* es la carga total aplicada en el sistema (A) y *t* es el tiempo total del experimento (s).

La *EE* se define como el porcentaje de la energía total utilizada para la formación de un determinado producto, de acuerdo a la ecuación 2.3:

EE (%) = 
$$\frac{E_t}{E} \cdot EF$$
 (Ecuación 2.3)

Donde *E* es el potencial externo aplicado (V), *E*<sub>t</sub> representa el voltaje teórico necesario para la formación de cada producto y *EF* es la eficiencia Faradaica. Los potenciales teóricos para el etileno y el metanol (V vs. Ag/AgCl a pH 7) son – 0,539 V y – 0,589 V, respectivamente (Irabien et al., 2018).

Por último, *STF* representa la eficiencia del proceso para la generación de productos utilizando la luz de acuerdo a la Ecuación 2.4:

STF (%) = 
$$\frac{P_{f,o} + P_{e,o}}{P_S + P_{e,i}} = \frac{I_{op} \cdot E_{f,o} \cdot EF}{P_S + P_{e,i}}$$
 (Ecuación 2.4)

Donde  $P_{f,o}$  es la potencia de salida contenida en el combustible químico (W),  $P_{e,o}$  es la potencia de salida en forma de electricidad (W),  $P_s$  es la potencia de entrada por irradiación solar y  $P_{e,i}$  es la entrada externa de potencia eléctrica (W). Además,  $I_{op}$  es la corriente operativa (A),  $E_{f,o}$  es la diferencia de potencial (V) entre las dos semirreacciones (es decir, el producto de reducción de CO<sub>2</sub> y el O<sub>2</sub> de la oxidación del agua) y *EF* es la eficiencia Faradaica.

# 2.6 Referencias del capítulo 2

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Merino-Garcia, I., Albo, J., & Irabien, A. (**2017**). Productivity and Selectivity of Gas-Phase CO<sub>2</sub> Electroreduction to Methane at Copper Nanoparticle-Based Electrodes. *Energy Technology*, *5*, 922–928. <u>https://doi.org/10.1002/ente.201600616</u>

# Artículos científicos

# **CAPÍTULO 3. ARTÍCULOS CIENTÍFICOS**

A continuación, se presentan los artículos científicos publicados que forman parte de la Tesis Doctoral, junto con sus correspondientes resúmenes en español.

3.1. Sergio Castro, Jonathan Albo, Ángel Irabien. 2018. Photoelectrochemical reactors for CO<sub>2</sub> utilization. ACS Sustainable Chemistry & Engineering, 6, 12, 15877-15894.



**Figura 3.1.** Resumen gráfico del artículo: "Photoelectrochemical reactors for CO<sub>2</sub> utilization".

Este artículo de revisión se centra en la reducción fotoelectroquímica de CO<sub>2</sub> utilizando energía solar para la producción sostenible de combustibles y productos químicos de alto valor añadido. Esta línea de investigación ha despertado un gran interés recientemente entre la comunidad científica, debido a su potencial para contribuir a resolver los problemas asociados al calentamiento global. Los esfuerzos de investigación realizados hasta la fecha se han centrado en el desarrollo de materiales activos, prestando una menor atención a la influencia de la configuración del reactor y electrodos en el rendimiento del proceso. Por lo tanto, el objetivo de esta revisión es proporcionar un análisis exhaustivo de la fotoelectroreducción de CO<sub>2</sub>, centrándose en las celdas fotoelectroquímicas y fotoelectrodos empleados, así como en los materiales fotoactivos utilizados y los productos obtenidos para cada configuración, considerando las variables clave en el proceso. Así, la revisión se estructura de la siguiente manera: (i) configuraciones de reactores fotoelectroquímicos, (ii) materiales empleados para los fotoelectrodos, (iii) principales productos de reducción, y (iv) conclusiones y desafíos futuros. El objetivo final es recopilar toda la información disponible hasta la fecha y avanzar hacia la aplicación práctica de la fotoelectrocatálisis para la conversión de CO<sub>2</sub>.

El diseño de un reactor fotoelectroquímico debe basarse en una cuidadosa evaluación de diversos factores, como es la fuente de luz, la configuración geométrica, el material de construcción, el intercambio de calor, las características de mezcla y las características de flujo,

entre otros. Dos de los parámetros clave del proceso son las fases involucradas y el modo de operación (discontinuo, semidiscontinuo y continuo). El ánodo y el cátodo deben estar, preferiblemente, en forma de películas delgadas separadas por una membrana conductora de protones y depositadas sobre sustratos porosos. Esto permite un transporte y separación eficiente de electrones. Para una fotoconversión de CO<sub>2</sub> eficiente, se necesita también de la reacción de evolución del oxígeno en el lado del ánodo, así como una apropiada difusión de CO<sub>2</sub> en el lado del cátodo.

En los últimos años se está empleando mayoritariamente la configuración fotoánodo con un cátodo a oscuras debido a los beneficios de utilizar semiconductores de tipo n, que son más abundantes, económicos y estables para la oxidación de H<sub>2</sub>O, en lugar de semiconductores tipo p. Otra opción de creciente interés es la aplicación de un sistema compuesto de un fotocátodo y un ánodo en ausencia de luz. El fotocátodo está compuesto por un semiconductor de tipo pdebido a su alta energía de banda de conducción adecuada para la reducción de CO<sub>2</sub>, pero su eficiencia es limitada. Finalmente, el empleo de un material semiconductor tipo p para la reducción de CO<sub>2</sub> en el fotocátodo con un fotoánodo basado en un semiconductor tipo n para la oxidación de H<sub>2</sub>O, donde idealmente puede no ser necesario la aplicación de energía externa, salvo la luz.

En resumen, la reducción fotoelectrocatalítica del CO<sub>2</sub> es una solución tecnológica prometedora que combina las ventajas de la electrocatálisis y la fotocatálisis, reduciendo el voltaje necesario en electrocatálisis para convertir el CO<sub>2</sub> en productos útiles. Sin duda, aún existen grandes retos por resolver antes de que estos procesos tengan aplicación práctica real, pero esta tecnología presenta un gran potencial para alcanzar una mayor eficiencia en la reducción de CO<sub>2</sub> mediante el empleo de energía solar.



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# Photoelectrochemical Reactors for CO<sub>2</sub> Utilization

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**ABSTRACT:** The photoelectrochemical reduction of  $CO_2$  to renewable fuels and valuable chemicals using solar energy is a research topic that has attracted great attention recently due to its potential to provide value-added products under the Sun, solving the issues related to global warming at the same time. This review covers the main research efforts made on the photoelectrochemical reduction of CO<sub>2</sub>. Particularly, the study focuses in the configuration of the applied reactor, which is a topic scarcely explored in the literature. This includes the main materials used as photoelectrodes and their configuration in the photoelectrochemical reactor, which are



discussed for technical uses. The review provides an overview of the state-of-the-art processes and aims to help in the development of enhanced photoelectroreactors for an efficient CO<sub>2</sub> utilization.

**KEYWORDS:** Photoelectrocatalysis, CO<sub>2</sub> reduction, Reactor configurations, Photoelectrodes, Climate change

#### INTRODUCTION

The reduction of fossil fuels and the growing emission of carbon dioxide (CO<sub>2</sub>) have accelerated research activities to produce fuels and chemicals from wasted CO<sub>2</sub> as a carbon source. CO<sub>2</sub> can be considered an unlimited, renewable, and valuable carbon source instead of a greenhouse gas emission. However, due to the chemical properties of CO2, its transformation requires a high level of energy since the bond dissociation energy of C=O is ~750 kJ·mol<sup>-1</sup>, higher than other chemical bonds such as C-H (~430 kJ·mol<sup>-1</sup>) and C-C ( $\sim$ 336 kJ·mol<sup>-1</sup>).<sup>1</sup> Nowadays, there are several technologies able to chemically reduce CO2 to value-added products, among them, thermochemical processes (i.e., hydrogenation, reforming), mineralization, electrochemical reduction, and photo/ photoelectrochemical reduction.<sup>2</sup> Compared to thermochemical processes, mineralization processes present limitations that should be solved through further technological developments due to the low carbonation rates, high cost, and energy penalties. It is also possible to dissociate CO<sub>2</sub> and H<sub>2</sub>O by thermolysis at extremely high temperatures. Electrochemical processes, however, permit dissociation to H<sub>2</sub>O or CO<sub>2</sub> at ambient conditions using electricity and have attracted worldwide interest due to their potential environmental and economic benefits.<sup>3</sup> This technology not only offers a viable route to reuse CO<sub>2</sub> but also an excellent alternative to store intermittent energy from renewable sources in the form of chemical bonds.<sup>4,5</sup> When electrochemical reduction integrates a light source, a photoelectrochemical device is obtained, which has attracted intense progress in recent years.<sup>6,7</sup> In principle, such integration reduces the system capital cost and enables higher efficiency by reducing losses in transporting electricity to the electrolysis cell, eliminating current collectors and interconnections between devices. The operation of a photoelectrochemical (PEC) cell is inspired by natural

photosynthesis in which carbohydrates are formed from CO<sub>2</sub>. The goal is to use excited electrons that are generated when the semiconductor absorbs light to effect electrochemistry on a redox couple strategically chosen to produce the desired chemicals.<sup>8</sup>

The concept of a photoelectrochemical cell is comparable to a conventional electrochemical cell, except that energy necessary to cause redox reactions is partially provided by the light. This concept is represented in Figure 1. A



Figure 1. Photoelectrochemical setup.<sup>9</sup>

semiconductor material absorbs the light at the working electrode, exciting electrons to a higher energy level that, together with the corresponding holes generated, are able of carrying out reduction and oxidation reactions at a semiconductor/liquid interface. In practice, several characteristics of the photoelectrodes must be satisfied simultaneously; the

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electronic band gap of the photoelectrode must be low enough for efficient photon collecting from the solar spectrum (<2.2 eV) and high enough such that the excited electrons have enough energy to split water (>1.23 eV or typically at least 1.6-1.7 eV for sufficient rates), the band edges must cover the water electrolysis redox potentials, and the photoelectrode must be stable and resistant to corrosion in the aqueous electrolyte.<sup>10</sup> The photoelectrochemistry is therefore a thrilling and interdisciplinary research field that includes electrochemistry, surface science, solid-state physics, and optics.<sup>11</sup>

 $CO_2$  can be converted to a wide range of products such as  $CO, H_2$ , HCOOH,  $CH_3OH$ ,  $CH_4$ , etc.<sup>12</sup> Equations 1–5 shows the thermodynamic potentials for  $CO_2$  reduction products at neutral pH in aqueous solution versus a saturated calomel electrode (SCE) and 25°C and atmospheric pressure<sup>13</sup>

$$CO_2 + 2H^+ + 2e^- \rightarrow CO + H_2O \quad E^\circ = -0.77V$$
 (1)

$$\text{CO}_2 + 2\text{H}^+ + 2\text{e}^- \rightarrow \text{HCOOH} \quad \text{E}^\circ = -0.85 \text{V}$$
 (2)

$$CO_2 + 6H^+ + 6e^- \rightarrow CH_3OH + H_2O \quad E^\circ = -0.62V$$
(3)

$$CO_2 + 8H^+ + 8e^- \rightarrow CH_4 + 2H_2O \quad E^\circ = -0.48V$$
(4)

$$\operatorname{CO}_2 + e^- \to \operatorname{CO}_2^{\bullet^-} \quad \mathrm{E}^\circ = -2.14 \mathrm{V}$$
 (5)

The extent to which the reaction progresses depends mainly on the catalytic systems, as well as the reaction media and potential applied.<sup>12</sup> Typically, multiple proton coupled electron transfer steps must be orchestrated, presenting kinetic barriers to the forward reaction.<sup>13</sup> Also, part of the energy supplied to the system may be consumed by the competitive reaction for H<sub>2</sub> production from water electrolysis in the Hydrogen Evolution Reaction (HER). The state-of-the-art process for the production of value-added products from CO<sub>2</sub> using solar energy is still far from practical consideration, but knowledge has been accumulated through the years.<sup>14</sup> Since the first report in 1978 on CO<sub>2</sub> photoelectrochemical reduction by Halman,<sup>15</sup> many review articles have been written about different aspects of this photoelectrochemical technology, as shown in Table 1. In 2014, Ibrahim et al.<sup>9</sup> collected the available knowledge about photoelectrochemical reactions to produce biofuel from biomass. In 2015, Sudha et al.<sup>16</sup> review the recent developments in the synthesis and application of composite photocatalysts derived from TiO<sub>2</sub>, CdS, WO<sub>3</sub>, SnS, and ZnO. Moreover, Nikokavoura et al.<sup>1</sup> presented alternative photocatalysts to TiO<sub>2</sub> for the photoelectroreduction of CO2. Inorganic and carbon-based semiconductors, mixed-metal oxides, salt composites, and other groups of photocatalysts were examined in this review. Moreover, a simplified energetic and economic feasibility assessment for a solar refinery (including photoelectrochemical means) for the production of methanol was developed by Herron et al.,<sup>18,19</sup> concluding that at least a 15% solar-to-fuel efficiency is required in order to compete with industrial methanol production. Also, one of the most important factors affecting the CO<sub>2</sub> reduction performance is the configuration of the photoreactor. A complete discussion on photoreactoreactor analysis and design was carry out by Yue in 1985.<sup>20</sup> In this paper, the author presented an overview of the important engineering problems in the modeling and design of photoreactors. More recently, Cassano et al.<sup>21</sup> in 2005 described the most important technical tools that are needed

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# Table 1. List of Review Articles on CO2Photoelectrochemical Reduction

Author (year)	Publication title	ref
A. J. Bard (1979)	Photoelectrochemistry and heterogeneous photocatal- ysis at semiconductors	22
D. A. Tryk (2000)	Recent topics in photoelectrochemistry: Achievements and future prospects	23
B. Kumar (2012)	Photochemical and photoelectrochemical reduction of $\mathrm{CO}_2$	13
A. Harriman (2013)	Prospects for conversion of solar energy into chemical fuels: The concept of a solar fuels industry	7
S. N. Habisreu- tinger (2013)	Photocatalytic reduction of CO <sub>2</sub> on TiO <sub>2</sub> and other semiconductors	24
N. Ibrahim (2014)	Biofuel from biomass via photoelectrochemical reac- tions: An overview	9
J. Zhao (2014)	Hybrid catalysts for photoelectrochemical reduction of carbon dioxide: A prospective review on semi- conductor/metal complex cocatalyst systems	25
J. Highfield (2015)	Advances and recent trends in heterogeneous photo(- electro)-catalysis for solar fuels and chemicals	26
Y. Yan (2015)	Photoelectrocatalytic reduction of carbon dioxide	8
C. Ampelli (2015)	Electrolyte-less design of PEC cells for solar fuels: Prospects and open issues in the development of cells and related catalytic electrodes	27
D. Sudha (2015)	Review on the photocatalytic activity of various composite catalysts	16
S. Xie (2016)	Photocatalytic and photoelectrocatalytic reduction of CO <sub>2</sub> using heterogeneous catalysts with controlled nanostructures	28
A. Nikokavoura (2017)	Alternative photocatalysts to $\text{TiO}_2$ for the photocatalytic reduction of $\text{CO}_2$	17
L. Y. Ozer (2017)	Inorganic semiconductors-graphene composites in photo(electro)catalysis: Synthetic strategies, interac- tion mechanisms and applications	29
P. Wang (2018)	Recent progress on photo-electrocatalytic reduction of carbon dioxide	30

to design photoreactors using computer simulation of a rigorous mathematical description of the reactor performance, both in the laboratory and on a commercial scale.

In any case, it seems that the effect of reactor configuration in the  $CO_2$  photoreduction performance has not been discussed in the literature as much as the development of active materials. To fill this gap, the aim of the present review is to provide a deeper analysis on the photoelectroreduction of  $CO_2$  in terms of photoelectrochemical cell and photoelectrodes configuration, together with a discussion on photoactive materials applied and obtained products for each configuration as key variables in process performance. The discussion is structured as follows: (i) photoelectrochemical reactor configurations, (ii) photoelectrode materials, (iii) main reduction products and finally, (iv) conclusions and future challenges. This compilation aims to be a step further toward real application of photoelectroreactors for  $CO_2$  conversion.

#### SUMMARY OF STUDIES

**PEC Reactor Configuration.** The reactor can be considered the heart of a photoelectrochemical system. In a PEC reactor, the illumination of the photoactive surface becomes crucial, together with other common variables in reactors such as mass transfer, mixing, or reaction kinetics.<sup>31</sup> The design should be made based on a careful evaluation of different factors influencing reaction performance:<sup>20,21</sup> (i) light source and geometrical configuration, (ii) construction material, (iii) heat exchange, (iv) mixing and flow characteristics, and (v) phases involved and mode of operation.<sup>32</sup> These are discussed as follows:

ref	45-47	48, 49	50, 51	51, 52
Disadvantages	Filters (liquid phase) and scrubbers (gas) are needed. Flooding tends to reduce the effectiveness of the catalyst. Difficulty of separating the catalyst from the reaction mixture. Low light utilization efficiency due to absorption and scattering of the light by the reaction medium. Restricted processing capacities due to limitations in mass transfer.	Temperature gradient between gas and solid surface.	Low light efficiency due to opacity of channels of the monolith.	Maximum use of the reactor volume is not achieved. Heat buildup of fibers can lead to rapid catalyst deactivation.
Advantages	Temperature gradients inside the beds can be reduce through vigorous movements caused by the solid passing through the fluids. Heat and mass transfer increase due to agitated movement of solid particles. High catalyst loading.	<ul> <li>High surface area. Fast reaction time. The conversion rate per unit mass of the catalyst is high due to the flow regime close to plug flow. Low operating cost due to a reduced pressure drop.</li> </ul>	High surface to volume ratio and low pressure drop with high flow rate. Configuration can be easily modified.	High surface area and light utilization efficiency. Efficient processing capacities of the catalyst.
Reactor design	Fluidized and slurry reactor (multiphase)	Fixed bed reactor	Monolith reactor	Optical fiber reactor

Table 2. Photoreactor Systems: Advantages and Disadvantages



Figure 2. (a) Monolith photoreactor.  $^{50}$  (b) Optical fiber photoreactor.  $^{51}$  (c) Fixed bed photoreactor.  $^{45}$ 



Figure 3. Single compartment cell (W = working electrode, R = reference electrode, and C = counter electrode).<sup>59</sup>





(i) For optimum results, light needs to be distributed homogeneously through the entire photoactive surface.  $^{33}$  The



**Figure 5.** (a) Schematic diagram of the CFPR setup: (1) CFPR, (2) solar simulator, (3) electrochemical workstation, (4)  $CO_2$  tank, (5) syringe pump, (6) fresh catholyte from a gas mixing chamber, (7) catholyte collector, (8) fresh anolyte, (9) pump, and (10) anolyte collector. The electrical connections to the electrodes are marked as WE, CE, and RE for the cathode, and reference electrode, respectively. (b) Schematic diagram of the CFPR itself consisting of (1) catholyte inlet and location of the reference electrode, (2) optical window (transparent slab), (3) microchannel arrays slab on top of the cathode, (4) cathode, (5) microchannel arrays underneath the cathode, (6) ion exchange membrane, (7) microchannel arrays on top of the anode, (8) anode, (9) outlet of anolyte, (10) outlet of catholyte, and (11) inlet of anolyte.<sup>73</sup>



Figure 6. Semiconductor as photocathode.<sup>28</sup>



Figure 7. Semiconductor as photoanode.<sup>28</sup>



Figure 8. Semiconductors as both photocathode and photoanode.<sup>28</sup>



Figure 9. Filter-press reactor including a Cu–Zn cathode and a Si/Ni foam photoanode.  $^{42}$ 





choice of the most suitable light source can be made by evaluating the reaction energy requirements with respect to the lamp specifications. The well-known xenon arc lamp seems to be the most employed lamp in the literature. This lamp produces a bright white light that closely mimics natural sunlight when electricity passes through the ionized Xe gas at high pressure.<sup>34,35</sup> If solar energy is being considered, it should be noticed that sunlight mainly consists of three different



Figure 11. FEs for CO at different electrode configurations in PEC cells.

wavelengths: ultraviolet ( $\lambda < 400$  nm), visible ( $\lambda = 400-800$  nm), and infrared ( $\lambda > 800$  nm), accounting for 4%, 53%, and 43% of the total solar energy, respectively.<sup>36</sup> Moreover, it is recommended to give a certain pattern of irradiation by mounting the light source inside a glass sheath or some suitable optical assembly as Ampelli et al.<sup>37</sup> evaluated where the Xe-arc lamp of 300 W was protected by a housing and a set of lenses were employed. Regarding geometrical configuration, it is necessary to determine the optical path of the light inside the reactor in a way to obtain the maximum benefit from the irradiation pattern and a good absorption of light photons. For photoreactors, other aspects such as the spatial relation between reactor and light source and geometry are crucial. In all cases, the irradiation takes place normal to the reactor surface.

(ii) In photoreactors, the requirements of light transmission influenced in the construction material election. The choice is usually limited to different types of glass being the Pyrex glass the most used in the bibliography for its adequate light transmission and cost,<sup>38–40</sup> although quartz glass is more expensive, but generally gives the best performance in terms of light transmission. At short wavelengths ( $\lambda < 300$  nm), quartz seems to be the only appropriate material. In some cases, the glass has been substituted by the plastic Plexiglas, except the reactor window, which is continuously being made of glass to allow UV light transmittance. For instance, Cheng et al.<sup>41</sup> reduced CO<sub>2</sub> using a PEC Plexiglas reactor with a quartz window achieving a light intensity at the anode surface of 10 mW·cm<sup>-2</sup> with a 300 W Xe-arc lamp. Another important factor is the thickness of the reactor wall, which decreases the light transmission and limited the size of the reactor and the



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Figure 13. FEs for HCOOH at different electrode configurations in PEC cells.



Figure 14. FEs for  $CH_3OH$  at different electrode configurations in PEC cells.



Figure 15. Electroreduction of  $CO_2$  in the gas phase over Nafion 117/Pt or Fe(10%)/CNT)20%/carbon GDEs.<sup>124</sup>

operating temperature and pressure. Others authors<sup>42,43</sup> use a different design to illuminate the photocatalyst surface, avoiding the problems of construction materials and light transmission by combining the PEC cell with a solar panel



Figure 12. Photoelectrochemical flow cell scheme using a TiO<sub>2</sub> photoanode.<sup>110</sup>

# Table 3. Experimental Conditions and Main Products in Photocathode–Dark Anode Configuration

			Electrode			
Photocathode	Anode	Light source/Intensity	(V vs SCE)	Product	FE/Productivity	ref
p-GaP	Carbon road	Hg lamp ( $\lambda$ = 365 nm)	-1 V	СН <sub>2</sub> О; НСООН; СН <sub>2</sub> ОН	-	15
p-GaAs	Pt	150W Tungsten lamp $(\lambda > 380 \text{ nm})$	-1.2 V	CO	47%	97
p-Gap	Pt	150W Tungsten lamp $(\lambda > 380 \text{ nm})$	-1 V	СО	85%	97
<i>p</i> -InP	Pt	500 W Xe lamp ( $\lambda > 370 \text{ nm}$ )	-1.1 V	СО	89%	98
p-GaAs	Pt	500 W Xe lamp ( $\lambda > 370 \text{ nm}$ )	-1.6 V	СО	74%	98
p-Si	Pt	500 W Xe lamp ( $\lambda > 370 \text{ nm}$ )	-1.8 V	СО	75%	98
<i>p</i> -InP/deposited-metal [Pb, Ag, Au, Pd, Cu and Ni]	Pt	5000 W Xe lamp (λ > 300 nm)	-2.5 V	СО; НСООН	-	77
p-GaAs	Pt	5000 W Xe lamp (λ > 300 nm)	-2.4 V	CO; HCOO <sup>-</sup>	CO: 24.9%; HCOO <sup>-</sup> : 14%	101
p-InP	Pt	5000 W Xe lamp $(\lambda > 300 \text{ nm})$	-2.5 V	CO; HCOO <sup>-</sup>	CO: 41.5%; HCOO <sup>-</sup> : 15%	101
p-GaP	Carbon rod	200 W Hg–Xe-arc light $(\lambda > 365 \text{ nm})$	-0.4 V	CH <sub>3</sub> OH	88%-100%	90
<i>p</i> -InP	Pt	5000 W Xe lamp (λ > 300 nm)	-2.4 V to -2.8 V	СО; НСООН	CO at -2.7 V: 45.2%; HCOOH at -2.6 V: 21.1%	104
p-Si	Pt	150 quartz halogen lamp $(\lambda < 1000 \text{ nm})$	-0.6 V	СО	97%	99
<i>p</i> -InP-Zn	$ \begin{matrix} [Ru(L-L) \\ (CO)_2 \rbrack_n \end{matrix} $	Xe light (400 nm < λ < 800 nm)	-0.6 V	HCOO <sup>-</sup>	34.3%	112
$Cu_2ZnSnS_4$ (CZTS)	[RuCE + RuCA	(400 nm < $\lambda$ < 800 nm)	-0.4 V	НСОО-	82%	113
meso-tetraphenylporphyrin FeIII chloride at <i>p</i> -type Si	CF <sub>3</sub> CH <sub>2</sub> OH	Halogen fiber optic lamp $(\lambda_{max} = 650 \text{ nm})$	-1.1 V	СО	92%	39
Mg-doped CuFeO <sub>2</sub>	Pt	75 W Xe (350 nm < λ > 1350 nm)	-0.9 V	HCOO <sup>-</sup>	10%	108
Cu/Cu <sub>2</sub> O	Pt	125 W Hg lamp	0.2 V	CH <sub>3</sub> OH	_	115
Cu/Cu <sub>2</sub> O	Pt	LED light (435 nm < $\lambda$ > 450 nm)	-2.0 V	CH <sub>4</sub> ; C <sub>2</sub> H <sub>4</sub>	C <sub>2</sub> H <sub>4</sub> : 32.69%	59
Wedged N-doped CuO	Pt	Xe lamp ( $\lambda \ge 420 \text{ nm}$ )	-1.2 V	CH <sub>3</sub> OH	84.4%	116
Ordered mesoporous TiO <sub>2</sub>	Pt	300 W Xe-arc lamp	-0.4 V	CH <sub>4</sub> ; CO	_	88
<i>p</i> -type CuO/Cu <sub>2</sub> O	Stainless steel	(AM 1.5) Solar simulator (Newport Model 91160)	-0.3 V	C <sub>2</sub> H <sub>5</sub> OH; C <sub>3</sub> H <sub>8</sub> O; CH <sub>3</sub> OH	C <sub>2</sub> H <sub>5</sub> OH: 52%; C <sub>3</sub> H <sub>8</sub> O: 40%; CH <sub>3</sub> OH: 4%	59
Cu/Cu <sub>2</sub> O	Pt	125 W Hg lamp	0.20 V	CH <sub>3</sub> OH; C <sub>2</sub> H <sub>5</sub> OH; CH <sub>2</sub> O; C <sub>2</sub> H <sub>4</sub> O; CH <sub>3</sub> COCH <sub>3</sub>	-	117
Cu-Co <sub>3</sub> O <sub>4</sub> NTs	Pt	300 W Xe lamp	-0.9 V	HCOO-	_	109
Cu <sub>2</sub> O-TiO <sub>2-x</sub>	-	150 W Xe lamp (AM 1.5)	-0.07 V to -0.77 V	СН <sub>3</sub> ОН; НСООН	-	34
NiO	Pt	LED with an output of 1000 lm	-0.4 V	H <sub>2</sub>	-	75
Ru(bpy) <sub>2</sub> dppz-Co <sub>3</sub> O <sub>4</sub> /CA	graphite plate	Xe lamp ( $\lambda > 420 \text{ nm}$ )	-0.84 V	HCOO <sup>-</sup>	86%	66
1T@2H-MoS <sub>2</sub>	Pt	Visible-light illumination (400 nm < λ > 800 nm)	-0.6 V	-	-	126
Au <sub>3</sub> Cu NP/Si NW	_	_	-0.8 V	СО	_	127
Si with RA-treated Au thin film mesh	-	$100 \text{ mW cm}^{-2}$	-0.73 V	СО	91%	105
Cu <sub>2</sub> O/graphene/TNA	Pt	300 W Xe-arc lamp (λ >400 nm)	-0.8 V vs SCE	CH <sub>3</sub> OH	45 $\mu$ mol cm <sup>-2</sup> h <sup>-1</sup>	40
$Ti/ZnO-Fe_2O_3$	Pt	300 W Xe lamp (400 nm < λ < 800 nm)	-0.5 V	CH <sub>3</sub> OH; HCOOH; CH <sub>2</sub> O	CH <sub>3</sub> OH: 0.773 mmol/cm <sup>2</sup>	74
Cu-ZnO/GaN/n <sup>+</sup> -p Si	_	300 W Xe lamp	-0.6 V	СО	70%	35
Cu <sub>2</sub> O	Pt	LS 150 with AM1.5 G filter	-0.3 V	CH <sub>3</sub> OH	23.6%	120
$Cu_2O/TiO_2 - Cu^+$	Pt	LS 150 with AM1.5 G filter	-0.3 V	CH,OH	50.7%	120
CdSeTe NPs/TiO <sub>2</sub> NTs	Pt	500W Xe lamp $(\lambda \ge 420 \text{ nm})$	-1.2 V	СН <sub>3</sub> ОН	88%	122
CdSeTe NSs/TiO <sub>2</sub>	Pt	500W Xe lamp $(\lambda \ge 420 \text{ nm})$	-1.2 V	CH <sub>3</sub> OH	25%	122
SnO <sub>2</sub> NRs/Fe <sub>2</sub> O <sub>3</sub> NTs	Pt	Xenon lamp ( $\lambda \ge 420 \text{ nm}$ )	-1.1 V	CH <sub>3</sub> OH	87.04%	121
Cu <sub>2</sub> O	Graphite sheet	$100 \text{ mW cm}^{-2}$	-0.6 V	C <sub>2</sub> H <sub>5</sub> OH	$0.071\ mmol{\cdot}cm^{-2}{\cdot}h^{-1}$	125

Table	3.	continued

Photocathode	Anode	Light source/Intensity	Electrode potential (V vs SCE)	Product	FE/Productivity	ref
0D/2D CND/pCN	Pt	300 W Xe-arc lamp $(\lambda > 400 \text{ nm})$	-0.5 V	CH <sub>4</sub> CO	CH <sub>4</sub> : 2.9 $\mu$ mol·g <sub>catalyst</sub> <sup>-1</sup> ·h <sup>-1</sup> CO: 5.8 $\mu$ mol·g <sub>catalyst</sub> <sup>-1</sup> ·h <sup>-1</sup>	44
Si/Au	Graphite rod	$100 \text{ mW cm}^{-2}$	0 V	СО	96%	93

(PEC-photosolar cell tandem). Thus, the photomaterial is directly illuminated, the light is not disturbed by aqueous media, and  $H_2O$  could be oxidized in the photoanode without applying external bias.

(iii) Heat exchange should be particularly considered, especially in gas–solid systems. Suitable devices must be designed to remove the heat generated by the lamp because of the glass low thermal conductivity.<sup>32</sup> For example, Ong et al.<sup>44</sup> positioned a water jacket between the PEC cell systems and the Xe-arc lamp to lessen the effects of heat on the Na<sub>2</sub>SO<sub>4</sub> electrolyte solution during irradiation.

(iv) Mixing and flows depend on the phases involved in the process and are also important factors to take into account. It is recommended to mix. In the case of heterogeneous photo-reactions, contact between reactants, photons, and catalysts should be promoted with, for example, agitation of the reacting mixture using a stirrer.<sup>32</sup>

(v) Photoreactors applied for  $CO_2$  photoconversion can be classified according to the phases involved (i.e., gas-solid, liquid-solid, gas-liquid-solid, etc.) and the mode of operation (i.e., batch, semibatch, or continuous). Moreover, the materials can be generally in suspended (fluidized bed) or immobilized forms (fixed bed) in reactors. The main pros and cons of different current photoreactor systems for  $CO_2$  transformation are summarized in Table 2.<sup>33</sup> Figure 2 shows the schematic configuration of various types of photocatalytic reactors, which may serve as a reference for the design of new photoelectrocatalytic reactors (i.e., light incidence, flows inlet/ outlet, photoactive surface configuration, etc.).

The recirculation of unconverted CO<sub>2</sub> also must be taken into account when designing an effective photoelectroreactor since the separation of the products from CO<sub>2</sub> could account for 6%–17% of the total energy required in the process.<sup>53</sup> Moreover, undivided photoelectrochemical reactors (Figure 3), in which plate-type electrodes are separated by a liquid phase that acts as both anolyte and catholyte, have been commonly used. In these type of cells, the process costs increase due to the extra separation step required for the product recuperation.<sup>54</sup> For a practical use of PEC cells, the separation in two distinct compartments where CO<sub>2</sub> reduction and water oxidation take place is more appealing than the single compartment process, since one can deal with one reaction at a time. As a result, the efficiency of the redox reactions increase, as so the charge and products separation.<sup>37,55,56</sup> The election between single and dual compartment cell does not follow a determinant rule and depends on the case, although dual compartment cells are usually preferred.37,38,40

Also, in order to allow an efficient collection/transport of electrons over the entire film as well as the diffusion of protons through the membrane (Figure 4), both anode and cathode should be in the form of a thin film separated by a proton-conducting membrane (Nafion or other materials)<sup>37,57</sup> and fixed onto a porous conductive substrate in the PEC cell. For  $CO_2$  photoreduction, an efficient evolution of oxygen on the

anode side is also needed, together with an efficient evolution of  $CO_2$  and diffusion of reaction products on the cathode side. Moreover, the use of gas diffusion electrodes (GDEs) and gas phase operation on the cathode compartment is preferable, in order to avoid the limitations of  $CO_2$  solubility in water.<sup>37,58</sup>

The mass transport in the electrode is a limiting factor as better catalyst are progressively becoming available. For this, several elements have been considered: high pressure operation, the use of GDEs, and no aqueous electrolytes.<sup>60</sup> GDEs usually consists of a carbon fiber substrate (CFS), a microporous layer (MPL), typically is composed of carbon powder and polytetrafluoroethylene (PTFE), and a catalyst layer (CL). Del Castillo et al.<sup>61</sup> compared a Sn-GDE with a Sn plate electrode and obtained higher rates for the Sn-GDE than for Sn plate electrode, demonstrating the exceptional performance of GDEs for CO<sub>2</sub> reduction.<sup>60,62</sup> Gas phase CO<sub>2</sub> transformation by using GDEs can be also applied to enhance CO<sub>2</sub> transfer, allowing the operation at higher current densities in the cell as demonstrated by Merino-Garcia et al.,<sup>63</sup> in a dual compartment cell for methane and ethylene production by using a Cu-based membrane electrode assembly (MEA).

Moreover, the type of ion transport membrane applied is key in the  $CO_2$  photoelectrochemical reduction performance, where proton exchange membranes (PEMs) are preferred due to their favored protons transport from the anode to the cathode than the anion exchange membranes (AEMs), with anions transported from the cathode to the anode compartment, but of course, it may depend on the reaction conditions and cell configuration.<sup>54</sup> The expected reactions in a PEMbased reactors are as follows:<sup>55</sup>

Cathode:

$$\mathrm{CO}_2 + 2\mathrm{H}^+ + 2\mathrm{e}^- \to \mathrm{CO} + \mathrm{H}_2\mathrm{O} \tag{6}$$

 $2\mathrm{H}^{+} + 2\mathrm{e}^{-} \leftrightarrow \mathrm{H}_{2} \tag{7}$ 

Anode:

$$2H_2O \leftrightarrow O_2 + 4H^+ + 4e^- \tag{8}$$

While the expected reactions in a AEM are as follows:<sup>55</sup>

Cathode:

$$3CO_2 + H_2O + 2e^- \leftrightarrow CO + 2HCO_3^-$$
 (9)

$$2\text{CO}_2 + 2\text{H}_2\text{O} + 2\text{e}^- \leftrightarrow \text{H}_2 + 2\text{HCO}_3^- \tag{10}$$

Anode:

$$2\text{HCO}_{3}^{-} \leftrightarrow 0.5\text{O}_{2} + \text{H}_{2}\text{O} + 2\text{CO}_{2} \tag{11}$$

There are many examples on the use of PEMs in photoelectroreduction,  $^{5,65,66}$  while AEMs are not usually employed, although some examples are reported in electrochemical devices where current densities as high as 130 mAcm<sup>-2</sup> can be achieved.<sup>67</sup> This is the case for the new anionconductive polystyrene methyl methylimidazolium chloride (trade named Sustainion) AEM membrane developed by

ref	14	41	92	92	78	128	129	65	79	91	114	110	123	69
FE/Productivity	100%	СН <sub>3</sub> ОН: 5%; НСООН: 2.5%; СН <sub>3</sub> СООН: 20%; С <sub>2</sub> Н <sub>5</sub> ОН: 45%	CO: 0.6%; CH4: 67%; C <sub>2</sub> H4: 2.7%	СО:15.9%; НСООН: 27.5%	1	C <sub>2</sub> H <sub>3</sub> OH: 1350 nmol·cm <sup>-2</sup> ·h <sup>-1</sup> , CH <sub>3</sub> COOH: 1150 nmol·cm <sup>-2</sup> ·h <sup>-1</sup> , CH <sub>3</sub> OH: 875 nmol·cm <sup>-2·</sup> ·h <sup>-1</sup> , HCOOH: 820 nmol·cm <sup>-2·</sup> ·h <sup>-1</sup> , CO: 650 nmol·cm <sup>-2·</sup> ·h <sup>-1</sup>	CH <sub>3</sub> OH: 255 mmol·cm <sup>-2</sup> ·h <sup>-1</sup> ; HCOOH: 189.06 mmol·cm <sup>-2</sup> ·h <sup>-1</sup>	HCOOH: 80 nmol-cm <sup>-2</sup> ·h <sup>-1</sup> ; CH <sub>3</sub> OH: 75 nmol-cm <sup>-2</sup> ·h <sup>-1</sup> ; CH3COOH: 250 nmol-cm <sup>-2</sup> ·h <sup>-1</sup> ; C <sub>2</sub> H <sub>5</sub> OH: 280 nmol-cm <sup>-2</sup> ·h <sup>-1</sup>	CH <sub>4</sub> : 54.63%; CO:30.03%; CH <sub>3</sub> OH: 2.79%	I	HCOOH: 19%; CH <sub>3</sub> COOH: 24%; C <sub>2</sub> H <sub>5</sub> COOH: 23%; CH <sub>3</sub> OH: 5%; C <sub>2</sub> H <sub>5</sub> OH: 29%	40%-65%	2596 <i>µ</i> L·g <sub>catabst</sub> <sup>-1</sup> .h <sup>-1</sup>	94%
Product	CH <sub>3</sub> OH	С <sub>2</sub> Н <sub>5</sub> ОН; СН <sub>3</sub> СООН; СН <sub>3</sub> ОН; НСООН	CO; CH <sub>4</sub> ; C <sub>2</sub> H <sub>4</sub>	со; нсоон	HCOOH; CH <sub>3</sub> COOH; C <sub>2</sub> H <sub>3</sub> COOH; CH <sub>3</sub> OH; C <sub>2</sub> H <sub>5</sub> OH	C <sub>2</sub> H <sub>5</sub> OH; CH <sub>3</sub> COOH; CH <sub>3</sub> OH; HCOOH; CO	нсоон; сн <sub>3</sub> он	HCOOH; CH <sub>3</sub> OH; CH <sub>3</sub> COOH; C <sub>2</sub> H <sub>5</sub> OH	CH <sub>4</sub> ; CO; CH <sub>3</sub> OH	I	HCOOH; C <sub>3</sub> COOH; C <sub>2</sub> H <sub>5</sub> COOH; CH <sub>3</sub> OH; C <sub>2</sub> H <sub>5</sub> OH	HCOO <sup>-</sup>	$CH_4$	HCOO <sup>-</sup>
Electrode potential (V vs SCE)	-2 V	-2 V	–1.39 V	-1.34 V	-2 V	-2 V	-0.61 V	-2 V	-1.49 V	1.04 V	-2 V	-1.2 V	0 V	0 V
Light source/Intensity	500 W Xe lamp	300 W Xe-arc lamp	500 W Hg lamp $(\lambda > 420 \text{ nm})$	500 W Hg lamp (λ > 420 nm)	300 W Xe-arc lamp $(320 \text{ nm} < \lambda > 410 \text{ nm})$	300 W Xe-arc lamp (320 nm $< \lambda > 410$ nm)	150 W Xe-arc lamp	300 W Xe-arc lamp $(320 \text{ nm} < \lambda > 410 \text{ nm})$	$100 \text{ mW} \cdot \text{cm}^{-2} \text{ AM } 1.5 \text{G}$	150 W Xe lamp light	300 W Xe-arc lamp $(320 \text{ nm} < \lambda > 410 \text{ nm})$	300 W Xe lamp	250 W lamp ( $\lambda$ < 400 nm)	100 mW·cm <sup>-2</sup> AM 1.5G
Photoanode	n-TiO <sub>2</sub>	Pt-TNT	WO <sub>3</sub>	WO <sub>3</sub>	TiO <sub>2</sub> nanotube	Pt-TNT	Cu-RGO-TiO <sub>2</sub> /ITO	Pt (5%)-TNT	$TiO_2$	b-Si/TiO <sub>2</sub> /Co(OH) <sub>2</sub>	TiO <sub>2</sub>	$TiO_2$	TiO <sub>2</sub> NWs	GaAs/InGaP/TiO <sub>2</sub> /Ni
Cathode	Pt	Pt-RGO	Cu	$Sn/SnO_x$	Pt-RGO/Cu	Pt-RGO	Pt	Pt-RGO	Cu <sub>2</sub> O	Pt	Pt/GA/CF	Sn-GDE	Cu	Pd/C

Table 4. Experimental Conditions and Main Products in Photoanode–Dark Cathode Configuration

[[ab]	le 5.	Experimental	Conditions a	nd Main Proc	lucts in P	hotocathod	le–Phote	oanode Con	figuration
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Photocathode	Photoanode	Light source/Intensity	Electrode Potential (V vs SCE)	Product	FE/Productivity	ref
InP/[MCE]s	TiO <sub>2</sub> /Pt	1 sun (AM 1.5)	0 V	НСОО-	70%	38
<i>p</i> -type Si nanowire	<i>n</i> -type TiO <sub>2</sub> nanotube	Photocathode: 150W Xe lamp (AM 1.5); Photoanode: 25W Hg arc lamp	-1.5 V	$\begin{array}{c} \text{CO; CH}_4\text{; C}_4\text{H}_{8\text{;}} \\ \text{C}_2\text{H}_4\text{; C}_3\text{H}_8\text{;} \\ \text{C}_4\text{H}_{10} \end{array}$	CO: 824 nmol/cm <sup>2</sup> ·h; CH <sub>4</sub> : 121.5 nmol/cm <sup>2</sup> ·h; C <sub>2</sub> H <sub>4</sub> : 80 nmol/cm <sup>2</sup> ·h	80
InP/Ru complex	$TiO_2$	1 sun, air mass 1.5	0 V	HCOO <sup>-</sup>	75%	94
Si NWs@ CoP/CN	TiO <sub>2</sub> NWs@ CoP/CN	-	-0.81 V	CO; CH <sub>4</sub>	CO: 90%	106
NiO-RuRe	CoO <sub>x</sub> / TaON	300 W Xe lamp ( $\lambda$ > 460 nm)	-0.3 V	СО	-	95

Table 6. Experimental Conditions and Main Products in PEC-Solar Cell Tandem Configuration

Photo/cathode	Photo/anode	Light source/Intensity	Electrode Potential (V vs SCE)	Product	FE/Productivity	ref
ZnTe based	Co-Ci	$100 \text{ mW cm}^{-2}$	0 V	СО	-	130
GaN	Pt	300 W xenon lamp	-	$CH_4$	19%	131
Cu <sub>x</sub> O	WO <sub>3</sub>	200 W xenon lamp (AM 1.5)	0 V	CO; HCOO⁻	-	132
Cu–Zn	Si/Ni	150 W xenon lamp (AM 1.5)	0 V	СО	85%	42

Masel and co-workers, which excessed standards for product selectivity and current density with commercially available AEM. $^{67}$ 

Moreover, bipolar membranes (BPM), made of an anion and cation exchange membranes, have recently gained interest for CO<sub>2</sub> transformation due to their ability to separate anode and cathode compartments and the high sensitivity on pH required to facilitate different electrolyte conditions for the anode and for the cathode by the selective transport of OH<sup>-</sup> to the anode and H<sup>+</sup> to the cathode.<sup>68,69</sup> Maintaining the pH constant, BPMs allow the use of new Earth-abundant metal anodes that are stable in basic conditions and highly active acid-stable cathodes for CO2 reduction, which cannot be realized by using PEMs and AEMs.<sup>62</sup> Recently, Zhou et al.<sup>69</sup> reported a FE > 94% to HCOOH (8.5 mA·cm<sup>-2</sup>) using a GaAs/InGaP/TiO<sub>2</sub>/Ni photoanode and a Pd/C nanoparticlecoated Ti mesh cathode, employing as electrolytes 1.0 M KOH (pH 13.7) and 2.8 M KHCO<sub>3</sub> (pH 8.0) separated by a BPM that facilitated the separation of redox reactions to achieve higher efficiencies and produce lower total overpotentials in comparison to a single compartment cell.

In addition, the formation of liquid products from CO<sub>2</sub> reduction has mostly focused on batch PEC reactors.<sup>3,4,70,71</sup> Only a few researchers deal with the concept of continuous flow photoelectrochemical reactors,<sup>72</sup> required in the production at industrial scale, minimizing capital costs and maximizing product consistency as demonstrated in case of electrochemical systems for CO<sub>2</sub> continuous reduction. To this end, a continuous flow PEC reactor (CFPR) was developed in 2015 by Homayoni et al.<sup>73</sup> to produce alcohols from CO<sub>2</sub> employing a CuO/Cu<sub>2</sub>O photocathode (Figure 5). The results show long-chain alcohol products up to C<sub>2</sub>–C<sub>3</sub> (ethanol and isopropanol) with a production rate of 0.22 mL·m<sup>-2</sup>·h<sup>-1</sup>, that was approximately 6 times higher than in a batch design.

Furthermore, there are different electrode configurations for the PEC systems on dependence on which electrode (i.e., anode, cathode, or both) acts as photoelectrode,<sup>65</sup> as described in the following subsections.

Photocathode-Dark Anode. Most of the studies employed a photocathode made of a *p*-type semiconductor, with a high

conduction band energy suitable for  $CO_2$  reduction, and an anode made of metal (Figure 6).<sup>74,75</sup> The first study was reported by Halmann in 1978, where a *p*-GaP semiconductor was used as photocathode, carbon rod as counter electrode, and a buffered aqueous solution as electrolyte.<sup>15</sup> A 6 mA·cm<sup>-2</sup> current was detected when *p*-GaP was illuminated with an Hg lamp, and a voltage bias of -1 V vs SCE was applied. HCOOH, HCHO, and CH<sub>3</sub>OH were formed. More recently, this type of configuration was implemented by Qin et al.<sup>76</sup> using TiO<sub>2</sub> as photocathode and an electrode of Pt as anode to obtain HCOOH, HCHO, and CH<sub>3</sub>OH as main products.

Unfortunately, the valence band in *p*-type semiconductors does not cover  $H_2O$  oxidation, and a bias potential is required. Also, these materials are usually expensive, toxic, and unstable and two-electron compounds, such as CO and HCOOH, are obtained as it was demonstrated by Kaneco et al.<sup>77</sup> when a metal-doped (Pb, Ag, Au, Pd, Cu, and Ni) *p*-InP photocathode and a Pt foil as counter electrode was applied at -2.5 V vs SCE potential. Overall, the efficiency of *p*-type semiconductor-based systems is low, and the improvement required in photocathode efficiency remains a challenge.

Photoanode-Dark Cathode. The use of a n-type semiconductor (e.g., TiO<sub>2</sub>, ZnO, BiVO<sub>4</sub>, or WO<sub>3</sub>), which are Earthabundant, cheap, and stable as a photoanodes for H<sub>2</sub>O oxidation, and a metallic electrocatalyst active for CO<sub>2</sub> reduction as the cathode to assemble a photoanode-dark cathode PEC reactor is also an attractive option (Figure 7).<sup>41,78</sup> CO<sub>2</sub> reduction in a photoanode-dark cathode PEC depends on two components: cathode catalysts and photoanode activity. This cell could improve CO<sub>2</sub> reduction values obtained in electrocatalytic systems and reduce energy input over the photoanode catalyst, requiring a lower external bias for an effective  $CO_2$  reduction than the photocathode–dark anode configuration.<sup>28,65</sup> In this configuration, the photoanode plays a dual role during CO<sub>2</sub> reduction. On the one hand, the voltage generated by the light in the anode supplies an extra negative potential to the cathode for CO<sub>2</sub> reduction, and on the other hand, protons and electrons for  $CO_2$  reduction in the cathode were provided by the oxidation of water in the anode.<sup>65</sup> Chang and co-workers<sup>79</sup> used TiO<sub>2</sub> as a model photoanode and Cu<sub>2</sub>O as a dark cathode to devise a stable

system for photoconversion with a FE of 87.4% and a selectivity of 92.6% for carbonaceous products from CO<sub>2</sub>. For instance, a Pt-modified reduced graphene oxide (Pt-RGO) cathode and a Pt-modified TiO<sub>2</sub> nanotubes (Pt-TNT) photoanode converted CO<sub>2</sub> into HCOOH, CH<sub>3</sub>OH, CH<sub>3</sub>COOH, and C<sub>2</sub>H<sub>5</sub>OH under UV–vis irradiation, applying a potential of 2 V.<sup>41</sup> In further investigations, the cathode was substituted by Pt-RGO/Cu foam<sup>78</sup> and Pt-RGO/Ni foam<sup>65</sup> to improve products selectivity.

Photocathode-Photoanode. Another option for the photoelectrodes in an assembly of PECs is the combination of a photocathode made of a p-type semiconductor for  $CO_2$ reduction with a photoanode made of a *n*-type semiconductor for  $H_2O$  oxidation (Figure 8). This configuration, in contrast to the other two, allow realizing the transformation of CO<sub>2</sub> with H<sub>2</sub>O without external electrical energy. In this case, the conduction band edge of the photoanode for H<sub>2</sub>O oxidation must be more negative than the valence band edge of the photocathode for CO<sub>2</sub> reduction to guarantee the electron transfer from photoanode to photocathode through the external wire.<sup>28</sup> Sato et al.<sup>38</sup> employed this PEC configuration to produce HCOOH in a two-compartment Pyrex cell separated by a PEM using InP/[MCE]s and TiO<sub>2</sub>/Pt as photocathode and photoanode, respectively. By applying a light source, the two-compartment PEC cell could be run without external applied electrical energy. Not all the photocathode and a photoanode PEC cell configurations reported in the literature are able to reduce CO<sub>2</sub> without an external potential. Some need extra electrical energy to overcome parasitic losses and reaction overpotentials, such as a p-type Si nanowire/n-type TiO<sub>2</sub> nanotube where traces of  $C_3-C_4$  hydrocarbons,  $CH_4$ , and  $C_2H_4$  were formed under band gap illumination and at 1.5 V vs Ag/AgCl.<sup>80</sup>

*PEC–Solar Cell Tandem.* Traditionally, PEC cells and PV electrolyzers are considered as different approaches, although some authors as Jacobsson et al.<sup>81</sup> suggest that in many cases both approaches have certain similarities and should be considered under the acronym photo-driven catalytic (PDC) devices. To be clear, a distinction should be made between the solar panel coupled in the photoanode<sup>42</sup> (PEC–solar cell tandem, Figure 9), and the photovoltaic panels used as electric source to the PEC cell (PV electrolyzers), which is the same as electroreduction using renewable energy.<sup>43,82,83</sup> In order to value the potential importance that can develop the PEC–solar cell tandem configuration in photoelectroreduction, the authors consider treating this configuration as one more added to the previous.

The main benefit of using a PEC cell (i.e., photocathode– anode, cathode–photoanode, photocathode–photoanode, or PEC–solar cell tandem) rather than PV electrolyzers is the possibility to deal with the generation of electrons required and the oxidation of  $H_2O$  (if a photoanode is employed) or adjust the redox potential to the interest product (if a photocathode is used) in the same device.

Figure 9 is an example of a PEC–solar cell tandem. An experimental setup using Si heterojunction solar cells in combination with Ni foam as the photoanode and Zn-coated Cu foam as the cathode was applied, reaching CO FEs up to 85% at 0.12 V vs SCE (0.8 V vs RHE) with a CO current density of 39.4 mA·cm<sup>-2</sup>, the highest reported for a Zn catalyst at such low overpotential. This reactor concept enhances the solar CO<sub>2</sub> conversion by the use of the well known and developed Si heterojunction technology, which is nontoxic,

abundant, and cheap, and it is being used in the photovoltaic industry nowadays.  $^{\rm 42}$ 

Photoelectrode Materials. The next section briefly discusses on the main photoactive materials applied in the different PEC configurations for the reduction of CO<sub>2</sub>.<sup>24</sup> The two basic requirements for photoelectrode materials are optical response, to effectively absorb sunlight, and catalytic activity, required for the CO<sub>2</sub> reduction and H<sub>2</sub>O oxidation reactions. In order to satisfy these requirements, the processing of materials with enhanced performance characteristics need to include a high solar energy conversion efficiency, stability in aquatic environments, and low cost.9 In addition, the particle size of the photoelectrode material has a considerable effect on  $CO_2$  photoreduction efficiency due to changes in  $CO_2$ adsorption, available active surface area, and transfer pathways for charge carriers to reach its surface. The performance can be normally enhanced at smaller particle sizes, although the smaller the size is, the more the boundary of the particles, which leads also to a lower activity. Furthermore, it has been proved that the best way to improve the activity of photomaterials is controlling the facets of the photocatalysts applied.84

Typically, photoelectrocatalysis, in contrast to common electrodes used in electrocatalysis, employs semiconductor electrodes. In addition, graphene-based nanocatalyst and organometallic complexes are commonly applied.<sup>2</sup> Semiconductor-based electrodes absorb light to generate electron-hole pairs. The holes generated at the photoanode, typically, a *n*-type semiconductor, oxidize H<sub>2</sub>O to O<sub>2</sub>, while the electrons photogenerated at the cathode, usually a *p*-type semiconductor, reduce the CO<sub>2</sub> to valuable products such as CO, HCOOH, CH<sub>3</sub>OH, or hydrocarbons in the presence or absence of a cocatalyst.<sup>28</sup> TiO<sub>2</sub>, ZnO, CdS, and SiC are the most employed inorganic binary compounds as semiconductor materials.

*TiO*<sub>2</sub> *Photoelectrodes.* TiO<sub>2</sub> is the most investigated semiconductor material for photocatalytic processes. It is a *n*-type semiconductor that possesses a wide band gap  $(3.0 \text{ eV})^8$  and has been considered a cheaper and more environmental friendly material since the first report in 1979.<sup>85</sup> TiO<sub>2</sub> has three kinds of polycrystalline phases, (anatase, brookite, and rutile),<sup>86</sup> with different symmetries, slip directions, theoretical crystal densities, close stacking planes, and available interstitial positions, altering also the defect distribution and density. As an example, Liu et al.<sup>87</sup> studied the photocatalytic reduction of CO<sub>2</sub> on three TiO<sub>2</sub> nanocrystal polymorphs pretreated with helium and concluded that for TiO<sub>2</sub> surfaces engineered with defect sites, brookite provided the highest yield for CO and CH<sub>4</sub>, followed by anatase and rutile, probably due to a lower formation energy of oxygen vacancies (V<sub>O</sub>) on brookite.

The literature shows that  $TiO_2$  has been tested for the photocatalytic reduction of  $CO_2$  under various structures, such as nanosheets, nanocrystals, nanotube arrays, nanorods, carbon-TiO<sub>2</sub> nanocomposites, and mesoporous  $TiO_2$ -based materials.<sup>88</sup> Zhao et al.<sup>89</sup> reviewed the effects of surface point defects in the production of solar fuels from  $CO_2$  photoreduction in H<sub>2</sub>O. In TiO<sub>2</sub>, oxygen vacancies, impurities, Ti interstitials, Ti vacancies, and defects at interfaces are examples of point defects. The defective TiO<sub>2</sub> materials show superior performance than pristine TiO<sub>2</sub> for CO<sub>2</sub> photoreduction, probably due to an enhanced dissociative adsorption of CO<sub>2</sub>, an improved solar energy absorption due to a change in the electronic band structure, and a reduced charge recombination

due to the presence of charge traps. Unfortunately,  $TiO_2$  mainly absorbs UV radiation,<sup>9</sup> which is only a small part of solar radiation. Although progresses have been made with  $TiO_2$ , it seems that different materials should be considered in order to enhance the photocatalytic transformation of  $CO_2$  to useful products.

Alternative Photocatalyst to  $TiO_2$ . Apart from TiO<sub>2</sub>, other semiconductor-based photoelectrodes could be used. Figure 10 shows the band gap positions of other semiconductor materials and the standard reduction potentials for CO<sub>2</sub> reduction to different products. However, the photogenerated electrons in the conduction band edge of all the candidate semiconductors do not have enough driving force to carry out the activation of CO<sub>2</sub> to CO<sub>2</sub><sup>6-</sup>(-2.14 V vs SCE), which defines the efficiency for CO<sub>2</sub> photoreduction.<sup>64</sup>

Barton et al.<sup>90</sup> presented a selective and efficient conversion of CO<sub>2</sub> to CH<sub>3</sub>OH at a *p*-type semiconductor electrode made of a narrow band single crystal p-GaP (111) in a pyridine solution. The current efficiency is 100% at -0.3 V vs SCE. Recently, Yu et al.<sup>91</sup> developed a novel material by depositing a conformal, ultrathin, amorphous TiO<sub>2</sub> film by low-temperature atomic layer deposition on top of black Si. A photocurrent density of 32.3  $\text{mA}\cdot\text{cm}^{-2}$  at an external potential of 0.87 V vs SCE (1.48 V vs RHE) in 1 M NaOH electrolyte could be achieved. ZnO, a *n*-type semiconductor with a wide band gap energy, has been also tested as photocatalytic material due to its photoluminescence properties (including good transparency and high electron mobility) that shows potential for scintillator applications.<sup>16</sup> WO<sub>3</sub> is also suitable and stable enough for sustained reduction of CO2. A PEC system using WO3 as a photoanode and Cu or  $Sn/SnO_x$  as a cathode has been shown to achieve reduction of CO<sub>2</sub> at low bias potentials under visible light.<sup>92</sup> Si is also considered as a promising material due to its Earth abundance, but a cocatalyst is required to enhance its  $CO_2$  reduction. Song and co-workers<sup>93</sup> employed a Si photoelectrode with a nanoporous Au film as cocatalyst in a photocathode-dark anode configuration. Applying a light intensity of 100 mW·cm<sup>-2</sup> a 91% FE to CO was achieved.

Doping the photocatalysts surface with a cocatalyst is another used procedure to achieve enhancements on both conversion efficiency and product selectivity.<sup>84</sup> In many cases, a combination of a photocathode with a cocatalyst able to activate CO<sub>2</sub> molecules is needed since *p*-type semiconductor photocathodes do not act as a true catalyst for the activation of CO<sub>2</sub> molecules but just as light harvesters to generate electrons and holes. For this purpose, metal complexes have attracted much attention, where the interface interaction between the semiconductor and the complex plays a decisive factor in the electron transfer and thus the CO<sub>2</sub> photoreduction efficiency.  $^{25,28}$  Besides, Huang et al.  $^{66}$  employed  $\mathrm{Co}_3\mathrm{O}_4$  as the light harvester and Ru(bpy)2dppz as an electron transfer mediator and a CO<sub>2</sub> activator to obtain HCOOH as a main product. The results show a 380-fold increase in CO<sub>2</sub> concentration on this hybrid interface than that on Co<sub>3</sub>O<sub>4</sub>/ FTO. The CO<sub>2</sub> conversion to HCOO<sup>-</sup> occurred at an onset potential of -0.7 V vs SCE (-0.45 V vs NHE) under photoelectrochemical conditions, 160 mV more positive than its thermodynamic redox potential. At -0.85 V vs SCE (-0.60V vs NHE), the selectivity of the HCOO<sup>-</sup> yield reached 99.95%, with a production of 110  $\mu$ mol·cm<sup>-2</sup>·h<sup>-1</sup> and a FE of 86%. HCOO<sup>-</sup> was obtained by Morikawa et al.<sup>94</sup> conjugating a p-InP:Zn photocathode with a Ru complex-polymer electrocatalyst  $[Ru(L-L)(CO)_2]_n$ , for  $CO_2$  transformation with a

TiO<sub>2</sub> photoanode for water oxidation. The conversion efficiency was 0.04%, which is closed to that value obtained in natural photosynthesis. Sahara et al.<sup>95</sup> reported the first example of a molecular/semiconductor photocatalyst hybrid-constructed PEC to transform CO<sub>2</sub> under visible light in the presence of water, using a Ru(II)–Re(I) photocathode and a  $CoO_x/TaON$  photoanode.

**Main Reduction Products.** The most common products from the photoelectrochemical reduction of  $CO_2$  are summarized hereafter.  $H_2$  is a side reaction in  $CO_2$  electroreduction, and so it is not thoroughly discussed in the section.

Carbon Monoxide. CO<sub>2</sub> reduction to CO can be seen as the simplest route for CO<sub>2</sub> conversion. CO is also an intermediate product for the synthesis of other products, such as CH<sub>3</sub>OH and hydrocarbon fuels.<sup>96</sup> Petit and co-workers<sup>97</sup> proposed the reduction CO2 to CO in a photocathode-dark anode configuration using two systems: p-GaAs/0.1 M KClO<sub>4</sub>, H<sub>2</sub>O, Ni(cyclam)<sup>2+</sup>, and p-GaP/0.1 M NaClO<sub>4</sub>, H<sub>2</sub>O, Ni(cyclam)<sup>2+</sup> which assist a selective photoreduction at -0.44 V vs SCE (-0.2 V vs SHE) using an anode of Pt, separated from the working-electrode compartment by glass frits. Using a similar photocathode (p-GaAs)-dark anode (Pt) cell configuration as Petit and co-workers in a one compartment cell, Hirota et al.<sup>99</sup> reduced CO<sub>2</sub> to CO photoelectrochemically in CO<sub>2</sub> + CH<sub>3</sub>OH at high-pressure conditions (40 atm). p-InP and p-Si as photoelectrodes were tested at different applied potentials. For 50 mA·cm<sup>-2</sup>, p-InP required -1.1 V, p-GaAs -1.6 V, and p-Si -1.8 V, demonstrating that CO<sub>2</sub> can be converted to CO at more positive potentials than for a Cu under similar experimental conditions and without illumination. Moreover, Kumar and co-workers<sup>99</sup> using a one compartment cell configuration and a p-type H-Si photocathode with a  $Re(bipy-Bu^{t})(CO)_{3}Cl$  (bipy-Bu<sup>t</sup> = 4,4'-di-*tert*-butyl-2,2'-bipyridine) electrocatalyst achieved a 600 mV lower potential (FE = 97%) than with a Pt electrode. The photocathode + anode electrode configuration is usually employed to produce CO, since two-electron chemical products are commonly found over *p*-type semiconductors. More recently, Sahara et al.<sup>95</sup> generated CO using a dual photocathode-photoanode cell configuration with an external electrical (0.3 V) and chemical bias (0.10 V) in a hybrid photocathode of NiO-RuRe and a photoanode of  $CoO_r/TaON$ . The work can be considered the first example of a molecular-semiconductor hybrid PEC cell using water to reduce CO<sub>2</sub>. Different photoelectrodes, apart from those mentioned above, are also reported with good results such as p-type silicon nanowire with nitrogen-doped graphene quantum sheets (N-GQSs),<sup>100</sup> p-GaAs and p-InP,<sup>101</sup> CoSn(OH)<sub>6</sub><sup>102</sup> and NiO-RuRe.<sup>103</sup> Figure 11 compares the FEs reported in the literature for CO for the different electrode configurations in the PEC: photocathode-dark anode (PC +A), photoanode-dark cathode (PA+C), and photocathodephotoanode (PA+PC). The results seem to show an enhanced reaction performance for a PC+A configuration.

*Formic Acid.* Particular reference is made in the literature to the formation of HCOOH, this being a product for which there is a growing demand and which is currently made by processes that are neither straightforward nor environmentally friendly.<sup>12</sup> Morikawa and co-workers<sup>94</sup> successfully achieved the photoreduction of  $CO_2$  to HCOOH without applying any electrical bias, employing a photocathode—photoanode configuration separated by a PEM membrane, using water as a proton source and electron donor by conjugating an InP/Ru

complex for CO<sub>2</sub> reduction with a TiO<sub>2</sub> photocatalyst for water oxidation. HCOOH was generated continuously with a FE of >75%. However, the TiO<sub>2</sub> high band gap critically reduce its excitation wavelength to the UV range. Jiang et al.<sup>10</sup> expanded the TiO<sub>2</sub> optical absorption region from the UV absorption to the visible light region by depositing Fe<sub>2</sub>O<sub>2</sub>  $(Fe_2O_3/TiO_2 NTs)$  with an onset wavelength of ~600 nm. The maximum selectivity was 99.89% with a rate of HCOOH production of 74896.13 nmol·h<sup>-1</sup>·cm<sup>-2</sup> under optimal conditions in a photocathode-dark anode dual chamber cell using Pt as counter electrode. Employing a similar photoelectroreactor configuration, Gu and co-workers<sup>108</sup> reduced CO2 to HCOOH without the need for a cocatalyst at an unparalleled underpotential. However, FE was limited due to the competitive reaction for  $H_2$  formation (HER). In his work, a p-type Mg-doped CuFeO2 electrode was found to reduce CO2 to HCOOH photoelectrochemically with a maximum conversion efficiency at -0.9 V vs SCE using a LED source (470 nm) in experiments of 8 to 24 h. Shen and co-workers<sup>109</sup> reported in 2015 one of the highest yields to HCOOH. In this work, CO<sub>2</sub> was reduced using a photocathode-dark anode configuration at Cu nanoparticles decorated with Co<sub>3</sub>O<sub>4</sub> nanotube arrays achieving a selectivity of nearly 100% employing a single compartment cell. The production of HCOOH was as high as 6.75 mmol·L<sup>-1</sup>·cm<sup>-2</sup> in 8 h of experimental time. One year later, Huang et al.<sup>66</sup> improved the yield reported by Shen and co-workers employing also Co<sub>3</sub>O<sub>4</sub> as the light harvester and Ru(bpy)2dppz as the electron transfer mediator and CO<sub>2</sub> activator. The photoelectroreduction of CO<sub>2</sub> to HCOOH has been realized for 8 h with an onset potential as low as -0.69 V vs SCE (-0.45 V vs NHE) and an anode made of graphite. At an applied potential of -0.84 V vs SCE (-0.60 V vs NHE), the selectivity for HCOOH reached 99.95%, with a FE of 86% and a production of 110  $\mu$ mol·cm<sup>-2</sup>·h<sup>-1</sup>.

Nowadays, improvements in HCOOH formation continues with new strategies. Irtem and co-workers, continuing with their research line using Sn,<sup>110,111</sup> proposed two strategies: concentration of solar light on the photoanode and adjustment of cathode area. At a voltage of 1.2 V and with a TiO<sub>2</sub> photoanode and a Sn cathode, FEs of 40%–65% for HCOO<sup>-</sup> production were obtained, with energy efficiencies as high as 70% using a two-compartment cell (Figure 12). This study demonstrated that a stable photoanode optimized the system efficiency using a GDE as a cathode to enhance mass transfer and provide a wide photovoltage for O<sub>2</sub> evolution reaction (OER). Also, three PEC cell electrode configurations can be found in the literature for HCOOH production, where PC+A seems to be beneficial (Figure 13).

*Methanol.* CH<sub>3</sub>OH as a key commodity has become an important part of our global economy. Several articles deal with CH<sub>3</sub>OH production<sup>115–117</sup> since it may directly replace fossil fuels without modifications of the actual energy distribution infrastructure.<sup>3,96,118</sup> Moreover, CH<sub>3</sub>OH is used in paints, building blocks for plastics, and organic solvents, among others. Ogura and Uchida<sup>14</sup> were one of the first reporting the formation of CH<sub>3</sub>OH by photoelectroreduction of CO<sub>2</sub> using a *n*-TiO<sub>2</sub> photoanode and a metal complexconfined Pt cathode separated by a PEM and a 500 W Xe lamp. In their experiments, it was observed that the feasibility for CO<sub>2</sub> reduction in a photocell depended mainly on the anolyte pH. pH higher than 12 led to CH<sub>3</sub>OH formation in the cathode, and O<sub>2</sub> evolved at the photoanode. The photo-

reduction of  $CO_2$  only occurred at a pH below 11 when applying external energy.

Yuan el al.<sup>119</sup> proposed the photoelectroreduction of CO<sub>2</sub> using a Cu<sub>2</sub>O photocathode and a graphite sheet as dark anode, obtaining a concentration and FE for CH<sub>3</sub>OH formation of 1.41 mmol and 29.1%, respectively, after 1.5 h of operation at 1.5 V vs SCE in a single compartment cell with an irradiation of 100 mW·cm<sup>-2</sup> emitted from a Xe lamp. The formation rate of CH<sub>3</sub>OH was 23.5  $\mu$ mol·cm<sup>-2</sup>·h<sup>-1</sup>. A higher rate for CH<sub>3</sub>OH (45  $\mu$ mol·cm<sup>-2</sup>·h<sup>-1</sup>) was achieved in a lightdriven two compartment reactor using Cu<sub>2</sub>O/graphene/TiO<sub>2</sub> nanotube array (TNA) heterostructures and Pt as working electrode and counter electrode, respectively by Li et al.<sup>40</sup> An intensity of 100 mW·cm<sup>-2</sup> was applied by a 300 W Xe-arc lamp with a UV cutoff filter. Similar materials, but with different structure and in a single compartment cell, were employed by Lee and co-workers,<sup>120</sup> enhancing FE and stability toward  $CH_3OH$  production from  $CO_2$  using  $Cu_2O$  nanowires photocathodes with a  $TiO_2-Cu^+$  shell. The FE after 2 h of operation improved from 23.6% for a Cu<sub>2</sub>O photocathode to 56.5% for  $Cu_2O$  with a  $TiO_2-Cu^+$  shell mainly due to a lower resistance to charge transfer and an increased CO<sub>2</sub> adsorption. Recently, Yang et al.<sup>121</sup> compared the CH<sub>3</sub>OH formation at different catalytic processes (PEC, photocatalysis, electrocatalysis) on a SnO<sub>2</sub> NRs/Fe<sub>2</sub>O<sub>3</sub> NTs photocathode and a Pt wire anode employing a single compartment cell. The largest CH<sub>3</sub>OH production (2.05 mmol· $L^{-1}$ ·cm<sup>-2</sup>), obtained under visible light (1.1 V extra), was far higher than that individually electro- or photocatalytic reduction. As it is, the case for CO and HCOOH, the production of CH<sub>3</sub>OH can be enhanced with a PC+A configuration (Figure 14). The extraordinary high FE obtained for CH<sub>3</sub>OH formation (>100%) for PA+C system using TiO<sub>2</sub> as photoanode and Pt as cathode<sup>14</sup> has been removed for a clear comparison.

Methane. Kaneco et al.<sup>104</sup> demonstrated that the selectivity for the photoelectrochemical reduction of CO<sub>2</sub> can be tuned by adding metal particles into the catholyte to form CH<sub>4</sub> in a dual compartment cell by adding Cu particles suspended CH<sub>3</sub>OH using a p-InP and a Pt foil as photocathode and anode, respectively. A maximum FE for CH<sub>4</sub> of 0.56% was achieved at 265 K under a 5000 W Xe lamp. In order to enhance the CH<sub>4</sub> production efficiency, Wang and coworkers<sup>88</sup> presented the use of ordered mesoporous TiO<sub>2</sub> as photocathode and Pt as anode for CO<sub>2</sub> reduction to CH<sub>4</sub>. The ordered mesoporous TiO<sub>2</sub> exhibits a higher and stable production efficiency for CH<sub>4</sub> (0.192  $\mu$ mol·g<sub>catalyst</sub><sup>-1</sup>·h<sup>-1</sup>) which is 71 and 53 times higher than that for a commercial  $TiO_2$  (P25) and disordered mesoporous  $TiO_2$ , respectively. Employing a photoanode-dark cathode electrode configuration in a dual cell compartment, a FE of 67% for CH4 at -1.39 V vs SCE (-0.75 V vs RHE) and 71.6% for all carboncontaining products at -1.34 V vs SCE (-0.65 V vs RHE) was achieved by Magesh et al.<sup>92</sup> using Cu as a cathode electrocatalyst and WO3 as photoanode under bias potential. Moreover, in a photocathode-dark anode electrode configuration, Ong and co-workers<sup>44</sup> reached 2.923  $\mu$ mol·g<sub>catalyst</sub>  $h^{-1}$  of CH<sub>4</sub> under visible light irradiation employing a carbon nanodot/g-C<sub>3</sub>N<sub>4</sub> (CND/pCN) hybrid heterojunction photocatalyst with a mass loadings of 3% of CNDs and a Pt foil as anode. This improved in 3.6 times the CH<sub>4</sub> generated with pCN pure. Thompson et al.<sup>123</sup> reported an initial conversion rate of 2596  $\mu$ L·g<sub>catalyst</sub><sup>-1</sup>·h<sup>-1</sup> of CH<sub>4</sub>, the highest reported to date, employing Cu as cathode and TiO<sub>2</sub> as photoanode

without using an external wire. Only UV light as an energy source was employed to reduce  $CO_2$ . In any case, FEs to  $CH_4$  are rarely reported with values ranging from 54.6% to 67% for a PA+C configuration.<sup>79,92</sup>

Long-Chain Hydrocarbons. Attending to thermodynamics,  $CO_2$  reduction to long-chain hydrocarbons is more challenging because of the number of electron required.<sup>4</sup> For instance,  $CO_2$  reduction to  $CH_3OH$  requires a 6-electron process, while  $CO_2$  reduction to isopropanol is a 18-electron reduction. These liquid fuels have higher energy densities and are more convenient for transport and storing. Ampelli et al.<sup>37,124</sup> reduced  $CO_2$  to liquid fuels (mainly  $CH_3CH(OH)CH_3$ ) employing carbon-nanotube based electrodes, Pt/CNT and Fe/CNT, and nanostructured  $TiO_2$  as photoanodes in a homemade Plexiglas-quartz window PEC reactor, reaching a production of 2.28.10<sup>-2</sup>· $\mu$ mol·h<sup>-1</sup>·cm<sup>-2</sup> of  $CH_3CH(OH)CH_3$  for the Fe/CNT cathode. As seen in Figure 15, better results were achieved when instead of Fe-CNT, Fe-nitrogen-doped carbon nanotubes were employed (5.74.10<sup>-2</sup>  $\mu$ mol·h<sup>-1</sup>·cm<sup>-2</sup>).

Genovese et al.<sup>57</sup> employed the same material but operated under a gas-phase electrocatalytic cell using electrodes based on metal nanoparticles supported and TiO<sub>2</sub> as photoanode. Long C-chain products (i.e.,  $CH_3CH(OH)CH_3$  and  $C_8-C_9$ ) were obtained from CO<sub>2</sub>. Employing also a TiO<sub>2</sub> photoanode and a nanostructured Pt/graphene aerogel deposited onto a Cu foam (Pt/GA/CF), Zhang and co-workers<sup>114</sup> revealed that the Pt/GA/CF electrode improved CO<sub>2</sub> reduction significantly and facilitated the conversion of C1 to high-order products due to enhanced charge transportation. HCOOH, CH<sub>3</sub>COOH, C<sub>2</sub>H<sub>5</sub>COOH, CH<sub>3</sub>OH, and C<sub>2</sub>H<sub>5</sub>OH were the main products detected. Recently, Yuan et al. 125 showed in his study an outstanding performance for C<sub>2</sub>H<sub>5</sub>OH production. At a Cu<sub>2</sub>O foam cathode, the solar-driven conversion of CO<sub>2</sub> to C<sub>2</sub>H<sub>5</sub>OH led to a formation rate as high as 71.67  $\mu$ mol·cm<sup>-2</sup>·h<sup>-1</sup> at only 131 mV within 1.5 h. There are a variety of FEs reported for different hydrocarbons, with values ranging from 32.6% to 52% for PC+A configurations<sup>59,73</sup> and 2.7 to 45% for PA+C configurations. 41,92,114

Finally, Tables 3, 4, 5, and 6 summarize the literature on the topic, paying special attention to the reactor/electrode configuration but also including photoelectrocatalytic materials, main products obtained, and process conditions.

#### CONCLUSIONS AND FUTURE PERSPECTIVES

The photoelectrocatalytic reduction of  $CO_2$  is a promising technological alternative that combines the advantages of electrocatalysis and photocatalysis, reducing the applied voltage needed in  $CO_2$  conversion to useful chemicals. Undoubtedly, many issues remain to be solved before photoelectrocatalytic processes come to reality, although the technology presents potential for an enhanced  $CO_2$  reduction efficiency under the Sun.

The design of a photoelectrochemical reactor should be made based on a careful evaluation of different factors, such as light source, geometrical configuration, construction material, heat exchange, mixing and flow characteristics, etc. Two key parameters in the process are the phases involved and the mode of operation (i.e., batch, semibatch, or continuous). Photocatalysts can be generally tested in either fluidized or fixed bed reactors. Besides, the anode and cathode in the photoelectrochemical device should be preferably in the form of thin films separated by a proton-conducting membrane and deposited over porous substrates, which allows an efficient collection/transport of electrons as well as the diffusion of protons through the membrane. For  $CO_2$  photoreduction, an efficient evolution of oxygen on the anode side is also needed, as well as an efficient diffusion of  $CO_2$  on the cathode side.

A photocathode–dark anode configuration has been commonly reported for  $CO_2$  reduction. This configuration employes a photocathode made of a *p*-type semiconductor because of their high conduction band energy suitable for  $CO_2$ reduction, but its efficiency is limited. In the last years, the photoanode–dark cathode configuration is being used more frequently due to the benefits of using *n*-type semiconductors instead of *p*-type semiconductors, which are Earth abundant, cheap, and stable for H<sub>2</sub>O oxidation. Another option for the arrangement of the photoelectrodes in the photoelectrochemical is the combination of a photocathode made of a *p*-type semiconductor for  $CO_2$  reduction with a photoanode made of a *n*-type semiconductor for H<sub>2</sub>O oxidation. This configuration, in contrast to the other two, allows realizing the reduction of  $CO_2$  with H<sub>2</sub>O without an external electric bias.

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The authors declare no competing financial interest. **Biographies** 



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**Figura 3.2.** Resumen gráfico del artículo: "*Continuous conversion of CO*<sub>2</sub> *to alcohols in a TiO*<sub>2</sub> *photoanode-driven photoelectrochemical system*".

La reducción fotoelectroquímica de CO<sub>2</sub> genera un gran interés debido a los beneficios potenciales que ofrece en comparación con los enfoques electrocatalíticos y fotocatalíticos. Entre los diversos materiales semiconductores disponibles, el TiO<sub>2</sub> es el más utilizado por su precio y disponibilidad. Además, el cobre es ampliamente reconocido como un electrocatalizador eficiente para la producción de alcoholes a partir de CO<sub>2</sub>. Por lo tanto, el objetivo de este trabajo es acoplar un fotoánodo basado en TiO<sub>2</sub>, iluminado con luz UV (100 mW<sup>-</sup>cm<sup>-2</sup>), en una celda electroquímica de tipo filtro prensa para la conversión en continuo de CO<sub>2</sub> a alcoholes, específicamente metanol y etanol. En este sentido, se busca reducir los requisitos de energía externa utilizando una placa de cobre como cátodo en ausencia de luz. Para lograr el objetivo general, los objetivos específicos, que se marcan en el trabajo son: (i) preparar y optimizar un fotoánodo basado en TiO<sub>2</sub>-P25, (ii) adaptar una celda electroquímica para la conversión fotoelectroquímica de CO<sub>2</sub> en alcoholes y, finalmente, (iii) analizar el efecto del voltaje en el proceso en términos de velocidad de formación de productos, la eficiencia Faradaica y la eficiencia energética del proceso.

Los resultados muestran un aumento de hasta 4,3 mA·cm<sup>-2</sup> en la densidad de corriente al iluminar el fotoánodo y aplicar un voltaje de -2 V, medido con el electrodo de referencia de Ag/AgCl. Además, a un voltaje de -1,8 V versus Ag/AgCl, se alcanza una velocidad de producción de metanol de 9,5 µmol·m<sup>-2</sup>·s<sup>-1</sup>, con una eficiencia Faradaica del 16,2% y una eficiencia energética del 5,2%. En el caso del etanol, se obtiene una velocidad de producción de 6,8 µmol·m<sup>-2</sup>·s<sup>-1</sup>, con una Eficiencia Faradaica del 23,2% y una eficiencia energética del 6,8%. Estos resultados muestran los beneficios potenciales del sistema impulsado por la luz, en términos de rendimiento y eficiencia, al utilizar un fotoánodo en configuración MEA basado en TiO<sub>2</sub>-P25 y cobre como cátodo. Además, se observa que el aumento del voltaje externo aplicado conduce a una mayor producción de metanol, pero produce una disminución en la formación de etanol. El rendimiento del sistema supera a los sistemas anteriormente reportados en configuración fotoánodo-cátodo a oscuras para la reducción de CO<sub>2</sub> a alcoholes.

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# Continuous conversion of CO<sub>2</sub> to alcohols in a TiO<sub>2</sub> photoanode-driven photoelectrochemical system

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#### Abstract

BACKGROUND: The recycling of CO<sub>2</sub> by photoelectrochemical reduction has attracted wide interest due to its potential benefits when compared to electro- and photo-catalytic approaches. Among the various available semiconductors, TiO<sub>2</sub> is the most employed material in photoelectrochemical cells. Besides, Cu is a well-known electrocatalyst for the production of alcohols from CO<sub>2</sub> reduction.

RESULTS: A photoelectrochemical cell consisting of a TiO<sub>2</sub>-based membrane electrode assembly (MEA) photoanode and a Cu plate is employed to reduce CO<sub>2</sub> to methanol and ethanol continuously under UV illumination (100 mW cm<sup>-2</sup>). A maximum increment of 4.3 mA cm<sup>-2</sup> in current between the illuminated and dark conditions is achieved at -2 V versus Ag/AgCl. The continuous photoelectrochemical reduction process in the filter-press cell is evaluated in terms of reaction rate (r), as well as Faradaic efficiency (*FE*) and energy efficiency (*EE*). At -1.8 V versus Ag/AgCl, a maximum reaction rate of  $r = 9.5 \mu$ mol m<sup>-2</sup> s<sup>-1</sup>, *FE* = 16.2% and *EE* = 5.2% for methanol and  $r = 6.8 \mu$ mol m<sup>-2</sup> s<sup>-1</sup>, *FE* = 23.2% and *EE* = 6.8% for ethanol can be achieved.

CONCLUSIONS: The potential benefits of the photoanode-driven system, in terms of yields and efficiencies, are observed when employing a TiO<sub>2</sub>-based MEA photoanode and Cu as dark cathode. The results demonstrate first the effect of UV illumination on current density, and then the formation of alcohols from the continuous photoreduction of CO<sub>2</sub>. Increasing the external applied voltage leads to an enhanced production of methanol, but decreases ethanol formation. The system outperforms previous photoanode-based systems for CO<sub>2</sub>-to-alcohols reactions.

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Keywords: photoelectrocatalysis; CO<sub>2</sub> reduction; TiO<sub>2</sub>; Cu; methanol; ethanol

#### INTRODUCTION

The concentration of CO<sub>2</sub> in the atmosphere has increased to worrying levels in recent years, mainly due to the burning of fossil fuels. This has accelerated research activities to obtain valueadded products from residual CO<sub>2</sub>, instead of discarding it as a residue.<sup>1</sup> There are various possibilities for converting CO<sub>2</sub> into valuable products. Among the technologies available, the electrochemical reduction of CO<sub>2</sub> has attracted great interest due to the potential economic and environmental benefits. This technology, apart from allowing CO<sub>2</sub> dissociation under ambient conditions using electricity, is also an excellent alternative for storing the intermittent energy produced from renewables in the form of chemical bonds.<sup>2</sup> Moreover, the integration of a light source in electrochemical reduction devices (a photoelectrochemical (PEC) approach) has attracted increasing interest recently because it may allow the avoidance of interconnections between devices, reducing, in principle, system capital costs and electricity losses.<sup>3</sup> Compared to photocatalysis, the applied bias can cause band bending and help the oriented transfer of photogenerated electrons, decreasing the recombination of the photogenerated electron-hole pairs. Besides, photocatalytic materials with unfavourable band positions for CO<sub>2</sub> reduction and H<sub>2</sub>O oxidation can be still used in photoelectrocatalytic systems when applying an external bias.

There are different electrode configurations for PEC systems depending on which electrode (i.e. anode, cathode or both) act as the photoelectrode.<sup>4</sup> Photocathode-dark anode has been the most employed configuration.<sup>5–9</sup> In this case, a p-type semiconductor is employed as photocathode and a metal as anode. Unfortunately, with *p*-type semiconductors, two-electron products are usually obtained and the system efficiency is low. On the other hand, CO<sub>2</sub> reduction in a photoanode-dark cathode PEC system is a simpler configuration and depends on the photoanode and the cathode activity independently,<sup>10–12</sup> and offers the advantage of reducing the external energy requirements of the process.<sup>13</sup> In this configuration, H<sub>2</sub>O oxidation in the photoanode provides protons for CO<sub>2</sub> conversion in the cathode compartment. Besides, the negative potential generated in the photoanode by light supplies an additional negative potential for CO<sub>2</sub> reduction in the cathode.<sup>4</sup> Another PEC photoelectrode configuration is a combination of a photocathode for CO<sub>2</sub> reduction with a photoanode

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to deal with  $H_2O$  oxidation (as represented in Figure 1).<sup>13–15</sup> In contrast to the previous configurations, the main advantage is that with some pairs of materials an external electrical energy supply for the redox reactions may, ideally, not be needed. However, in most cases, the voltage generated by the light source is not enough and a continuous external power supply is required.<sup>16,17</sup>

The formation of alcohols from CO<sub>2</sub> has received increasing attention due to their proper integration in the actual fuel infrastructure, besides being considered as advantageous energy storage materials and precursors for the synthesis of other products.<sup>18–20</sup> Methanol (CH<sub>3</sub>OH) is considered an excellent energy intermediate due to its stable storage properties and high energy density. In addition to application as a fuel, CH<sub>3</sub>OH is an intermediate to other bulk chemicals employed in everyday life products like plastics and paints.<sup>21</sup> Ethanol (C<sub>2</sub>H<sub>5</sub>OH) is an important raw material with high heating value usually employed in disinfectants and organic materials.<sup>22</sup> It can also replace fossil fuels in key sectors such as the transport industry.<sup>23</sup> Thus, both products offer an alternative to deal with climate change, reducing the dependence on fossil fuels and allowing CO<sub>2</sub> recycling in a neutral carbon cycle.<sup>18,24</sup>

TiO<sub>2</sub> is the most employed semiconductor in photo-assisted processes.<sup>25–28</sup> It is an *n*-type semiconductor that possesses a wide bandgap (3.0 eV) and mainly absorbs UV light.<sup>29</sup> This semiconductor has been considered a low-cost and environmentally friendly material<sup>30</sup> since the first report in 1979.<sup>25</sup> Also, Cu has been demonstrated to possess the capacity to be used to produce hydrocarbons and alcohols from CO<sub>2</sub>.<sup>31</sup> In particular, previous studies in our group employed a Cu plate for CO<sub>2</sub> electroreduction, with a reaction rate for CH<sub>3</sub>OH of  $r = 8.7 \,\mu\text{mol m}^{-2} \,\text{s}^{-1}$  and a Faradaic efficiency (*FE*) of 4.6% at –1.3 V versus Ag/AgCl. These values were subsequently enhanced by using Cu oxide<sup>31,32</sup> and metal–organic framework-based electrodes under different experimental conditions in continuous operation mode.<sup>18,31–33</sup>

Thus, the aim of the present work is the coupling of a TiO<sub>2</sub>-based photoanode in an electrochemical filter-press cell for the continuous conversion of CO<sub>2</sub> to alcohols (i.e. CH<sub>3</sub>OH and C<sub>2</sub>H<sub>5</sub>OH) in order to reduce the external energy requirements, and so reduce energy consumption. A Cu plate is used as dark cathode for designing a reliable photoanode–dark cathode configuration for CO<sub>2</sub> photoreduction. The specific objectives are as follows: (i) to prepare and optimize a TiO<sub>2</sub>-based photoanode, (ii) to adapt an electrochemical cell for the PEC conversion of CO<sub>2</sub> to alcohols and finally, (iii) to analyse the effect of voltage on process performance. The results are considered a step further in the development of continuous CO<sub>2</sub> conversion processes using sunlight.

# EXPERIMENTAL

# $TiO_2$ photoanode preparation

A TiO<sub>2</sub> photoanode was fabricated by air-brushing an ink composed of a mixture of TiO<sub>2</sub> (Sigma Aldrich, P25), Nafion (Alfa Aesar, 5 wt%) as binder and isopropanol (99.5%, Sigma Aldrich) as vehicle, with a 70:30 wt% TiO<sub>2</sub>/Nafion ratio in 3 wt% of solid in the final isopropanol dispersion onto a Toray carbon paper (TGP-H60). An ultrasound bath was used to homogenize the mixture. The TiO<sub>2</sub> loading varied from 1 to 3 mg cm<sup>-2</sup>. The TiO<sub>2</sub> photoanode was coupled by hot-pressing with a Nafion membrane (Nafion 117), previously activated in HCl for 30 min and rinsed with deionized water before use, forming a membrane electrode assembly (MEA) able to enhance H<sup>+</sup> transport, reducing mass transfer limitations.<sup>33,35</sup>

#### Photoelectroreduction cell and experimental conditions

The continuous PEC reduction of CO<sub>2</sub> was carried out using a commercial filter-press cell reactor (Electrocell, Denmark), employing a Cu plate as cathode and the prepared MEA as photoanode, which also separates the cell compartments. A 1 mol  $L^{-1}$ KOH aqueous solution was used as catholyte and anolyte and a cold UV LED light (100 mW cm<sup>-2</sup>) was used to illuminate the photoactive area (10 cm<sup>2</sup>) of the TiO<sub>2</sub> photoanode. On the cathode side, there were two inputs: the catholyte and the  $CO_2$  gas; and one output: the catholyte with the reaction products (liquid and gaseous). The Cu plate acted as working electrode, the  $TiO_2$ phoelectrode as counter electrode and Ag/AgCl as reference electrode. The reduction of CO<sub>2</sub> was conducted under ambient conditions. Figure 2 shows a scheme of the experimental setup, while Figure 3 shows a detailed view of the cell configuration. The experimental system included four tanks for inlet and outlet electrolyte solutions, two peristaltic pumps to circulate the liquid at a flow rate of 10 mL min<sup>-1</sup>, two pressure indicators and mass-flow controllers to fix the CO<sub>2</sub> inlet flow rate at 180 mL min<sup>-1</sup>. Moreover, a potentiostat (AutoLabPGSTAT 302N) was used. The PEC behaviour of the TiO<sub>2</sub> photoanode was evaluated in a onecompartment glass at a scan rate of 50 mV s<sup>-1</sup> after 20 cycles with and without light illumination.

Continuous experiments in the PEC cell were performed in duplicate during 50 min. Both liquid and gas samples were taken every 10 min, and a final average concentration was calculated for each test. Values that were two times lower/higher than the average value were discarded in order to calculate the reaction rate (r) and the *FE* with an error of less than 10%. The values were normalized to reacting CO<sub>2</sub> (inlet–outlet) in



**Figure 1.** PEC cell in a phocathode–photoanode configuration. Reprinted with permission from.<sup>2</sup> Copyright 2018 American Chemical Society.





the system and adjusted to FE = 100%. To collect the gaseous samples, the experimental system was pressurized to 0.2 bar for subsequent analysis using an in-line gas microchromatograph (3000 Micro GC, Inficon). Liquid samples were analysed using a gas chromatograph (GCMSQP2010 Ultra, Shimadzu) equipped with a flame ionization detector. The reaction rate shows the formation rate for every product per unit of area and time (µmol m<sup>-2</sup> s<sup>-1</sup>) and the *FE* is defined as the selectivity of the reaction to form each product, calculated according to Eqn (1):

$$FE(\%) = \frac{z \cdot n \cdot F}{q} \times 100 \tag{1}$$

where z is the theoretical number of electrons exchanged to form the desired product, n is the number of moles produced, F is the Faraday constant (96 485 C mol<sup>-1</sup>) and q is the total charge applied in the process. Moreover, the energy efficiency (*EE*), which indicates the total energy used towards the formation of the desired products, can be calculated according to Eqn (2):



**Figure 3.** Detail of PEC cell configuration. Adapted with permission from.<sup>32</sup> Copyright 2016 Elsevier.

$$EE(\%) = \frac{E_{\rm T}}{E} \times FE \tag{2}$$

where *E* is the real potential applied in the system and  $E_T$  the theoretical potential needed for the formation of CH<sub>3</sub>OH (-0.58 V *versus* Ag/AgCl) and C<sub>2</sub>H<sub>5</sub>OH (-0.53 V *versus* Ag/AgCl).

# **RESULTS AND DISCUSSION**

#### PEC behaviour of TiO<sub>2</sub> photoelectrode

Figure 4(a) shows the photocurrent response of the TiO<sub>2</sub> photoanode MEA during on-off illumination cycles in a onecompartment glass under UV illumination of 100 mW cm<sup>-2</sup> at -1.8 V versus Ag/AgCl in the presence of CO<sub>2</sub>, while Figure 4(b) shows the current densities observed with and without illumination in the cell when CO<sub>2</sub> is continuously bubbled in a potential range between -1.2 and -2 V versus Ag/AgCl and with a TiO<sub>2</sub> loading of 3 mg cm<sup>-2</sup>, where an optimal reduction of CO<sub>2</sub> to alcohols can be expected.<sup>34,37,38</sup> It should be noted that the TiO<sub>2</sub>based photoanode showed no changes in response (current) during on-off cycles when illuminated with visible LED light (100 mW cm<sup>-2</sup>).

First, the current density of the system under UV light is larger than that in dark conditions in on-off cycles (Figure 4(a)) at -1.8 V *versus* Ag/AgCl. Then, Figure 4(b) shows that an increase in current density under UV illumination can be observed for the whole potential range evaluated. The variation in current density



Figure 5. PEC activity, j, with time at -1.8 V versus Ag/AgCl.



**Figure 4.** (a) On-off cycles for TiO<sub>2</sub> photoanode MEA in the dark (black) and under UV illumination (yellow) with CO<sub>2</sub> at -1.8 V versus Ag/AgCl. (b) *j*-E characteristics of TiO<sub>2</sub> photoanode MEA in the dark (black) and under UV illumination (yellow) with CO<sub>2</sub>.

between illuminated and dark conditions represents the highest attainable photocurrent in the system. Of course, increases in current density are associated with higher voltage values, achieving a maximum increase of  $j = 4.3 \text{ mA cm}^{-2}$  under UV illumination ( $j = 9.9 \text{ mA cm}^{-2}$ ) with respect to the dark experiment ( $j = 5.6 \text{ mA cm}^{-2}$ ) at -2 V versus Ag/AgCl, although this high potential could not be beneficial for alcohol production.<sup>36</sup> These results may be taken as a first indication for an enhanced PEC process performance as evaluated hereafter.

#### Process performance of TiO<sub>2</sub>/Nafion/Cu plate system

The products obtained for the PEC continuous  $CO_2$  reduction employing the TiO<sub>2</sub>-based MEA as photoanode and Cu plate as cathode are alcohols (i.e.  $CH_3OH$  and  $C_2H_5OH$ ) together with CO and  $C_2H_4$ . Their formation, and so process performance, is evaluated in terms of *r* and *FE*. Figure 5 initially shows an example of the evolution of *j* during the experimental time (UV illumination).



Figure 6. Effect of TiO<sub>2</sub> loading in the photoanode.

As can be observed, the system presents a pseudo-stable activity after 50 min of operation in continuous liquid–liquid  $CO_2$  PEC conversion. The small fluctuations observed are commonly caused by the direct input of  $CO_2$  gas into the cathode compartment, as well as the production of gaseous products (bubbles) on the electrode surface. The results also give an idea of the stability of the system, although, of course, longer-term tests would be needed in order to assess the feasibility of the system for real applications.

Among the key variables of the process, the TiO<sub>2</sub> catalyst loading can have a marked impact on process performance.<sup>35</sup> In principle, the larger the semiconductor surface, the greater the photocurrent generated as more electrons are excited in the photoanode. Therefore, Figure 6 shows the *FE* values at three different TiO<sub>2</sub> catalyst loadings in the MEA (i.e.1, 2 and 3 mg cm<sup>-2</sup>). As observed, a loading of 3 mg cm<sup>-2</sup> seems to be the optimum



Figure 7. FE for all liquid- and gas-phase products obtained at different E.

			r (μmol	m <sup>-2</sup> s <sup>-1</sup> )	<i>r</i> (× 10 <sup>-8</sup> μmo	ol m <sup><math>-2</math></sup> s <sup><math>-1</math></sup> C <sup><math>-1</math></sup> )
<i>E</i> (V)	<i>j</i> (mA cm <sup>-2</sup> )	<i>q</i> (C)	CH₃OH	C₂H₅OH	CH₃OH	C₂H₅OH
-1.2	0.1	6	_	19.3	_	321.6
-1.4	1.6	21.6	_	13.9	_	64.3
-1.6	1	20.7	_	14.9	_	71.9
-1.8	5.9	102	9.5	6.8	9.3	6.6
-2	9.8	117.6	24	_	20.4	_
-1.3ª	10.8	584.8	8.7	_	1.5	_
-1.5ª	16.6	894.2	23	_	2.6	_

Table 2.       Energy efficiency (%) for alcohols									
E (V versus Ag/AgCl)	-1.2	-1.4	-1.6	-1.8	-2	-1.3 <sup>a</sup>	-1.5ª		
CH₃OH		_	_	5.2	4.1	2	1.4		
$C_2H_5OH$	35.9	30.4	20.7	6.8	—	—	—		
		1 2 .							

<sup>a</sup> Cu plate cathode–Pt anode.  $Q/A = 2 \text{ mL min}^{-1} \text{ cm}^{-2}$  employing an electrochemical system.<sup>31</sup>
for both CH<sub>3</sub>OH and C<sub>2</sub>H<sub>5</sub>OH formation, with a *FE* value of 16.2% and 23.2%, respectively, in comparison to the value observed at 1 mg cm<sup>-2</sup>, where no CH<sub>3</sub>OH was detected and a *FE* value of 2.4% for C<sub>2</sub>H<sub>5</sub>OH can be achieved. At catalyst loadings higher than 3 mg cm<sup>-2</sup> Seger and Kamat<sup>34</sup> found that light absorption limits photocurrent generation due to particle agglomeration which hampers the access of the light to the catalytic surface. Consequently, a TiO<sub>2</sub> loading of 3 mg cm<sup>-2</sup> is recommended to achieve the best performance in the developed TiO<sub>2</sub> photoanode MEA-driven system for CO<sub>2</sub> PEC conversion and so is applied hereafter.

The values for alcohols (i.e. CH<sub>3</sub>OH and C<sub>2</sub>H<sub>5</sub>OH) formation in a voltage range from -1.2 to -2 V versus Ag/AgCl are presented in Table 1, while Figure 7 shows the *FE* for all liquid- and gas-phase products detected as a function of the applied voltage. The values in Table 1 are normalized by the total charge *q* (C) passing through the system in order to properly analyse the PEC activity. The values are also compared with our previous findings for the electrochemical conversion of CO<sub>2</sub> (in the dark) using a Cu plate.<sup>31</sup>

First, the data show that the current density values in the PEC system are significantly reduced (for a similar production of alcohols) compared to those at a Cu plate and a Pt anode in an electrochemical system (in the dark), which might initially indicate an enhancement in energy efficiency. Then, the reaction rate values, r, show similar ranges for CH<sub>3</sub>OH for the same voltage level in electrochemical and PEC experiments. The r values per coulomb obtained in this work, however, are clearly superior in the PEC experiments ( $r = 9.3 \ \mu mol \ m^{-2} \ s^{-1} \ C^{-1}$  for CH<sub>3</sub>OH at  $-1.8 \ V$ versus Aq/AqCl) in comparison to those achieved in the electrochemical experiments ( $r = 2.6 \ \mu mol \ m^{-2} \ s^{-1} \ C^{-1}$  for CH<sub>3</sub>OH) showing the benefits of the PEC system. The PEC system seems also to be beneficial for the formation of C<sub>2</sub>H<sub>5</sub>OH, which requires a greater number of electrons and C-C coupling. This enhancement in CO<sub>2</sub> reduction to alcohols can be generally associated with two phenomena: first, the cathode potential becoming more negative (greater supply of e<sup>-</sup>); and second, the large amount of H<sup>+</sup> generated from water in the photoelectrolysis, which seems to be available for CO<sub>2</sub> conversion to alcohols in the cathode.<sup>11</sup> The FE for both alcohols notably decreases at -2 V versus Ag/AgCl, which can be ascribed to an enhanced formation of  $C_2H_4$ (Figure 7).

The highest *FE* for CH<sub>3</sub>OH is achieved at -1.8 V versus Ag/AgCI: *FE* = 16.2%. On the other hand, the *FE* for C<sub>2</sub>H<sub>5</sub>OH is negatively affected by increases in *E*, going from *FE* = 81% at -1.2 V to 23.2% at -1.8 V versus Ag/AgCI, in agreement with the values presented in Table 1. These *FE* values for C<sub>2</sub>H<sub>5</sub>OH exceed those previously reported for other promising Cu-based systems, such as Cubased metal–organic framework materials supported on GDEs (*FE* ~ 6% at -10 mA cm<sup>-2</sup> in [Cu<sub>3</sub>( $\mu_6$ -C<sub>9</sub>H<sub>3</sub>O<sub>6</sub>)<sub>2</sub>]<sub>n</sub>).<sup>36</sup>

Besides, the formation of  $C_2H_4$  can be expected in the potential range tested, as previously found by our group for the gas-phase CO<sub>2</sub> reduction at Cu-based surfaces, achieving a *FE* of 91% for  $C_2H_4$  at -2.1 V *versus* Ag/AgCl,<sup>33</sup> as also reported by other authors.<sup>39,40</sup> Overall, an applied voltage of -1.8 V *versus* Ag/AgCl is preferred for the formation of both alcohols.

Furthermore, Table 2 summarizes *EE* values achieved for alcohols as a function of the applied potential. As observed, a maximum *EE* for CH<sub>3</sub>OH can be obtained at -1.8 V *versus* Ag/AgCl (*EE* = 5.2%), while lowering the voltage applied seems beneficial for C<sub>2</sub>H<sub>5</sub>OH production (*EE* = 35.9% at -1.2 V *versus* Ag/AgCl). These results are clearly superior to the maximum *EE* values obtained for Cu plate-based system for the electrochemical reduction of CO<sub>2</sub> (in the dark).

able 3.	Production of alcohols fi	rom CO <sub>2</sub> in photoanode-dark cathode conf	figuration				
athode	Photoanode	Light source/intensity	E (V versus Ag/AgCI)	<i>j</i> (mA cm <sup>-2</sup> )	$r (\mu mol m^{-2} s^{-1})$	FE (%)	Ref.
u plate	TiO <sub>2</sub> TiO,	UV LED ( $\lambda$ = 365 nm; 100 mW cm <sup>-2</sup> ) AM 1.5G (100 mW cm <sup>-2</sup> )	-1.8 -0.7	5.9 1.36	CH <sub>3</sub> OH: 9.5; C <sub>2</sub> H <sub>5</sub> OH: 6.8 —	CH <sub>3</sub> OH: 16.2; C <sub>2</sub> H <sub>5</sub> OH: 23.2 CH <sub>3</sub> OH: 1.1	This work 12
:/GA/CF	TiO2	300 W Xe arc lamp	-1.95	1	CH <sub>3</sub> OH: 0.41; C <sub>2</sub> H <sub>5</sub> OH: 1.25	CH <sub>3</sub> OH: 5; C <sub>2</sub> H <sub>5</sub> OH: 29	43
		$(320 \text{ nm} < \lambda < 410 \text{ nm})$					
т,	Cu-RGO-TiO <sub>2</sub> /ITO	150 W Xe arc lamp	-0.56	1.31	CH <sub>3</sub> OH: 525.16	CH <sub>3</sub> OH: 32.5	41
P-RGO	Pt-TNT <sup>a</sup>	300 W Xe arc lamp	-1.95	Ι	CH <sub>3</sub> OH: 0.14	CH <sub>3</sub> OH: 5	10
P-RGO	Pt (5%)-TNT	300 W Xe arc lamp	-1.95	Ι	CH <sub>3</sub> OH: 0.21; C <sub>2</sub> H <sub>5</sub> OH: 0.78		4
		$(320 \text{ nm} < \lambda < 410 \text{ nm})$					
P-RGO	Pt-TNT	300 W Xe arc lamp	-1.95	I	CH <sub>3</sub> OH: 2.43; C <sub>2</sub> H <sub>5</sub> OH: 3.75		42
		$(320 < \lambda < 410 \text{ nm})$					
TNT, TIO	<sup>1</sup> 2 nanotubes.						

Finally, the best performance results obtained at -1.8 V versus Ag/AgCl are compared (in terms of *r* and *FE*) with previous reports for alcohol production in photoanode–dark cathode PEC systems in Table 3. As observed, the values for the formation of CH<sub>3</sub>OH and C<sub>2</sub>H<sub>5</sub>OH achieved using the TiO<sub>2</sub> photoanode MEA–Cu cathode configuration from this work are, in general, higher than those previously reported for CH<sub>3</sub>OH production, and are only exceeded by Hasan *et al.*<sup>41</sup> with a cathode of Pt and a photoanode of Cu-RGO-TiO<sub>2</sub>/ITO. It should also be taken into account that other variables (reaction medium, cell configuration, experimental conditions, etc.) may affect the results. Besides, the *FE* for C<sub>2</sub>H<sub>5</sub>OH achieved in this work clearly surpasses that of other reports in the literature (except for the results from Hasan *et al.*<sup>41</sup>) employing the PEC configuration.

# CONCLUSIONS

Coupling light to electrochemical devices for CO<sub>2</sub> conversion is an interesting approach for obtaining value-added products solving issues related to global warming at the same time. When the continuous PEC transformation of CO<sub>2</sub> is carried out in a filter-press cell employing a TiO<sub>2</sub> MEA photoanode, a maximum increase of 4.3 mA cm<sup>-2</sup> in current density is observed under UV illumination. indicating the potential benefits of the developed photoanodedriven system for an enhanced EE. Methanol and ethanol, as well as hydrogen, carbon monoxide and ethylene, are detected as liquid- and gas-phase products in the system employing a Cu plate as cathode. At -1.8 V versus Ag/AgCl, the maximum production of methanol is 9.5  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>, the FE is 16.2% and the EE is 5.2%. In the case of ethanol, a reaction rate of 6.8  $\mu$ mol m<sup>-2</sup>·s<sup>-1</sup>, a FE of 12.1% and an EE of 6.8% can be achieved for a TiO<sub>2</sub> loading of  $3 \text{ mg cm}^{-2}$  in the photoanode. In general, methanol production results are enhanced at higher voltages, while ethanol production seems to be negatively affected. All in all, the developed TiO<sub>2</sub> photoanode-driven system is able to enhance the production of alcohols from CO<sub>2</sub> under UV light, reducing the energy required in the process compared to an electrochemical system.

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3.3. Iván Merino García, Sergio Castro, Ángel Irabien, Ignacio Hernández, Verónica Rodríguez, Rafael Camarillo, Jesusa Rincón, Jonathan Albo. 2022. Efficient photoelectrochemical conversion of CO<sub>2</sub> to ethylene and methanol using a Cu cathode and TiO<sub>2</sub> nanoparticles synthesized in supercritical medium as photoanode. Journal of Environmental Chemical Engineering, 10, 3, 107441.



Continuous PEC CO<sub>2</sub> reduction in a photoanode/dark cathode configuration

**Figura 3.3.** Resumen gráfico del artículo: "*Efficient photoelectrochemical conversion of CO*<sup>2</sup> *to ethylene and methanol using a Cu cathode and TiO*<sup>2</sup> *nanoparticles synthesized in supercritical medium as photoanode*".

La conversión fotoelectroquímica de CO<sub>2</sub> en productos de alto valor añadido es una alternativa atractiva para reducir la necesidad de voltaje externo y, por tanto, del consumo de energía de los procesos de conversión electroquímica. En este trabajo se sintetizan nanopartículas de TiO<sub>2</sub> en medio supercrítico (TiO<sub>2</sub>-SC) con mayor cristalinidad en forma de anatasa, lo que resulta en propiedades ópticas mejoradas, una mayor área superficial y, una adecuada morfología para la separación de cargas. Estas características permiten la producción de fotoánodos eficientes, que se incorporan en un reactor fotoelectroquímico tipo filtro prensa para lograr una conversión más eficiente de CO<sub>2</sub> de manera continua. Se analiza el rendimiento del sistema en términos de la velocidad de formación de energía solar a combustibles. Los resultados se comparan con el comportamiento de los fotoánodos de TiO<sub>2</sub>-P25 en la misma configuración de reactor y condiciones de operación. La novedad de este trabajo radica en: i) el suministro de CO<sub>2</sub> como gas en el cátodo junto con un catolito líquido, lo que permite mejorar la producción de etileno; ii) la síntesis de nanopartículas de TiO<sub>2</sub>-SC para mejorar las propiedades del TiO<sub>2</sub>-P25,

incluyendo el área superficial BET, la absorción de luz, el *bandgap* o la cristalinidad; y iii) el uso de una configuración de ensamblaje membrana-electrodo (MEA) en el fotoánodo, lo que actúa como separador de los compartimentos de la celda fotoelectroquímica y mejora el transporte de materia y cargas en el sistema fotoelectroquímico, disminuyendo así la resistencia interna de la celda.

La caracterización fotoelectroquímica de los fotoánodos muestra que la densidad de corriente alcanzada es mayor a la reportada en sistemas fotoelectrocatalíticos similares, alcanzando el valor significativamente alto de 12,31 mA·cm<sup>-2</sup> en comparación con el sistema a oscuras que alcanza un valor de 7,18 mA·cm<sup>-2</sup>. Este nivel de corriente también supera el obtenido con los fotoánodos preparados con TiO<sub>2</sub>-P25 (5,9 mA·cm<sup>-2</sup>) en las mismas condiciones. La disminución del *bandgap* para el TiO<sub>2</sub>-SC, observada en los análisis de absorción diferencial, permite la excitación de electrones a energías mucho más bajas, lo que podría ser responsable de mejorar el rendimiento del proceso impulsado por la luz.

Se realizaron pruebas de reducción fotoelectroquímica de CO<sub>2</sub> en continuo utilizando un reactor de tipo filtro-prensa impulsado por un fotoánodo iluminado con luces LED UV (100 mW·cm<sup>-2</sup>). El reactor está compuesto por las nanopartículas de TiO<sub>2</sub>-SC (3 mg·cm<sup>-2</sup>) soportadas en papel de carbono poroso como fotoánodo, una placa de cobre como cátodo y una disolución acuosa de 1 M KOH como medio de reacción. Los resultados obtenidos muestran que los principales productos obtenidos a partir del CO<sub>2</sub> son etileno, en fase gaseosa, y metanol, en fase líquida. Además, se demuestra que la reacción es más eficiente bajo irradiación, logrando una velocidad de producción de etileno de 147,4  $\mu$ mol·m<sup>-2</sup>·s<sup>-1</sup> y una eficiencia Faradaica del 46,6%. En relación al metanol, se obtiene una velocidad de producción de 4,72  $\mu$ mol·m<sup>-2</sup>·s<sup>-1</sup> y una eficiencia Faradaica del 15,3%. En comparación, el rendimiento del sistema a oscuras es considerablemente inferior, obteniéndose una velocidad de producción de etileno de 24,2 µmol·m<sup>-2</sup>·s<sup>-1</sup> y una eficiencia Faradaica del 38,2%, mientras que no se detecta metanol. Este aumento en la eficiencia se puede atribuir, principalmente, a las mayores densidades de fotocorriente que se alcanzan bajo irradiación UV, lo que afecta significativamente a la selectividad de la reacción. Además, los valores máximos de conversión de luz solar a combustibles obtenidos para etileno (5,4%) y metanol (1,9%) son considerablemente superiores a los observados con fotoánodos basados en TiO2-P25 bajo la misma configuración de reactor y condiciones experimentales (3,7% y 1%, respectivamente). Los resultados demuestran, por tanto, los beneficios de utilizar fotoánodos basados en TiO2-SC para una reducción fotoelectroquímica de CO<sub>2</sub> en continuo más eficiente.

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# Efficient photoelectrochemical conversion of $CO_2$ to ethylene and methanol using a Cu cathode and $TiO_2$ nanoparticles synthesized in supercritical medium as photoanode

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#### ABSTRACT

The photoelectrochemical conversion of CO<sub>2</sub> into valuable products represents an attractive method to decrease the external electrical bias required in electrochemical approaches. In this work, TiO<sub>2</sub> nanoparticles with enhanced optical properties, large surface area, appropriate morphology, and superior crystallinity are synthesized in supercritical medium to manufacture light-responsive photoanodes. The photoelectrochemical CO<sub>2</sub> reduction tests are carried out in continuous mode using a photoanode-driven filter-press cell illuminated with UV LED lights (100 mW cm<sup>-2</sup>), consisting on TiO<sub>2</sub> nanoparticles synthesized in supercritical medium (3 mg cm<sup>-2</sup>) supported onto porous carbon paper as the photoanode, a Cu plate cathode, and 1 M KOH aqueous solution as the reaction medium. The main products obtained from CO2 are ethylene in the gas phase, together with methanol in the liquid phase. The results show that reaction performance is improved under UV irradiation towards ethylene  $(r = 147.4 \,\mu\text{mol} \,\text{m}^{-2} \,\text{s}^{-1}; FE = 46.6\%)$  and methanol  $(r = 4.72 \,\mu\text{mol} \,\text{m}^{-2} \,\text{s}^{-1}; FE = 15.3\%)$  in comparison with the system performance in the dark (ethylene:  $r = 24.2 \mu mol m^{-2} s^{-1}$  and FE = 38.2%; methanol not detected), which can be mainly ascribed to the superior photocurrent densities reached that affect the selectivity of the reaction. Besides, the maximum solar-to-fuels values achieved for ethylene (5.4%) and methanol (1.9%) are markedly superior to those observed with illuminated TiO<sub>2</sub>-P25 photoanodes under the same reactor configuration and experimental conditions (3.7% and 1%, respectively). Therefore, these results demonstrate the benefits of using TiO<sub>2</sub>-based materials synthesized in supercritical medium for a more efficient continuous photoelectroreduction of CO2 to value-added products.

#### 1. Introduction

The intensification of human industrial activities is causing an unbalanced  $CO_2$  produced and consumed on Earth [1,2]. Among the available sustainable possibilities to accelerate an energy transition away from fossil fuels, the chemical conversion of  $CO_2$  and water into valuable products represents a promising direction to equilibrate the system and close the carbon cycle [3–5]. Several approaches can be considered to meet the target, namely mineralization [6], enzymatic [7], thermochemical [8], electrochemical [9,10], photoelectrochemical

(PEC) [11–13], and photochemical processes [14,15]. In particular, the transformation of  $CO_2$  via PEC provides greener  $CO_2$  utilization routes towards the generation of fuels and chemicals under light irradiation, integrating the benefits of both electrocatalytic and photocatalytic conversion approaches, and promoting the separation efficiency of photogenerated electron-hole pairs [12,16–19]. Besides, the production of ethylene ( $C_2H_4$ ) from  $CO_2$  utilization is interesting, since this hydrocarbon represents an energy-dense chemical feedstock used in several applications as energy vector and chemical building blocks [20, 21]. The production of alcohols such as methanol (CH<sub>3</sub>OH) is also of

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utmost importance, owing to its key role in several applications, namely as a chemical storage carrier for hydrogen or as a platform product in gasoline and biodiesel [22–24].

Depending on the nature of the (photo)electrodes used in twocompartment PEC cells, four different photoreactor configurations can be applied: i) photoanode/dark cathode [25-27], ii) dark anode/photocathode [28], iii) photoanode/photocathode [29,30], and iv) hybrid PEC-solar cell tandem [31]. The first PEC configuration is simpler and usually preferred to improve the energy efficiency of the process since the photoanode provides extra photogenerated electrons from water oxidation to the cathode compartment for CO<sub>2</sub> reduction, decreasing the requirements electrical of external energy [31]. Thus. photoanode-driven PEC processes can lead to decreased cell bias, which makes this strategy the most suitable option from energy efficiency and practical application viewpoints [32–34].

Although a wide variety of photoactive materials can be seen in PEC systems as photoanodes, such as WO<sub>3</sub>, BiVO<sub>4</sub>, Fe<sub>2</sub>O<sub>3</sub>, or ZnO [31,35–40], among others, TiO<sub>2</sub>-P25 has been the most investigated light-responsive material in photoanode-driven PEC systems, owing to its low cost, non-toxic characteristics, photo-stability, wide bandgap (> 3.0 eV), chemical inertness, large resistance to photo-corrosion, and UV light absorption properties [36,41,42]. Nevertheless, TiO<sub>2</sub>-P25 presents several limitations [31,43], mainly: i) fast recombination of electron-hole pairs and ii) low purity and crystallinity. In this respect, different morphologies (e.g., nanotubes, nanospheres) of TiO<sub>2</sub> have been recently investigated to improve electron mobility, chemical stability, and surface area, among others [18,44]. Moreover, the modification of these structures (i.e., titania nanotubes) with noble metal particles such as Au and Ag has also been proposed to decrease the photogenerated carrier recombination efficiency of TiO<sub>2</sub> [18,45], which should allow for achieving a higher photoelectrochemical conversion performance. On the other hand, alternative synthesis methods have been proposed to overcome the main shortcomings of benchmark TiO<sub>2</sub> [46,47]. Among them, the preparation of TiO<sub>2</sub> in supercritical medium led to improved photocatalytic properties compared to those prepared under conventional procedures [47–50]. Specifically, several properties such as surface area, light absorption, optical bandgap energy, presence of surface hydroxyl groups, and appropriate morphology (for enhanced charge separation) are improved in comparison with commercial TiO2-P25 [43].

Previous studies in our group reported the preparation, characterization, and use of commercial TiO<sub>2</sub>-P25 nanoparticulated electrodes as light-responsive photoanodes in a continuous photoanode-driven PEC process illuminated with UV LED lights. A Cu plate was utilized as a dark cathode to reduce CO2 in a filter-press PEC cell divided by a Nafion® 117 membrane [25]. The energy requirements to carry out the CO<sub>2</sub> conversion process decreased compared to an electrochemical system (energy efficiency of 5.2% and 1.4% for PEC and electrochemical system, respectively) However, further efforts are still required to improve the overall process performance. This work, therefore, focuses on the preparation, characterization, and evaluation of TiO2 nanoparticles synthesized in supercritical medium (SC-TiO<sub>2</sub>) for the continuous photoelectrochemical conversion of CO2 in an illuminated photoanode-driven filter-press reactor. The performance of the novel light-responsive materials is analyzed in terms of production rate (r), Faradaic efficiency (FE), energy efficiency (EE), and solar-to-fuel (STF) efficiency. The results are compared with the behavior of TiO<sub>2</sub>-P25 surfaces in the same reactor configuration to demonstrate the potential applicability of supercritical fluid-based methods for the synthesis of photoactive materials with improved properties. The novelty of the present work lays on: i) CO<sub>2</sub> supplied as gas in the cathode (together with a liquid catholyte), measuring gas-phase chemicals (e.g., C<sub>2</sub>H<sub>4</sub>) and thus closing all products produced; ii) TiO<sub>2</sub> nanoparticles are synthesized in supercritical medium to improve the properties of benchmark TiO<sub>2</sub>-P25, namely BET surface area, light absorption, optical bandgap, or crystallinity; and iii) the use of a membrane electrode assembly

(MEA) configuration by coupling the membrane to the photoanode surface, acting as a separator of the PEC cell compartments, for an improved mass/ion/electron transport in the PEC system, thus decreasing the internal cell resistance.

All in all, this work represents a step forward in the development of photoanode-driven PEC systems for a more efficient transformation of  $CO_2$  in continuous mode.

#### 2. Materials and methods

#### 2.1. Synthesis and characterization of SC-TiO<sub>2</sub> nanoparticles

The synthesis of TiO<sub>2</sub> nanoparticles in supercritical CO<sub>2</sub> was performed in an ad hoc designed experimental set-up, which has been described in detail elsewhere [43]. In brief, a thermostatic bath, a high-pressure pump, and a high-pressure synthesis reactor represent the main core of the setup. The powder was obtained by thermal hydrolysis of titanium isopropoxide (TTIP; precursor) with ethanol using supercritical  $CO_2$  as the reaction medium. The synthesis conditions were 20 MPa pressure, 300 °C temperature, 28 mmol precursor/mmol alcohol molar ratio, and reaction time of 2 h. After the synthesis procedure, solids obtained were removed from the reactor and dried at 105 °C for 12 h. The solids were then calcinated at 400 °C for 6 h to remove C pollution and to increase TiO<sub>2</sub> crystallinity. The prepared photoactive materials were comprehensively characterized by several techniques in a previous work [43], namely scanning electron microscopy (SEM), X-ray diffraction (XRD), BET analyses, and Fourier transform infrared (FTIR) spectroscopy. A Cary 6000i Spectrometer equipped with an integrating sphere for powder samples was used for diffuse reflectance spectroscopy (DRS) measurements in the UV-Vis-NIR range, and an Edinburgh Instruments FLSP 920 double grating fluorometer equipped with a Xe lamp and Hamamatsu R928 photomultiplier tube, allowed complementing the characterization with photoluminescence (PL) analyses (emission and excitation) to study the optical properties of both SC-TiO<sub>2</sub> and TiO<sub>2</sub>-P25 (dry powder).

#### 2.2. Photoanode preparation and characterization

The light-responsive SC-TiO<sub>2</sub> photoanodes are manufactured by an air-brushing method [21,25]. In brief, SC-TiO<sub>2</sub>-based catalytic inks are homogenously deposited over the surface of porous Toray carbon paper (TGP-H-60). The catalytic ink is composed of a mixture of the synthesized SC-TiO<sub>2</sub> photocatalyst, a Nafion® solution (Alfa Aesar, 5 wt%, copolymer polytetrafluoroethylene) as a binder, and isopropanol (Sigma Aldrich, 99.5%) as a vehicle, with a 70:30 SC-TiO<sub>2</sub>/Nafion mass ratio and a 3 wt% of total solids (photocatalyst + Nafion) in the isopropanol dispersion. The obtained dispersion is sonicated for at least 30 min to obtain a homogeneous slurry that is subsequently airbrushed on the surface of the carbon paper support. The airbrushing process is carried out at 100 °C to ensure the complete evaporation of the solvent. The photoactive surfaces are prepared by simple accumulation of layers, reaching a final photocatalytic loading of 3 mg cm<sup>-2</sup> (experimentally determined by continuous weighing), which is selected based on previous findings [25]. A Nafion® 117 membrane, previously activated in HCl solution for 30 min and rinsed with deionized water, is finally coupled with the prepared photoanode to obtain a photoactive MEA.

The PEC properties of the photoanodes are firstly characterized by linear sweep voltammetry (LSV) with and without LED light illumination when CO<sub>2</sub> is continuously fed into the reactor. Moreover, the prepared light-responsive surfaces are characterized by XRD (Phillips X'Pert MDP X-ray powder diffractometer) before and after 150 min of continuous operation under light irradiation in the photoanode-driven PEC reactor to determine the composition and crystallinity of the photocatalysts.

#### 2.3. PEC cell description and experimental conditions

The light-responsive photoanodes  $(10 \text{ cm}^2)$  are tested at ambient conditions in a commercial filter-press cell reactor (Electrocell A/S), that is adapted to be illuminated with cold UV LED lights (365 nm; 100 mW cm<sup>-2</sup>) in the anodic compartment, as displayed in Fig. 1. The light intensity is measured by a radiometer (Photoradiometer Delta OMH) and controlled by adjusting the LED intensity and the distance between the microreactor and the LED. The photoanode/dark cathode configuration consists of an illuminated SC-TiO<sub>2</sub>/carbon paper as the photoanode, a Cu plate as the dark cathode, and a thin leak-free Ag/AgCl (1 mm) as the reference electrode. The Cu plate is cleaned before each experiment with a HCl solution (37%) to ensure a Cu(0)-based surface [51].

The cell compartments are separated by the MEA (SC-TiO<sub>2</sub> photoanode + Nafion® 117 membrane). Both catholyte and anolyte aqueous solutions (1 M KOH) are continuously fed to the reactor through two independent peristaltic pumps at flow rates of 10 mL min<sup>-1</sup>, whereas a constant gas CO<sub>2</sub> feed (180 mL min<sup>-1</sup>) is introduced into the cathodic compartment of the PEC cell. Finally, a potentiostat (AutoLabPGSTAT 302N) is used to control the applied potential (*E*) and measure the generated current density (*j*). A detailed description of both the experimental setup and the PEC cell configuration can be seen in Fig. 2.

The photoelectrochemical  $CO_2$  reduction tests are carried out by duplicate in continuous mode for 50 min, when a pseudo-stable performance is reached. Besides, the behavior of the photoanodes is investigated during three consecutive runs of 50 min under on/off UV irradiation.

Gas and liquid samples are measured at the reactor outlet (cathode side) every 10 min to calculate the concentration of products obtained in each experiment. A gas chromatograph (GCMSQP2010 Ultra, Shimadzu) equipped with a flame ionization detector (GC-FID) is used to measure the formation of liquid products (i.e., alcohols). The production of formate in the liquid phase is also analyzed with ion chromatography (IC, Dionex ICS 1100). Moreover, gaseous samples are taken and measured using an online gas microchromatograph (3000 Micro GC, Inficon). The concentration results that are two times lower/higher than the average value are discarded (experimental error of < 16.1%) to calculate the following figures of merit:

- i) the formation rate for each product per unit of area and time, r (µmol  $m^{-2}\,s^{-1});$
- ii) the Faradaic efficiency (*FE*), which indicates the selectivity of the reaction towards each product, calculated according to Eq. (1):

$$FE(\%) = \frac{z n F}{q} \times 100, \tag{1}$$

where *z* is the theoretical number of electrons exchanged to form the target product, *n* is the number of moles produced, *F* represents the Faraday constant (96485 C mol<sup>-1</sup>), and *q* is the total charge (C) applied in the process. The concentration of products is normalized to the reacting CO<sub>2</sub> (inlet-outlet) in the system and adjusted to close the balance of products in the photoelectrochemical system;

iii) the energy efficiency (*EE*), defined as the total energy used towards the formation of the desired product, calculated according to Eq. (2):

$$EE(\%) = \frac{E_T}{E} \mathbf{x} F E,$$
(2)

where *E* is the external (experimentally) applied potential and  $E_T$  represents the theoretical voltage needed for the formation of each product. The theoretical potentials for C<sub>2</sub>H<sub>4</sub> and CH<sub>3</sub>OH (V vs. Ag/AgCl at pH 7) are -0.539 V and -0.589 V, respectively [52];

iv) the solar-to-fuel (STF) parameter, which represents the efficiency of the process to produce valuable products with light. In PEC approaches, STF takes into account not only the input power from light irradiation but also the input electrical power (applied voltage – external bias). STF can be calculated as follows:

$$STF = \frac{P_{f,o} + P_{e,o}}{P_s + P_{e,i}} = \frac{A \cdot J_{op} \cdot E_{f,o} \cdot FE}{P_s + P_{e,i}},$$
(3)

where  $P_{f,o}$  is the output power contained in the chemical fuel (W),  $P_{e,o}$  the output power in the form of electricity (W),  $P_s$  the input power from solar irradiation (W), and  $P_{e,i}$  the external input electrical power (W).



Fig. 1. Illuminated filter-press PEC reactor (above) and graphical illustration of the internal cell components (below).



Fig. 2. Experimental system for the continuous PEC conversion of CO<sub>2</sub> including a schematic representation of the photoanode (MEA)/dark cathode configuration. Adapted from [25].

Besides, *A* represents the geometric area (cm<sup>2</sup>),  $J_{op}$  the operating current density (A cm<sup>-2</sup>),  $E_{f,o}$  the potential difference (V) between the two half-reactions (i.e., CO<sub>2</sub> reduction product and O<sub>2</sub> from water oxidation) and *FE* is the Faradaic efficiency.

#### 3. Results and discussion

#### 3.1. Optical properties

The optical properties of the nanoparticles are investigated through DRS and PL analyses, as displayed in Fig. 3. The results show significant differences in reflectance between SC-TiO<sub>2</sub> and commercial TiO<sub>2</sub>-P25, as given in the differential spectrum. In particular, the main differences occur under 400 nm, where TiO<sub>2</sub>-P25 starts its absorption front (please see DRS of TiO<sub>2</sub>-P25 powder as a reference). Both samples show analogous PL spectra at energies below the gap (luminescence maximum: 460 nm), with comparable photoluminescence lifetime for such a blue emission. Nevertheless, their excitation spectroscopy at  $\lambda_{max} = 460$  nm is dissimilar. Interestingly, SC-TIO<sub>2</sub> emits for excitation below the P25 gap, peaking at an excitation wavelength around 400 nm (Fig. 3, inset). This implies the existence of low energy states at these energies and a large effective redshift of the gap, of nearly 0.5 eV, in agreement with the absorption spectrum. The comparable luminescence suggests that the emitting traps are similar in nature, and can also be populated upon

UV excitation. At 365 nm, SC-TiO<sub>2</sub> shows a factor 1.5 higher extinction. In short, the larger decreased gap energy and optical lower-lying states for SC-TiO<sub>2</sub> allow the creation of excitations at much lower energies. This may contribute to reduce the external bias of the process (and thus the overall energy efficiency) in the presence of light, in contrast with the behavior of commercial TiO<sub>2</sub>-P25 photocatalysts.

#### 3.2. PEC characterization

The current densities reached in the filter-press PEC cell as a function of the applied potential (from -1.2 to -2 V vs. Ag/AgCl) and UV light illumination when CO<sub>2</sub> is continuously bubbled into the reactor are displayed in Fig. 4a. As expected, the differences in current density between dark and illuminated conditions become more relevant as the applied voltage increases, owing to a stronger band bending effect that leads to a more efficient charge separation upon light absorption [53, 54]. The current density gap observed represents the highest attainable photocurrent in the PEC system, with a maximum gap of 8.5 mA cm<sup>-2</sup> at -2 V vs Ag/AgCl ( $j_{UV} = 21.6$  mA cm<sup>-2</sup>;  $j_{dark} = 13.1$  mA cm<sup>-2</sup>). This result represents a two-fold improvement in comparison with commercial TiO<sub>2</sub>-P25 photoanodes (current increase: 4.3 mA cm<sup>-2</sup> [25]) at the same potential level. The current density achieved is comparable (and usually higher) with those results reported so far in TiO<sub>2</sub>-based photoanode-driven PEC systems for CO<sub>2</sub> conversion. For example,



**Fig. 3.** Differential reflectance spectrum taken for SC-TiO<sub>2</sub> with TiO<sub>2</sub>-P25 as the reference signal (DRS for TiO<sub>2</sub>-P25 as a blue dotted line). Inset: PL excitation spectra for SC-TiO<sub>2</sub> (red) and TiO<sub>2</sub>-P25 (blue) dry powder. The photoexcitation spectra are taken at 460 nm emission. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article).

Yamamoto et al. reported a current density of 2.4 mA cm<sup>-2</sup> using TiO<sub>2</sub> nanotube photoanodes (6 cm<sup>2</sup>) and a metal dark cathode (Pb or Ag) in a divided H-type PEC cell with a methanol-based electrolyte [55]. Similarly, Cheng et al. reported an increased current density (14 mA cm<sup>-2</sup>) using the same PEC reactor setup with a TiO<sub>2</sub> nanotube photoanode and a Cu foam combined with Pt-modified graphene oxide (Pt-RGO) cathode, with a photoanode/cathode area ratio of 6/1 [38]. More recently, a TiO<sub>2</sub> photoanode and a gas diffusion electrode (GDE) cathode configuration led to a photocurrent density of 1.27 mA cm<sup>-2</sup> with a low cell voltage bias of 0.8 V [32], whereas higher current densities (9 mA cm<sup>-2</sup>) have been reported using TiO<sub>2</sub> nanotube arrays (7 cm<sup>2</sup>) in a photoanode-driven PEC cell [56]. The values from this work (21.6 mA cm<sup>-2</sup> with a photocurrent gap of 8.5 mA cm<sup>-2</sup> at -2 V, and 12.31 mA cm<sup>-2</sup> with a gap of 4.81 mA cm<sup>2</sup> at -1.8 V) may thus demonstrate the potential of the SC-TiO<sub>2</sub> photoanodes developed.

Besides, the current density evolution over SC-TiO<sub>2</sub> and TiO<sub>2</sub>-P25 photoanodes at constant voltage (-1.8 V vs. Ag/AgCl) is shown in Fig. 4b. It should be noted that a potential of -2 V vs. Ag/AgCl (or more negative) is not considered since it may be undesirable for the production of hydrocarbons [57] and alcohols [58] from CO<sub>2</sub> conversion (due mainly to enhanced H<sub>2</sub> generation), but it might also lead to increased energy consumption, which negatively affects the overall efficiency of the PEC process.

As expected, the illumination of the SC-TiO<sub>2</sub> light-responsive surfaces involves a higher current density ( $j \sim 12-13 \text{ mA cm}^{-2}$ ) compared to the same system under dark conditions ( $j \sim 7.5 \text{ mA cm}^{-2}$ ). Besides, the



Fig. 4. PEC characterization: a) current-voltage responses of SC-TiO<sub>2</sub> photoanodes with (yellow) and without (black) UV illumination; b) current density evolution at -1.8 V vs. Ag/AgCl for SC-TiO<sub>2</sub> photoanodes with (yellow) and without (black) LED illumination, compared with TiO<sub>2</sub>-P25 photoanodes under illumination (grey). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article).

use of TiO<sub>2</sub>-P25 photoanodes leads to significantly decreased current densities in the presence of light ( $j \sim 6 \text{ mA cm}^{-2}$ ), which proves the enhanced properties of TiO<sub>2</sub> synthesized in supercritical CO<sub>2</sub>, such as specific morphology and crystallinity, surface area, light absorption, and optical bandgap energy [43,47]. In particular, the prepared photoactive materials exhibited large surface area than commercial TiO<sub>2</sub>-P25 (152 vs. 50 m<sup>2</sup> g<sup>-1</sup>), as well as a narrow bandgap energy (0.3 eV lower for SC-TiO<sub>2</sub>), higher purity (predominant anatase phase), and specific morphology (mixture of particle shapes) [43]. Higher photocatalytic activities are therefore expected for SC-TiO<sub>2</sub> nanoparticles, with easier access of reactant molecules to photoactive sites and a better charge separation and transport.

Then, the stability of the prepared SC-TiO<sub>2</sub> photoanodes is tested under UV light for three consecutive on-off runs of 50 min (Fig. 5). The experiment starts with the UV light on, while the LED lights are turned off at the end of each run (t = 50, 100, 150 min). The analysis shows how the production of CH<sub>3</sub>OH, as an example, decays progressively after three consecutive runs. Despite this fact, the increase in CH<sub>3</sub>OH yield at the beginning of each run may indicate that the activity loss, partially associated with the blocking of photoactive sites in the photoanode during water oxidation [59], can be mitigated from one on-off cycle to another upon light irradiation. Altogether, pseudo-stable values can be reached at the end of each cycle (4.72, 4.73, and 4.6 µmol m<sup>-2</sup> s<sup>-1</sup>, respectively), thus showing a stable PEC conversion of CO<sub>2</sub> under UV light illumination.

To evaluate the composition and crystallinity of the prepared photoactive surfaces, Fig. 6 shows the XRD diffractograms of SC-TiO<sub>2</sub> photoanodes before and after use, including the response of P25-TiO<sub>2</sub> surfaces for comparison. As expected, the XRD response of TiO<sub>2</sub>-P25 shows not only peaks related to anatase, but also peaks that can be ascribed to the presence of rutile, since both anatase and rutile are the two main physico-chemically distinct polymorphs in P25 (Sigma-Aldrich, P25). However, the homemade synthesized TiO<sub>2</sub> nanoparticles (SC-TiO<sub>2</sub>) exhibit a nearly pure anatase composition, in accordance with previous studies [43,49,60,61]. It should be noted that anatase represents a more photoactive phase than rutile due to surface properties (better response to adsorbates in electron transfer reactions) and solid-state features (improved light absorption and charge transfer) [62], which might lead to an enhanced PEC performance.

Moreover, the diffractograms also reveal that SC-TiO<sub>2</sub> displays higher crystallinity than P25-TiO<sub>2</sub> if we compare the peak height (especially at 25–26°) and resolution of both diffractograms. The results, therefore, show that the calcination process (after synthesis of SC-TiO<sub>2</sub>) has been successfully carried out, which should involve more favorable conditions for charge separation [43]. It can be finally noticed that the responses of fresh and used surfaces are very similar, which can be linked to the stability of the system for continuous light-driven PEC



**Fig. 5.** Time course for the light-driven PEC production of  $CH_3OH$  (yellow) and current density (grey) using SC-TiO<sub>2</sub> photoanodes in three consecutive runs (-1.8 V vs. Ag/AgCl).

operation.

#### 3.3. Product distribution and process efficiency in PEC cell

The effect of UV light irradiation on the continuous performance of the PEC cell including the SC-TiO<sub>2</sub> photoanodes is studied at constant voltage (-1.8 V vs. Ag/AgCl). The products obtained from CO<sub>2</sub> conversion in the outlet stream are C<sub>2</sub>H<sub>4</sub> in the gas phase (with traces of CO) and CH<sub>3</sub>OH (with small quantities of C<sub>2</sub>H<sub>5</sub>OH) in the liquid phase.

The formation rates (*r*) obtained as a function of light conditions (UV illumination or dark mode) are presented in Table 1. As can be seen, the performance of the UV illuminated PEC system is not only improved in terms of current density (current gap: 5.1 mA cm<sup>-2</sup>) but also reaction selectivity. Specifically, the increase in current under UV light allows a six-fold enhanced production of C<sub>2</sub>H<sub>4</sub> (147.4 vs. 24.2 µmol m<sup>-2</sup> s<sup>-1</sup>) and allows the formation of CH<sub>3</sub>OH (4.72 µmol m<sup>-2</sup> s<sup>-1</sup>), which might be explained by the specific optical properties of SC-TiO<sub>2</sub> photocatalysts (light absorption) and their specific morphology and crystallinity for an enhanced charge separation and transfer in the presence of light [43]. As a result, a high number of electrons (migrating from the photoanode towards the cathode) might be available to proceed with the continuous PEC conversion of CO<sub>2</sub>. The production of H<sub>2</sub> also becomes more relevant at higher current densities.

The production rates presented in Table 1 are also normalized by the total charge, q (C), to properly evaluate the activity and selectivity of the process. Interestingly, the production of C<sub>2</sub>H<sub>4</sub> is significantly enhanced in the presence of light regardless of the total charge passed through the system during 50 min of continuous PEC operation (0.4 µmol m<sup>-2</sup> s<sup>-1</sup> C<sup>-1</sup> vs 0.11 µmol m<sup>-2</sup> s<sup>-1</sup> C<sup>-1</sup>), which denotes that the selectivity of the reaction is altered at higher current densities (UV illumination).

Similar trends can be seen for *FE* (Fig. 7). The formation of  $C_2H_4$  is improved and the generation of alcohols (i.e.,  $CH_3OH$ ) can be seen. The change in reaction selectivity towards  $C_2H_4$  (*FE*  $C_2H_4$  from 38.2% to 46.6%) and  $CH_3OH$  (*FE*  $CH_3OH = 15.3\%$ ) under light irradiation highlights again the improved  $CO_2$  conversion in the cathode at higher current densities, due to the superior photoactivity of SC-TiO<sub>2</sub> photoanodes under the light (improved charge separation and transfer). This leads to an improved PEC process performance with decreased external bias, which is essential from a practical application viewpoint. Besides, the HER is clearly suppressed under UV light irradiation (*FE*  $H_2 = 38\%$ ) since most of the charge passed seems to be used for the selective formation of  $C_2H_4$  and  $CH_3OH$ . In the dark, however,  $H_2$  represents the main product at the reactor outlet (*FE*  $H_2 = 61.5\%$ ).

Finally, Table 2 shows *STF* and *EE*, which are crucial figures of merit to evaluate the overall efficiency of the PEC system. The results obtained in the present study are compared to those achieved using illuminated  $TiO_2$ -P25 surfaces in our previous work [25].

The use of illuminated SC-TiO<sub>2</sub> surfaces, in comparison with TiO<sub>2</sub>-P25 photoanodes, seems to be beneficial for enhanced charge separation and transfer from the photoanode that leads to an improved generation of C<sub>2</sub>H<sub>4</sub> from CO<sub>2</sub> conversion at the cathode. The improved characteristics of the synthesized nanoparticles in supercritical CO2 (i.e., enhanced morphology and high crystallinity) might lead to more efficient separation of electron-hole pairs (suppressing recombination) [43] as well as an increased current density that leads to a more selective formation of C<sub>2</sub>H<sub>4</sub> and CH<sub>3</sub>OH from CO<sub>2</sub> at the surface of the Cu plate. The STF results for C<sub>2</sub>H<sub>4</sub> and CH<sub>3</sub>OH are enhanced in comparison with the behavior of illuminated TiO2-P25 photoactive surfaces (5.4% vs. 3.7% for C<sub>2</sub>H<sub>4</sub> and 1.9% vs. 1% for CH<sub>3</sub>OH) under the same conditions, which once again denotes the enhanced properties of the SC-TiO<sub>2</sub> photoanodes and the effect of the current density on reaction selectivity (especially at high current levels). This result agrees with the decreased gap observed for SC-TiO<sub>2</sub> by differential reflectance analysis (Fig. 3), which allows the creation of excitations at much lower energies. Besides, as expected, the EE results under UV irradiation are improved with respect to the behavior of this material in the dark, due to the increased



Fig. 6. XRD diffractograms of fresh and used photoactive surfaces: a) SC-TiO<sub>2</sub>; b) TiO<sub>2</sub>-P25.

Fable 1	
Production rates (r) for CO <sub>2</sub> reduction products and H <sub>2</sub> with and without illumination ( $-1.8$ V vs. Ag/AgCl	I).

Photoanode	j (mA cm <sup>-2</sup> )	q (C)	$r (\mu mol  m^{-2}  s^{-1})$			r/q (µmol m <sup>-2</sup> s <sup>-1</sup> C <sup>-1</sup> )	
			$C_2H_4$	C <sub>2</sub> H <sub>5</sub> OH	CH <sub>3</sub> OH	H <sub>2</sub>	$C_2H_4$
SC-TiO <sub>2</sub> (UV illumination) SC-TiO <sub>2</sub> (dark)	12.31 7.18	369.3 215.4	147.4 24.2	0.32	4.72 -	721.5 233.1	0.4 0.11

photocurrent density generated at -1.8 V vs. Ag/AgCl (Fig. 4a and 4b). The lower *EE* under illumination for C<sub>2</sub>H<sub>4</sub> at SC-TiO<sub>2</sub> surfaces (14%) in comparison with the performance of TiO<sub>2</sub>-P25 (17.8%) and the invariable *EE* for CH<sub>3</sub>OH (5% vs. 5.2%, respectively) can be linked to an increased production of H<sub>2</sub> in the system with SC-TiO<sub>2</sub> photoanodes, due to the higher current density achieved at the cathode.

Fig. 8 shows a schematic representation of the proposed reaction pathways for the generation of  $C_2H_4$  and  $CH_3OH$  at the surface of the Cu cathode [63–68].

If we compare the *FEs* obtained for  $C_2H_4$  (as main product) in this work (*FE*  $C_2H_4 = 46.6\%$ ) with other photoanode-driven PEC systems (Table 3), the results outperform the data reported in 1996 when employing a TiO<sub>2</sub> photoanode combined with a Cu-based cathode, where a *FE*  $C_2H_4 = 24\%$  was obtained, although CH<sub>4</sub> was the main product [69]. Other studies also reported the generation of  $C_2H_4$ , as a minor product, in several PEC systems combining different photoanodes and Cu cathodes. For instance, Magesh et al. showed in 2014 a maximum *FE*  $C_2H_4 = 4.5\%$  for  $C_2H_4$  at WO<sub>3</sub> photoanodes with a Cu cathode [70], whereas this product was not detected when substituting the Cu cathode with Sn/SnO<sub>x</sub> electrodes, which indicates the key role of Cu. One year later, the use of a BiVO<sub>4</sub>/WO<sub>3</sub> photoanode with a Cu cathode led to a *FE* C<sub>2</sub>H<sub>4</sub> = 17.7% [71]. Besides, C<sub>2</sub>H<sub>4</sub> was a minor product (*FE* C<sub>2</sub>H<sub>4</sub> = 2.5%) from CO<sub>2</sub> reduction at a Cu cathode combined with photoanodes based on AlGaN/GaN heterostructures [72].

Thus, the maximum *FE* for  $C_2H_4$  reached in this work is markedly superior to those values reported so far in different photoanode-driven PEC systems, which highlights the relevance of the present study. It should also be mentioned that although a lower *FE* for  $C_2H_4$  was achieved in this work in comparison with our previous study at TiO<sub>2</sub>-P25 photoanodes (*FE*  $C_2H_4 = 59.3\%$ ), the *STF* is nevertheless clearly superior due to an increased current density (two-fold improved) at the same potential level, thus demonstrating a more efficient PEC CO<sub>2</sub>-to-C<sub>2</sub>H<sub>4</sub> process.

Overall, this work represents a step forward into developing more effective PEC systems for  $CO_2$  conversion in continuous mode, which may be helpful to get closer to real applications.

#### 4. Conclusions

In this work, TiO<sub>2</sub> nanoparticles synthesized in supercritical medium



Fig. 7. FE results with SC-TiO $_2$  photoanodes with/without UV illumination (-1.8 V vs. Ag/AgCl).

Table 2STF and EE for  $CO_2$  reduction in the PEC system (-1.8 V vs. Ag/AgCl).

Photoanode	<i>j</i> (mA cm <sup>-2</sup> )	STF (%)		EE (%)		Ref.
		$C_2H_4$	CH <sub>3</sub> OH	$C_2H_4$	CH <sub>3</sub> OH	
SC-TiO <sub>2</sub> (UV illumination)	12.31	5.4	1.9	14	5	This work
SC-TiO <sub>2</sub> (dark)	7.18	-	-	11.5	-	This work
TiO <sub>2</sub> -P25 (UV illumination)	5.9	3.7	1	17.8	5.2	[25]

(SC-TiO<sub>2</sub>), with a nearly pure anatase composition and improved crystallinity, are investigated in a photoanode-driven photoelectrochemical filter-press reactor for a more efficient transformation of CO<sub>2</sub> into value-added products (i.e., ethylene and methanol) in continuous mode.

The characterization of the photoanodes shows that photocurrent

density is higher than most of the values reported in similar photoelectrocatalytic systems and significantly superior  $(12.31 \text{ mA cm}^{-2})$  than the system in the dark (7.18 mA cm<sup>-2</sup>). This current level is also higher than that reached with commercial TiO<sub>2</sub>-P25 electrodes (5.9 mA cm<sup>-2</sup>) under the same conditions. The greater decreased gap for SC-TiO<sub>2</sub> observed in differential absorption analyses allows the creation of excitations at much lower energies, which might be responsible for enhancing light-driven process performance.

As a result, the production of ethylene from  $CO_2$  is six-fold improved (147.4 µmol m<sup>-2</sup> s<sup>-1</sup>) when using SC-TiO<sub>2</sub> surfaces as photoanodes in comparison with the process performance in the dark. The formation of

#### Table 3

PEC systems reported for  $CO_2$  conversion to  $C_2H_4$  at Cu-based cathodes in photoanode/dark cathode configurations.

Photoanode	Cathode	Potential and light source	Reaction medium	FE (%)	STF (%)	Ref.
SC-TiO <sub>2</sub>	Cu	-1.8 V vs. Ag/AgCl* UV LED (365 nm)	1 М КОН	46.6	5.4	This work
TiO <sub>2</sub>	Си	-1.8 V vs. Ag/AgCl* UV LED (365 nm)	1 М КОН	59.3	3.7	[25]
TiO <sub>2</sub>	Cu/ZnO	Pulsed bias** Sunlight	0.1 M KHCO <sub>3</sub>	24	-	[69]
WO <sub>3</sub>	Си	0.65 V vs. RHE*** Hg lamp (> 420 nm)	0.5 М КНСО <sub>3</sub>	4.5	-	[70]
BiVO <sub>4</sub> / WO <sub>3</sub>	Cu	0.4 V vs. RHE*** Hg lamp (> 420 nm)	0.5 M KHCO <sub>3</sub>	17.7	_	[71]
AlGaN/ GaN	Си	-1.47 V vs. Ag/AgCl* Xe lamp (365 nm)	3 M KCl	2.5	-	[72]

Notation: \* Cathode potential, \*\* Potential not available, \*\*\* Photoanode potential.



Fig. 8. Reaction mechanisms for the formation of  $C_2H_4$  and  $CH_3OH$  from  $CO_2$  at Cu cathodes. Adapted from [63,66,68].

methanol is also favored under illumination (4.72  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>). Furthermore, the Faradaic efficiencies for both ethylene and methanol (46.6% and 15.3%, respectively) are enhanced under light irradiation, outperforming previous photoanode-driven photoelectrochemical systems reported in the literature. Accordingly, the solar-to-fuel results are improved in the system with illuminated SC-TiO<sub>2</sub> photoactive surfaces (5.4% for ethylene and 1.9% for methanol), in comparison with the behavior of TiO<sub>2</sub>-P25 photoanodes (3.7% for ethylene and 1% for methanol).

To sum up, this work reports the continuous light-driven photoelectrochemical conversion of  $CO_2$  using SC-TiO<sub>2</sub>photoanodes, promoting a more efficient photoelectrochemical transformation of  $CO_2$  to valuable products.

#### CRediT authorship contribution statement

Jonathan Albo, Angel Irabien, Rafael Camarillo, Jesusa Rincón, Methodology, Resources, Validation, Supervision, Funding acquisition; Jonathan Albo, Rafael Camarillo, Conceptualization; Ivan Merino-Garcia, Sergio Castro, Jonathan Albo, Ignacio Hernández, Verónica Rodríguez, Rafael Camarillo, Formal analysis; Ivan Merino-Garcia, Sergio Castro, Jonathan Albo, Rafael Camarillo, Ignacio Hernández: Investigation, Roles/Writing – original draft; Jonathan Albo, Angel Irabien: Project administration; Ivan Merino-Garcia, Jonathan Albo, Angel Irabien, Ignacio Hernández, Rafael Camarillo: Visualization, Writing – review & editing.

#### **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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# Resumen de resultados y conclusiones

### **CAPÍTULO 4. RESUMEN DE RESULTADOS Y CONCLUSIONES**

## 4.1 Resumen de los principales resultados

La presente Tesis Doctoral presenta un proceso de conversión fotoelectroquímica de CO<sub>2</sub> en continuo utilizando fotoánodos basados en TiO<sub>2</sub> comercial (TiO<sub>2</sub>-P25) y TiO<sub>2</sub> con propiedades mejoradas (TiO<sub>2</sub>-SC), junto con cátodos basados en Cu, para la producción de alcoholes e hidrocarburos.

Para lograrlo, se ha realizado inicialmente una exhaustiva revisión del estado del arte, donde la fotoelectrocatálisis destaca como una alternativa prometedora que combina las ventajas de la electrocatálisis y la fotocatálisis, reduciendo el voltaje requerido en la conversión electroquímica de CO<sub>2</sub> en productos químicos útiles mediante el aprovechamiento de la luz. Como se ha discutido a lo largo de este documento, el diseño de un reactor PEC debe realizarse en base a una cuidadosa evaluación de diversos factores, como son la fuente de luz, la configuración geométrica, el material de construcción, así como características de mezcla y flujo, e intercambio de calor, entre otros. Dos de los parámetros clave del proceso son las fases involucradas y el modo de operación (es decir, discontinuo, semicontinuo o continuo). Además, el ánodo y el cátodo en la celda PEC deben estar preferiblemente en forma de películas delgadas separadas por una membrana conductora de protones y depositadas sobre sustratos porosos. Esto favorece el transporte de electrones, así como la difusión de protones a través de la membrana. El proceso requiere además que se dé eficientemente la reacción de evolución de oxígeno en el lado del ánodo y una difusión eficiente de CO<sub>2</sub> en el lado del cátodo.

La literatura muestra que se ha empleado con mayor frecuencia la configuración de fotoánodo-cátodo a oscuras, aprovechando los beneficios de usar semiconductores tipo n (en lugar de semiconductores tipo p), más abundantes, económicos y estables para la oxidación de H<sub>2</sub>O. En una configuración fotocátodo-ánodo a oscuras, el fotocátodo está compuesto por un semiconductor tipo p debido a su alta energía de banda de conducción, que es adecuada para la reducción de CO2, pero su eficiencia es limitada. Otra opción para la disposición de los fotoelectrodos es la combinación de un fotocátodo con un semiconductor tipo p para la reducción de CO<sub>2</sub> con un fotoánodo de semiconductor tipo n para la oxidación de H<sub>2</sub>O. A diferencia de las otras dos configuraciones, esta permite, idealmente, realizar la reducción de CO<sub>2</sub> sin necesidad de aplicar corriente eléctrica externa. Por último, la configuración de reactor PEC tándem (o híbrida) combina la reducción de CO2 con la generación de energía fotovoltaica en el mismo dispositivo, mediante: (i) la utilización de elementos fotovoltaicos exteriores al reactor, capaces de suministrar un flujo extra de electrones para la reducción de CO<sub>2</sub> y (ii) la integración de materiales fotovoltaicos en la estructura de los fotoelectrodos del sistema, maximizando el aprovechamiento de la luz y la separación de cargas.

Tras la revisión del estado del arte, se ha diseñado y construido un sistema experimental para llevar a cabo la fotoelectroreducción de CO<sub>2</sub> a metanol y etanol en continuo. Se utiliza una celda PEC compuesta inicialmente por un fotoánodo basado TiO<sub>2</sub>-P25 en configuración MEA que se ilumina con luz LED UV (100 mW·cm<sup>-2</sup>), junto con una placa de Cu a oscuras. Con esta configuración, se ha logrado un incremento de hasta 4,3 mA·cm<sup>-2</sup> (2 V vs. Ag/AgCl) al iluminar la superficie del fotoánodo. El funcionamiento del sistema se ve favorecido al aplicar -1,8 V vs. Ag/AgCl con una carga catalítica de TiO<sub>2</sub>-P25 de 3 mg·cm<sup>-2</sup>, donde se alcanza una velocidad de producción de 9,5 µmol·m<sup>-2</sup>·s<sup>-1</sup>, una eficiencia Faradaica del 16,2% y una eficiencia energética del 5,2% para metanol, y una velocidad de producción de 6,8 µmol·m<sup>-2</sup>·s<sup>-1</sup>, eficiencia Faradaica del 23,2% y eficiencia energética del 6,8% para etanol. La producción de metanol se ve favorecida con el incremento de voltaje, mientras que la producción de alcoholes a partir de CO<sub>2</sub> con luz UV, reduciendo la energía requerida en un sistema electroquímico (a oscuras).

Posteriormente se investiga el empleo de nanopartículas de TiO<sub>2</sub>-SC para la preparación de fotoánodos con el objetivo de mejorar la eficiencia del proceso. La caracterización fotoelectroquímica de los fotoánodos revela que la densidad de corriente alcanzada es significativamente más elevada (12,31 mA·cm<sup>-2</sup>) que la alcanzada en el sistema en ausencia de luz (7,18 mA·cm<sup>-2</sup>). Esta corriente también supera la obtenida anteriormente con los fotoánodos fabricados con nanopartículas comerciales de TiO<sub>2</sub>-P25 (5,9 mA·cm<sup>-2</sup>) en las mismas condiciones. Esta mejora se atribuye a las propiedades mejoradas del TiO<sub>2</sub>-SC, como es la morfología, la cristalinidad y el área superficial, con influencia en la absorción de luz y bandgap, que se traduce en un mejor acceso de reactivos a los sitios fotoactivos y una mejor separación de cargas, lo que permite mejorar la eficiencia del proceso. Como resultado, la producción de etileno, producto de gran interés comercial, se mejora hasta en seis veces (147,4 µmol·m<sup>-2</sup>·s<sup>-1</sup>) cuando se utilizan superficies de TiO<sub>2</sub>-SC como fotoánodos en comparación con el rendimiento del proceso a oscuras. La formación de metanol también se ve favorecida bajo la luz (4,72 µmol·m<sup>-2</sup>·s<sup>-1</sup>). Además, las eficiencias Faradaicas tanto para el etileno como para el metanol (46,6% y 15,3%, respectivamente), aumentan con la irradiación de luz, superando los resultados reportados en la literatura para sistemas fotoelectroquímicos en configuración fotoánodo-cátodo. En consecuencia, la eficiencia en la conversión de energía solar en productos mejora en el sistema basado en TiO<sub>2</sub>-SC (5,4% para etileno y 1,9% para metanol), en comparación con el comportamiento de los fotoánodos de TiO<sub>2</sub>-P25 (3,7% para etileno y 1 % de metanol).

# 4.2 Conclusiones

Las conclusiones obtenidas a lo largo de la presente Tesis Doctoral han sido difundidas a través 3 artículos en revistas científicas incluidas en el InCites<sup>™</sup> Journal of Citation Reports-Science Edition (JCR), en posiciones del primer decil (D1), primer cuartil (Q1) o segundo cuartil (Q2) y 5 comunicaciones presentadas en congresos internacionales y nacionales (2 de las mismas recogidas en libros o proceedings con ISBN). El listado completo de contribuciones a congresos se detalla en el anexo, especificando, en cada caso, el tipo de comunicación realizada, oral o póster.

A continuación, se enumeran las 3 publicaciones en revistas científicas, indicando lista de autores por orden de firma, título del artículo, nombre de la revista, número de la revista, año de publicación, índice de impacto disponible correspondiente al año de publicación, denominación del área temática de la revista, cuartil en el área temática y posición relativa en el área temática:

<u>Sergio Castro</u>, Jonathan Albo, Ángel Irabien, **2018.** Photoelectrochemical reactors for CO<sub>2</sub> utilization. ACS Sustainable Chemistry & Engineering, 6, 15877-15894. Índice de impacto: 6,970.
 Q1 y D1; Chemical Engineering 9/138.

2. <u>Sergio Castro</u>, Jonathan Albo, Ángel Irabien, **2020.** Continuous conversion of CO<sub>2</sub> to alcohols in a TiO<sub>2</sub> photoanode-driven photoelectrochemical system. Journal of Chemical Technology & Biotechnology, 95, 1876-1882. Índice de impacto: 3,174. Q2; Chemical Engineering 63/143.

3. Iván Merino-García<sup>1</sup>, <u>Sergio Castro<sup>1</sup></u>, Ángel Irabien, Ignacio Hernández, Verónica Rodríguez, Rafael Camarillo, Jesusa Rincón, Jonathan Albo, **2022.** Efficient photoelectrochemical conversion of CO<sub>2</sub> to ethylene and methanol using a Cu cathode and TiO<sub>2</sub> nanoparticles synthesized in supercritical medium as photoanode. Journal of Environmental Chemical Engineering, 10, 107441. Índice de impacto: 7,7. Q1; Chemical Engineering 16/140. <sup>1</sup>Iván Merino-Garcia y Sergio Castro han contribuido igualmente a este trabajo como primeros autores.

Se presentan a continuación las principales conclusiones recogidas en los citados artículos:

1) Se ha demostrado las posibilidades técnicas de llevar a cabo el proceso de fotoelectroreducción de CO<sub>2</sub> en modo continuo a productos de alto valor añadido utilizando materiales semiconductores de TiO<sub>2</sub>-P25 y TiO<sub>2</sub>-SC. Este enfoque permite reducir significativamente la energía requerida en un sistema electroquímico convencional. Los resultados muestran el potencial de la fotoelectrocatálisis para la conversión eficiente de CO<sub>2</sub>, lo que contribuye al mismo tiempo a mitigar el cambio climático.

2) Tras una revisión exhaustiva del estado del arte en el campo de la fotoelectroreducción de  $CO_2$ a productos de alto valor añadido, se ha observado que la configuración más reportada en la actualidad es aquella que utiliza un fotoánodo junto con un cátodo a oscuras. Esto se debe a los beneficios asociados al uso de semiconductores tipo *n*, en lugar de los semiconductores tipo *p*, que son abundantes, económicos y estables para la oxidación de H<sub>2</sub>O. Además, otra opción prometedora para la disposición de los fotoelectrodos es la combinación de un fotocátodo de semiconductor tipo *p* para la reducción de  $CO_2$  con un fotoánodo de semiconductor tipo *n* para la oxidación de H<sub>2</sub>O. Esta configuración, permite llevar a cabo la reducción de  $CO_2$  junto con la oxidación de H<sub>2</sub>O sin necesidad de un aporte eléctrico externo, idealmente, lo cual simplifica el sistema y reduce los consumos del proceso electroquímico. Los sistemas PEC tándem, que incorporan elementos fotovoltaicos, permiten un mejor aprovechamiento de la luz y mejoran la separación de cargas.

3) Posteriormente, se diseña y construye un sistema experimental para la fotoelectroreducción en continuo de CO<sub>2</sub> a productos de alto valor añadido. Para ello, se emplea un reactor PEC equipado con un fotoánodo de TiO<sub>2</sub>-P25 en una configuración MEA. Durante las pruebas bajo iluminación de luz UV, se observa un incremento notable en la densidad de corriente (4,3 mA·cm<sup>-</sup>). Este resultado muestra los potenciales beneficios del fotoánodo desarrollado.

Además, se observa como al utilizar una carga de TiO<sub>2</sub>-P25 de 3 mg·cm<sup>-2</sup> en el fotoánodo y aplicar un potencial de -1,8 V frente a Ag/AgCl, se alcanza una velocidad de producción de metanol de 9,5 µmol·m<sup>-2</sup>·s<sup>-1</sup>, con una eficiencia Faradaica del 16,2% y una eficiencia energética del 5,2%. En el caso del etanol, se obtiene una velocidad de producción de 6,8 µmol·m<sup>-2</sup>·s<sup>-1</sup>, una eficiencia Faradaica del 12,1% y una eficiencia energética del 6,8% en las mismas condiciones. La selectividad de la reacción puede ser modulada con el voltaje aplicado, resultando favorecida la producción de metanol con voltajes más elevados.

4) Se emplean por último nanopartículas de TiO<sub>2</sub> sintetizadas en medio supercrítico (TiO<sub>2</sub>-SC), donde la producción de etileno experimenta un incremento de hasta seis veces (147,4 µmol·m<sup>-</sup><sup>2</sup>·s<sup>-1</sup>) en comparación con el sistema a oscuras. Asimismo, la formación de metanol también se ve favorecida bajo iluminación, alcanzando una velocidad de formación de 4,72 µmol·m<sup>-2</sup>·s<sup>-1</sup>. Además, las eficiencias Faradaicas tanto para el etileno como para el metanol (46,6% y 15,3%, respectivamente) aumentan con la irradiación de luz, superando los resultados reportados en la literatura para sistemas fotoelectroquímicos en configuración fotoánodo-cátodo. En consecuencia, la eficiencia en la conversión de energía solar en productos mejora en el sistema basado en TiO<sub>2</sub>-SC (5,4% para etileno y 1,9% para metanol), en comparación con el comportamiento de los fotoánodos de TiO<sub>2</sub>-P25 (3,7% para etileno y 1 % de metanol).

# 4.3 Trabajo futuro

Tras analizar los principales resultados obtenidos en esta Tesis Doctoral, se identifican las siguientes líneas de investigación como relevantes para el futuro avance científico y técnico del proceso, de tal forma que sea posible acercar la fotoelectrocatálisis a su implementación a escala industrial:

1) Continuar con el desarrollo y empleo de nuevos materiales fotoactivos para la fabricación de fotoánodos, incluyendo materiales basados en óxidos de Cu, Bi, Fe o W, entre otros. Se destaca la prometedora utilización de materiales basados en perovskitas, lo cual puede ser abordado a través de colaboraciones con grupos de investigación nacionales e internacionales especializados en la síntesis de estos compuestos. Además, se debe hacer especial énfasis en el diseño y optimización de nuevas configuraciones de fotoelectroreactores, que incluyan configuraciones de fotocátodos-ánodo, fotocátodo-fotoánodo y fotoelectrolizadores tándem, con el objetivo principal de lograr la producción de hidrocarburos con alto valor añadido, como el etileno, operando en fase gaseosa en el compartimento catódico del reactor PEC y sin aporte externo de energía. Esta estrategia permite aumentar tanto el rendimiento como la concentración de los productos objetivo, minimizando al mismo tiempo la necesidad de etapas adicionales de separación.

2) Avanzar en las técnicas de fabricación de los electrodos, ya que este aspecto supone un paso crucial hacia la futura implementación industrial de la reducción fotoelectroquímica de CO<sub>2</sub>. En los últimos años han surgido nuevas técnicas de deposición de catalizadores para la fabricación de electrodos como, por ejemplo, la deposición de vapor químico, la pirólisis por pulverización, la galvanoplastia y los métodos de impresión, que están captando un gran interés. Además, es importante considerar posibles tratamientos de modificación de la estructura y superficies de los fotoelectrodos, como la deposición capa por capa y el tratamiento de la superficie con plasma, ya que pueden mejorar el rendimiento y la estabilidad del electrodo.

3) Explorar reacciones de mayor interés que puedan llevarse a cabo en el compartimento anódico del reactor PEC con el objetivo de aumentar su viabilidad económica. Cabe destacar, por ejemplo, la reacción de oxidación del glicerol, debido a que es un subproducto importante en la producción de biodiesel, o la electrooxidación del metano a hidrocarburos líquidos debido al alto consumo energético del proceso industrial de conversión de metano. Esto supondría un gran progreso en el proceso ya que permitiría obtener productos de interés tanto en el compartimento catódico como anódico del reactor fotoelectroquímico, buscando, al mismo tiempo, reducir el consumo energético del proceso global.

4) Optimización del sistema experimental y de las variables para acercar la fotoelectroreducción a la aplicación real. Entre estas variables, es importante considerar la fuente de luz (luz visible o solar), su intensidad, el potencial de polarización, la densidad de corriente, el caudal de CO<sub>2</sub> y el contenido de agua en la corriente cuando se opera en fase gaseosa. Además, para superar las limitaciones inherentes de los electrodos, se debe evaluar la relación de área geométrica entre el cátodo y el ánodo, y la viabilidad de utilizar elementos fotovoltaicos ensamblados tanto fuera como dentro del reactor.

Ante lo expuesto, queda patente que, aunque a lo largo de esta Tesis Doctoral se han alcanzado avances significativos en el proceso de fotoelectroreducción de CO<sub>2</sub> en modo continuo, aún existen desafíos por abordar para lograr la implementación industrial de este proceso. Por lo tanto, es crucial seguir dedicando esfuerzos a la investigación en este campo.



# ANEXO

# DIFUSIÓN DE RESULTADOS EN CONGRESOS NACIONALES E INTERNACIONALES

A continuación, se enumeran las contribuciones a congresos nacionales e internacionales en el ámbito de la Ingeniería Química a través de los cuales se ha difundido los resultados de la presente Tesis Doctoral:

- 1. Sergio Castro, Jonathan Albo, Ángel Irabien, Photoelectrochemical reactors for CO<sub>2</sub> utilization, 4<sup>th</sup> International Symposium on the Catalysis for Clean Energy and Sustainable Chemistry (CCESC). 9 - 11 julio 2018, Bilbao (España). Comunicación oral. 2018
- 2. Sergio Castro, Jonathan Albo, Ángel Irabien, TiO<sub>2</sub> photoanode-driven electrochemical system for CO2 transformation, XXXVII Reunión Bienal de la XXXVII Real Sociedad Española de Química. 26 - 30 mayo 2019, San Sebastián (España). Comunicación oral.
- 3. Sergio Castro, Jonathan Albo, Ángel Irabien, Continuous photo-electrochemical reduction of CO<sub>2</sub> in a TiO<sub>2</sub> photoanode-driven system, 3<sup>rd</sup> ANQUE-ICCE International Congress of Chemical Engineering. 19 - 21 junio 2019, 978-84-09-12430-5. Santander (España). ISBN: Comunicación oral. Santander, SPAIN June 19-21, 2019
- 4. Sergio Castro, Jonathan Albo, Verónica Rodríguez, Rafael Camarillo, Jesusa Rincón, Ángel Irabien, Reducción en continuo de CO<sub>2</sub> utilizando nanopartículas de TiO<sub>2</sub> en una celda fotoelectroquímica, V Workshop de la Red E3TECH / I Workshop Iberoamericano a Distancia E3TECH "Aplicaciones Medioambientales y Energéticas de la Tecnología Electroquímica". 28 - 31 octubre 2020, Online. ISBN: 978-84-09-24561-1. Comunicación póster.
- 5. Sergio Castro, Jonathan Albo, Verónica Rodríguez, Rafael Camarillo, Jesusa Rincón, Ángel Irabien, TiO<sub>2</sub> synthesized in supercritical medium for continuous CO<sub>2</sub> photoelectrocatalytic 24<sup>th</sup> conversion, International Congress of Chemical and Process Engineering (CHISA 2021). 15 - 18 marzo **2021**, Online. Comunicación póster.







La captura, almacenamiento y utilización de  $CO_2$  (CAUC) se considera una de las opciones más prometedoras para mitigar el cambio climático. En particular, la reducción electroquímica del  $CO_2$ , que tiene el propósito de transformar esta molécula en productos de alto valor añadido, está atrayendo una atención cada vez mayor. En este sentido, la fotoelectrocatálisis, el acoplamiento de luz en sistemas electroquímicos, emerge como una potencial alternativa que combina los beneficios de la electrocatálisis y la fotocatálisis. La presente Tesis Doctoral, desarrollada en el grupo de investigación DePRO del Departamento de Ingenierías Químicas y Biomolecular de la Universidad de Cantabria, tiene como objetivo establecer un proceso continuo de conversión de  $CO_2$  por vía fotoelectroquímica, con el propósito de reducir los sobrepotenciales necesarios para la conversión electroquímica de  $CO_2$  al hacer uso de la luz. Esta investigación representa una nueva línea de estudio dentro del grupo.

The capture, storage, and utilization of  $CO_2$  (CCUS) is considered one of the most promising strategies for mitigating climate change. In particular, the electrochemical reduction of  $CO_2$ , which has the goal of converting this molecule into highvalue-added products, is attracting increasing interest. In this context, photoelectrocatalysis, which couples light to electrochemical systems, emerges as a promising solution, combining the advantages of electrocatalysis and photocatalysis. The focus of this doctoral thesis, conducted in DePRO research group within the Department of Chemical and Biomolecular Engineering of the University of Cantabria, aims to establish a continuous  $CO_2$  photoelectrochemical conversion process. The objective is to reduce the overpotentials required for  $CO_2$  electrochemical conversion by harnessing the power of light. This represents the start of a new research line within the scope of the research group.

