# Wave-induced cross-shore distribution of different densities, shapes, and sizes of plastic debris in coastal environments: a laboratory experiment

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#### Abstract

Plastic debris is a significant threat to marine and coastal ecosystems. Previous research found that waves, wind, as well as density, size, and shape of microplastics, drive their transport and dispersion. In this paper, a set of laboratory experiments on the effect of waves and wave-induced currents on the input rate and cross-shore transport and dispersion of different types of plastic debris, including the macro and mesosizes, in addition to microplastics is presented. 15 plastic-debris types characterized by different sizes, shapes, and densities, including facemasks, were analyzed under regular and irregular wave conditions. The results show that input and transport rates of plastics depend on their terminal velocities and wave steepness. Plastics with higher settling velocities under less-steep wave conditions are likely to escape coastal entrapment and end up in the breaking zone. However, plastics with greater buoyancy rates under steeper waves show a predominant accumulation closer to the shoreline.

Keywords: Plastic debris, Pollution, Waves, Nearshore zone, Transport

Preprint submitted to Marine pollution bulletin

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# 1 1. Introduction

Marine litter is one of the main current threats to marine and coastal ecosystems (Derraik, 2002; Jambeck et al., 2015; Van Sebille et al., 2020). Plastic material 3 represents more than 80% of the total amount of marine litter that reaches the ocean (Derraik, 2002; Barnes et al., 2009; Villarrubia-Gómez et al., 2018), affect-5 ing habitats, ecosystems, species, human health, and economic activities such as 6 fishing, tourism, and navigation (Hardesty et al., 2017). A widely accepted clas-7 sification of plastic debris proposed by Crawford and Quinn (2017) is based on 8 their size, namely: macro (> 25 mm), meso (5-25 mm), and microplastics (< 5 9 mm). Macro and mesoplastics represent a significant risk for the entrapment of 10 marine fauna (Derraik, 2002), while microplastics can be ingested by marine mam-11 mals, fish, birds, and planktonic organisms (Galloway et al., 2017), can provide a 12 favorable substrate for the development of undesirable microorganisms (e.g., fish 13 pathogens) (Barnes et al., 2009), and also play an important role in the transport 14 of toxic chemicals (Wang et al., 2017; Gallo et al., 2018). The growing concern 15 about the problem of marine litter is evident in international environmental agendas, 16 such as the EU Marine Strategy Framework Directive (MSFD, Galgani et al., 2013). 17 18

Several studies investigated the behavior of drifting macro and mesoplastics
in large-scale ocean circulation (on the global and regional scales) using numerical approaches (e.g., Law et al. (2010); Lebreton et al. (2012); Maximenko et al.
(2012); Van Sebille et al. (2012) on the global scale, or Kako et al. (2011, 2014);
Zambianchi et al. (2014, 2017) on the regional scale). Other studies focused on
analyzing the dispersion of plastic debris in coastal and estuarine environments

<sup>25</sup> (local scale). Most of these local-scale studies were based on the analysis of field
<sup>26</sup> data (e.g. Mazarrasa et al., 2019; van Emmerik et al., 2022) and a few evaluated,
<sup>27</sup> through numerical approaches, the role that tidal asymmetry plays in the dispersion
<sup>28</sup> processes (Núñez et al., 2019, 2020, 2021).

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In the last decade, research addressed the study of microplastics and provided 30 some insights about plastic-debris dispersion in open oceans and coastal areas, 31 highlighting the relevant role played by waves (Law et al., 2010; Heo et al., 2013; 32 Isobe et al., 2014; Critchell and Lambrechts, 2016; Stocchino et al., 2019; Cun-33 ningham et al., 2022). Recent experimental studies assessed the role of wind and 34 regular waves in the inertial dynamics of different sizes, shapes, and densities of 35 plastic debris in small-scale wave flumes. Forsberg et al. (2020) and Kerpen et al. 36 (2020) found that the high-density microplastics behave like natural sand and show 37 dominant accumulation in the breaking zone, while light particles show variable 38 accumulation along the coastal profile depending on wind and wave characteristics, 39 and particle shape. Alsina et al. (2020) addressed the study of relatively larger 40 spherical particles (mesoplastics) in intermediate water depth. Authors found that 41 non-buoyant particles move near the bed with magnitudes of velocity lower than the 42 motion of particles floating at the free surface. De Leo et al. (2021) found that the 43 net settling velocity of microplastics depends not only on the particle features, but 44 also on the wave characteristics. The net settling velocity of spherical microplastics 45 in a fluid subjected to wave action is significantly higher than the settling velocity in 46 still water, and this effect is more evident for larger particles. The aforementioned 47 laboratory studies provided a preliminary understanding of the behavior of some 48 specific types of meso and microplastics in coastal areas under different conditions 49 of wind and regular waves. However, as the Authors themselves underline, further 50 studies are needed due to the complexity of the problem at hand. 51

This study aims to provide an aggregate description of the cross-shore dis-53 tribution patterns of very different types of plastic debris in the near-shore zone 54 using physical modeling. A new set of two-dimensional (2DV) vertical laboratory 55 experiments was performed to increase the emerging knowledge of the literature 56 on this topic, including the following points of novelty: I) the behavior of several 57 types of plastic debris of different densities, shapes, and sizes, including macro, 58 meso, and micro-sizes, are assessed; II) the cross-shore transport and dispersion 59 of both plastic debris present in the water and those located on the shoreline were 60 assessed. The analysis of this last condition provides information about the input 61 rates from land to sea, an important aspect considering that 80% of the plastic 62 debris in the ocean comes from land-based sources (Lee et al., 2013; Rech et al., 63 2014; Galgani et al., 2015). III) Finally, not only regular waves but also irregular 64 wave conditions were evaluated as transport drivers to give a novel insight into the 65 effect of randomness. The results complete and expand the database existing in the 66 literature on the cross-shore distribution of plastic debris due to wind and waves. 67 The experimental extended database is significant to validate predictive numerical 68 tools for the transport of plastic debris as has been done for oil spill models, since 69 experimental, field, and satellite data are useful for this purpose (Abascal et al., 70 2007, 2009b,a; Cardenas et al., 2015; Gurumoorthi et al., 2021; Naz et al., 2021). 71 72

#### 73 2. Materials and methods

#### 74 2.1. Experimental setup

The experiments were performed in the 2DV wave flume of the University of Cantabria (Spain), which is  $20.0 m \log_{10} 0.6 m$  wide, and 0.75 m high (Fig. 1a and

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f). The wave flume is equipped with a hydraulically driven piston-type paddle with 77 a stroke length of approximately 1.0 m. The standard generation software AWASYS 78 is used, which can generate both regular and irregular waves with an active wave 79 absorption system. The experimental setup is represented by a fixed bathymetry 80 made up of a horizontal bottom and a straight profile that resembles a dissipative 81 beach. The part with a constant depth of 0.45 m starts at the wave maker and is 8.6 82 m long. A straight beach with a fixed methacrylate bottom and a 1:20 slope extends 83 to the end of the flume (see Fig. 1f). 84

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Two regular wave (W1 and W2) and an irregular wave (W3) conditions were 86 reproduced at the wave maker position. The target signal for the regular wave 87 conditions is defined by a wave height (H) and a wave period (T). Thus, H 0.18 88 m and T 1.5 s are defined for W1, and H 0.1 m and T 2.0 s for W2. The 89 irregular sea state W3 is described by a significant spectral wave height  $(H_{m0})$  of 90 0.1 m, a peak wave period  $(T_p)$  of 1.5 s, a TMA (Texel-Marsen-Arsloe) spectrum 91 for shallow water with  $\gamma$  3.3 and 2<sup>nd</sup> order subharmonics and superharmonics 92 (Monismith, 2020), and approximately 1000 waves. The two regular sea states W1 93 and W2 were selected by varying the parameter  $HgT^2$  to explore different transport 94 conditions, while looking for wave breaking in spilling at the beginning and end of 95 the beach profile, respectively (Stocchino et al., 2019). An intermediate energetic 96 condition between W1 and W2 was selected for the irregular sea state W3 to give 97 a first insight into the effect of the randomness (Romano et al., 2015). It is worth 98 noting that no specific scale factor was selected ( $\lambda$  1) in this laboratory experiment 99 and, therefore, the behavior of plastic debris under small waves was assessed. 100

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The free surface elevation time series was recorded with 10 wave gauges (WG) distributed along the wave flume as shown in Fig. 1f and a sampling frequency of 50

Hz. Wave-induced currents were investigated at different depths, before and after 104 the break positions, using 8 Acoustic Doppler Velocimeters (ADVs) at a sampling 105 rate of 50 Hz (Fig. 1f). ADV $_{01-03}$  were located near the following depths: 39, 33, 106 and 20 cm, respectively, where the total depth is 45 cm; ADV<sub>04-06</sub> at 25, 21, and 107 14 cm (total depth of 30 cm in this location due to the beach profile); ADV<sub>07</sub> at 5 108 cm (total depth of 20 cm); ADV<sub>08</sub> at 5 cm (total depth of 12 cm), respectively. This 109 placement of ADVs responds to the need to describe each of the hydrodynamics 110 in an aggregated way and as completely as possible to analyze the behavior of a 111 wide range of plastic debris based on their buoyancy rates. To track the position 112 of the plastic debris, 8 High-Definition video cameras (4 MP Fixed Bullet Network 113 Cameras, HIKVISION) with a 4 mm lens, a resolution of 2560 x 1440 pixels, and a 114 frame rate of 20 fps were used. Four cameras were placed in the zenithal position to 115 cover the entire length of the wave flume. The remaining four cameras were placed 116 in a lateral position to qualitatively describe the 2DV behavior in the beach profile 117 (Fig. 1f). 118

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# 120 2.2. Plastic materials

The plastic materials under study were selected among the plastic materials 121 with a significant presence in the marine environment, namely: polyethylene (PE: 122 900–990 kgm<sup>3</sup>), polypropylene (PP: 850–950 kgm<sup>3</sup>), polystyrene (950–1100 kgm<sup>3</sup>), 123 and polyvinylchloride (PVC: 1100-1580 kgm<sup>3</sup>) (Zhang, 2017; Mazarrasa et al., 124 2019). Moreover, face masks (380–450 kgm<sup>3</sup>; Bandi, 2020) were included because 125 of their widespread use in recent years due to the global COVID-19 pandemic 126 (De-la Torre and Aragaw, 2021). Different shapes and sizes of these materials 127 were considered, since these characteristics together with the specific density de-128 fine their buoyancy and therefore their position in the water column, causing them 129

to be affected by different transport mechanisms (Filella, 2015; Chubarenko and
Stepanova, 2017; Zhang, 2017).

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A total of 15 types of plastic debris (hereinafter  $P_i$ , i = 1,...,15) were evaluated. Fig. 2 and Tab. 1 gather the main characteristics of these materials, namely: specific density ( $\rho_P$ ); shape, represented by the Corey shape factor (*csf*); and size, defined by the nominal diameter ( $D_n$ ).

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Test materials, listed from the highest to the lowest density, are: P1 to P6, 2D flexible PVC elements (1340  $kgm^3$ ); P8, cylindrical elastane polyester items (part of the face masks, 1020  $kgm^3$ ); P9 to P12, 2D low-density polyethylene elements (LDPE; 910  $kgm^3$ ); P14 and P15, polypropylene hollow cylindrical elements (PP; 900  $kgm^3$ ); P7 and P13, face masks and their fragments, respectively (380  $kgm^3$ ). The plastic densities are obtained from manufacturer information when available, reference and literature values (Zhang, 2017; Bandi, 2020).

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The Corey shape factor is a non-dimensional parameter which relates the dimensions of plastic debris ( $csf \ c \sqrt{a \cdot b}$ , where a, b, and c are the longest, intermediate, and shortest axes) providing information about its shape. csf is close to 0 for 2D shapes and 1 for perfect spheres (Corey, 1949). csf varies between 0.0004 for P10 and 0.77 for P15.

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The nominal diameter, defined according to the shape and dimensions of plastic debris (Francalanci et al., 2021), varies between 1.2 *mm* for P12 and 28.4 *mm* for P7, covering the entire range of macro, meso, and microplastics. Test-material sizes are obtained from measurements of at least 20 particles of each type. As far as measurements are concerned, the size of plastic debris was measured with a caliper of 0.05 mm resolution and graduated scales of 1 mm resolution. Material
thicknesses are always provided by the manufacturer.

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It is worth noting that the behaviors of these plastic materials were assessed in freshwater whose density ( $\rho_w$ ) is about 1000 kgm<sup>3</sup> at a temperature of the water of 162 14°C. Fig. 1b-d shows some examples of the test plastic materials.

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# 164 2.2.1. Terminal velocities

The terminal settling and rising velocities ( $\omega_{s,r}$ , where the subscripts s and r 165 refer to settling and rising, respectively) were inferred for individual items, repre-166 sentative of the different plastic-debris types, and 70 cm of still water in a section 167 of the wave flume close to the wave-generation area. Before these estimates, plastic 168 materials were kept submerged in fresh water to avoid possible changes in buoyancy 169 that could be caused by the presence of air bubbles. As initial conditions for non-170 buoyant items, 5 cm below the water surface was chosen to avoid surface tension 171 effects, while buoyant materials were deposited at the bottom of the wave flume. 172 Then, the uppest 10 cm and the lowest 10 cm of the water column were discarded 173 for non-buoyant and buoyant items, respectively, and the time it takes for plastic 174 debris to travel 50 cm of water was recorded. Discarding 10 cm is considered to 175 be enough to reach the terminal velocities considering that movements are mainly 176 in a direction perpendicular to the maximum projected area, a condition which is 177 likely to occur (Stringham et al., 1969; Komar and Reimers, 1978; Middleton and 178 Southard, 1978), and that the thickness of the tested materials is smaller than 2 mm, 179 i.e., 2 orders of magnitude smaller than the 10 cm above mentioned. 180

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In this study, a minimum of five repetitions was performed to estimate the

terminal velocity of each plastic material and the average value is provided. It is 183 worth noticing that providing exact measurements of terminal velocities is not an 184 objective of this study, rather terminal velocities are used for relative comparison 185 (i.e., considering the relative degree of buoyancy of different plastic debris) to inter-186 pret the physics of the plastic debris under hydrodynamics forcing (i.e., waves and 187 wave-induced currents). Therefore, the Francalanci's formulae (Francalanci et al., 188 2021), valid for a wide range of plastic shapes (3D, 2D, and 1D) and compositions 189 in quiescent fluids, were used as a cross-check of the reliability of these estimates, 190 although these formulae have not been tested for large and flexible particles. 191

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# 193 2.3. Experimental methodology

Each plastic material was tested individually in the wave flume in order to assess its cross-shore transport, distribution, and input rates. The experiments were performed in two steps. In the first step, wave conditions were run without the plastic debris, aiming at calibrating the sea states and measuring the hydrodynamic characteristics. Then, all the instruments were removed from the flume (keeping only the cameras) to avoid singularities that can affect the hydrodynamic behavior of plastic debris and the experiments with plastic debris were carried out.

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Regarding the initial conditions for the release of plastic debris, each type was introduced in the wave flume considering two hypotheses. On the one hand, plastic items were randomly released on the run-up zone ( $IC_{shore}$ ) to take into account plastic debris coming from the beach (Fig. 1c). This initial condition is used to analyze the input rate of plastic debris from land to sea. Two initial arrangements, or degrees of packing, were tested for elongated plastic materials P4, P5, and P6 under this initial condition. These are qualitatively defined as "high" (high degree of overlapping and braiding between items, from P4 to P6) and "low" (dispersed and extended items without connections between them, from P4E to P6E). On the other hand, plastic debris was distributed uniformly throughout the wave flume  $(IC_{distributed})$  to analyze the dispersion of plastic debris already present in the marine environment.

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Each of these experiments did not last more than 18 minutes, which is the 215 duration of the W3 sea state. The stationary state of plastic debris, i.e., when no 216 significant movement of plastic debris was observed, for W1 and W2 was reached 217 within the first 8 minutes and depended on the type of plastic debris analyzed. At 218 the end of each experiment, immediately after stopping the wave maker, the flume 219 was manually divided into five areas (offshore, shoaling, breaking, surf, and beach) 220 following the Forsberg et al. (2020)'s method. Thus, the plastic material trapped in 221 each area was recovered, air-dried in a ventilated environment, and weighed using a 222 digital balance (Denver instrument P-4002). For flume compartmentalization pur-223 poses, wooden and fabric frames were used as shown in Fig. 1e. The experiments 224 were repeated at the beginning of the experimental campaign to check the repeata-225 bility, which is satisfactory. Therefore, test repetitions were performed randomly 226 for the rest of the campaign (see supplementary material). For repeated tests, the 227 results refer to the mean values between the repetitions. 228

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# 230 2.4. Data Processing

The analysis of wave parameters is divided into two steps. In the first stage, a reflection analysis was carried out to provide the incident wave height for each sea state. The reflection analysis was performed by using 5 wave gauges,  $WG_{1-5}$ placed as shown in Fig. 1f, using the procedure described by Andersen et al. (2017) for regular waves and Eldrup and Andersen (2019) for irregular waves. In the second step, a standard zero-crossing analysis was performed for each free surface elevation time series to quantify the variation of wave parameters along the wave flume.

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Cross-shore concentrations (C) of plastic debris were obtained in two ways. 240 On the one hand, the stationary cross-shore distributions were obtained as a per-241 centage by weight from data collection and weighting. On the other hand, the 242 temporal evolution of cross-shore distributions, expressed as a percentage by area, 243 resulted from image processing. Thus, a frame for every four wave periods was 244 extracted from each video and an algorithm based on MATLAB code was applied 245 to identify the positions and measure areas of the different plastic debris at different 246 time instants. Some examples of the ability of algorithms to identify the P3 and P1 247 plastic debris are shown in Fig. 3. Measurements by weight are used to validate im-248 age processing results and quantify the potential overlapping between plastic debris. 249 250

# 251 2.4.1. Dimensionless variables

In this section the dimensionles variables used within the present study are listed. As far as hydrodynamics is concerned, the dimensionless wave parameter  $HgT^2$  is introduced. This parameter synthetically describes the wave conditions and can be considered a measure of wave steepness (LeMéhauté, 1969; De Leo et al., 2021), varying between 0.008 and 0.002 for W1 and W2, respectively, and being 0.005 ( $H_{m0}gT_p^2$ ) for W3.

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Regarding the plastic-debris characteristics, the dimensionless terminal velocities  $\omega_{s,r}^*$  are introduced following Dietrich (1982), as a function of dimensional terminal velocity  $\omega_{s,r}$ , gravitational acceleration g, relative density  $R |\rho_P - \rho_w|\rho_w$ , and kinematic viscosity of fluid  $\nu$ . Finally, two dimensionless variables used to take into account both plastic-debris and wave characteristics are defined, namely  $\Omega$  and  $\Omega^*$ :

$$\Omega \ \frac{H}{gT^2\omega_s^*},\tag{1}$$

265 and

$$\Omega^* \quad \frac{H\omega_r^*}{gT^2}.$$
(2)

These variables relate the steepness of the waves and the buoyancy of plastic materials and, therefore, are useful to describe the input and transport rates of non-buoyant and buoyant plastic debris under different wave conditions.

# 269 3. Results

#### 270 3.1. Hydrodynamic conditions

The results of the reflection analysis provided the following incident wave conditions  $H_i^{W1}$  0.184 *m*,  $H_i^{W2}$  0.097 *m*, and  $H_{m0,i}^{W3}$  0.097 *m*. From zero-crossing analysis, the average wave heights (*H*) and the average wave-induced currents ( $u_{av}$ ) of 50 waves were obtained for the W1 and W2 regular conditions. The W3 irregular condition was characterized by its maximum wave height ( $H_{max}$ ),  $H_{m0}$ , and  $u_{av}$  of the set of 1000 waves.

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According to the above, the average  $H_{reg}^{W1}$  of 18 *cm* registered by WG<sub>1</sub> for the regular wave condition W1 cross-shore propagates and reaches 19.7 *cm* at the WG<sub>8</sub> location (x = 11.7 *m*), where the wave breaks (Fig. 4a). ADVs show average wave-induced currents in an offshore direction (Fig. 4b). At the beginning of the shoaling zone, currents of 2.1 *cms*, 1.8 *cms*, and 0.9 *cms* were recorded by ADV<sub>01-0.3</sub>, respectively. Before wave breaking, these values range between 3.5-5.3 *cms* near the bottom (ADV<sub>04-05</sub>) and exceed 9 *cms* at the ADV<sub>06</sub>. ADV<sub>07</sub> and ADV<sub>08</sub>, both located near the surface and after wave breaking, recorded average currents of 14.5 *cms* and 12.77 *cms*, respectively.

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The wave height records for W2 show that  $H_{reg}^{W2}$  increases from 10 cm at the 288 WG<sub>1</sub> location, close to the generation area, to 14.7 cm at the WG<sub>9</sub> location (x =289 13.7 m), before wave breaking (Fig. 4a). It was observed that the breaking point 290 occurred at x = 14.3 m. The average currents recorded in the shoaling zone range 291 between 0.4-1.0 cms (ADV<sub>01-03</sub>) and between 0.7-4 cms (ADV<sub>04-06</sub>), all in an 292 offshore direction. Average currents near the surface of 3.88 cms and 13.9 cms 293 were recorded by the ADV<sub>07</sub> and ADV<sub>08</sub> locations, respectively, which correspond 294 before and after wave breaking (Fig. 4b). 295

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For the W3 irregular wave condition, the breaking of the largest waves  $(H_{max}^{W3})$ 297 17.2 cm) takes place at the WG<sub>8</sub> location (x = 11.7 m).  $H_{m0}^{W3}$  is close to 10 cm from 298 the WG<sub>1</sub> location to the WG<sub>9</sub> location (x = 13.7 m), where  $H_{m0}^{W3}$  breaks (Fig. 4a). 299 The average recorded wave-induced currents were all offshore below the still sea 300 water level (SWL) (Fig. 4b). The average of the currents recorded by  $ADV_{01-03}$ 301 fluctuates between 0.3-1.1 cms, while the average of the records of  $ADV_{04-06}$  ranges 302 between 0.6-2 cms. Average currents of 2.6 cms and 5 cms were measured in the 303 ADV<sub>07</sub> and ADV<sub>08</sub> locations, respectively. 304

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The reflection coefficient provided by AWASYS ranged between 0.11 (W1, W2) and 0.21 (W3), which means that the reflection effect is minimal and corresponds to that of a dissipative beach profile.

# 309 3.2. Terminal velocities

The terminal settling and rising velocities were estimated experimentally as the 310 average value of at least five repetitions. Fig. 5a shows the statistics in terms of 311 box plots of these estimates where median (red lines), maximum and minimum 312 (black lines), first and third quartiles (blue boxes), and outlier (red crosses) values 313 are reported (see supplementary material). It is worth noticing that generally the 314 dispersion of these estimates is slight, while larger values are obtained for P13 315 and P14. Moreover, one outlier was found for P5 and P15, respectively. Further-316 more, it is worth to highlight that some secondary movements have been noticed 317 when estimating terminal velocities for large and flexible plastic materials. In order 318 to overcome potential uncertainties in the terminal-velocity estimates, due to the 319 number of repetitions, they were compared with those obtained from the empirical 320 equation proposed by Francalanci et al. (2021)  $(\omega_{s,r}^T)$  as a cross-check of the relia-321 bility, although these formulae have not been tested for large and flexible particles. 322 Fig. 5b represents the scatter-plot  $\omega_{s,r}$  -  $\omega_{s,r}^T$ , where both data sets show a good 323 agreement with  $R^2$  of 0.98 (Spearman, 1961). 324

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Fig. 5c shows the terminal settling velocities  $(\omega_s)$  versus nominal diameter  $(D_n)$ 326 for plastic debris with a density greater than that of the water. For 2D plastic debris 327 from P1 to P6 (PVC), a larger  $D_n$  results in a larger projected area in the direction of 328 motion and in a smaller  $\omega_s$ . For similar values of  $D_n$ , P8 (elastane polyester) shows 329 a slightly higher  $\omega_s$  than the PVC fragments. This could be because P8, despite 330 having a 20% less density than PVC items, shows a smaller projected area in the 331 direction of motion for the same  $D_n$ . Something similar was found for buoyant 332 debris (Fig. 5d). Terminal rising velocities ( $\omega_r$ ) for the 2D LDPE items (from P9 to 333 P12) show lower values the greater the projected surface in the direction of motion. 334 The PP fragments (P14-P15) show higher  $\omega_r$ , likely due to a smaller projected area 335

for the same  $D_n$  and a lower density. Finally, the highest  $\omega_r$  is obtained for the face masks because their density is 60% lower than that of the rest of the buoyant materials. To sum up, the behavior observed for the 2D or cylindrical elements tested here differs from the behavior of spherical elements. The larger the size, i.e., the larger  $D_n$ , the lower terminal velocities characterize these plastic debris, contrary to what happens with spherical elements (e.g., Dietrich, 1982; Francalanci et al., 2021; De Leo et al., 2021).

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#### 344 3.3. Plastic-debris transport and distribution

In order to check the reliability of the image analysis results and to quantify the potential overlapping between plastic debris, a validation was performed by using weight measurements. Fig. 6 shows the comparisons between the stationary distributions, for  $IC_{shore}$  (left panel) and  $IC_{distributed}$  (right panel), obtained by image analysis (x-axis) and weighting (y-axis). Spearman's  $R^2$  of 0.92 and 0.94, respectively, reports on the high degree of accuracy of the results provided by image processing.

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Fig. 7 shows the cross-shore stationary distributions, expressed as a weight 353 percentage, of the 15 types of plastic debris studied under the 3 wave conditions 354 and the two initial conditions for plastic-debris release (IC<sub>shore</sub> in the upper panels; 355 *IC*<sub>distributed</sub> in the lower panels). The order chosen for the plastic debris in this and 356 the following figures follows three levels. From top to bottom, they are first ordered 357 from the highest to the lowest density; the second level of order is from more to 358 less elongated plastic items; and the third level is from the highest to the lowest  $D_n$ . 359 The results with IC<sub>shore</sub> show predominant accumulations of sinking and buoyant 360 plastic debris in the breaking and near-shore zones, respectively. The results with 361

 $IC_{distributed}$  indicate that the waves are not always able of transporting the plastic debris from the offshore zone. It is observed that the greater the steepness of the waves, the greater the transport to the breaking and near-shore zones for sinking and buoyant items, respectively.

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In general, the distribution patterns observed for the plastic materials are con-367 sistent with the undertow profiles observed in the laboratory. The mass transport of 368 the undertow profile that characterizes the surf zone generates an onshore transport 369 for the buoyant materials that accumulate near the beach. On the contrary, those 370 heavy plastics that the waves managed to put into suspension were transported by 371 the undertow current until the beginning of the breaking zone, where the energy of 372 the waves is small enough to allow the plastic to settle. This zone coincides with 373 the area where the typical barrier of erosive or offshore processes arises. 374

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Fig. 8 shows the temporal evolution of concentrations when plastic debris 376 was released into the wave flume from the run-up zone. It was found that plastic 377 materials with a density greater than that of the water (from P1 to P6 and P8) that 378 manage to escape entrapment on the beach tend to be transported to the breaking 379 zone. Inertial effects play an important role in this behavior, i.e., whether plas-380 tic debris manages to escape this coastal entrapment depends not only on wave 381 conditions but also on the features of plastic debris that define its position in the 382 water column. A higher escape rate was found for plastic materials with higher 383 settling velocities and in less-steep sea states, indicating that escapement occurs 384 primarily close to the bottom. Nevertheless, the input rates of elongated plastic 385 items (from P4 to P6) mainly depend on the initial arrangement between the items. 386 If the degree of packing is high on the shore, elongated items link together to make 387 up a new elemental unit that is transported by the waves to the run-up limit, where 388

they remain trapped. However, if the degree of packing is low, they behave like 389 the less elongated elements and are transported to the breaking zone where they 390 accumulate. The buoyant debris (P7 and from P9 to P15) is mostly retained near 39 the shore, distributed between the surf and beach areas. However, it was observed 392 that those materials with lower buoyancy (from P9 to P11) under wave conditions 393 with lower  $HgT^2$  (W2 and W3) could escape to the breaking zone and even to 394 the shoaling zone (W2). Regarding this relationship between the input rates of 395 plastic debris from the beach to the marine environment and the wave conditions, 396 in general, a greater escape rate of plastic debris is observed for W2-the wave 397 condition with the lowest  $HgT^2$ —since long waves, cause less breaking, and more 398 intense offshore current near the shoreline, as can be seen in Fig. 4. 399

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Fig. 9 shows the relationship between the dimensionless parameters  $\Omega$  and  $\Omega^*$ 401 and the final escape rates (E) for plastic debris with a density greater and smaller 402 than that of the water (left and right panels, respectively). The results indicate that 403 the lower the buoyancy of plastic debris, i.e., the higher  $\omega_s^*$  for elements with a 404 density greater than that of the water and the lower  $\omega_r^*$  for elements with a density 405 lower than that of the water, and the lower the wave parameter  $HgT^2$ , the higher 406 the percentage of plastic debris that escapes coastal entrapment. It was found that 407 values of  $\Omega$  and  $\Omega^*$  less than 0.0075 and 0.0025, respectively, favor an escape rate 408 of plastic debris from the beach greater than 50%. 409

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These findings provide a first insight related to the beaching effect. Tab. 2 collects the  $\omega_{s,r}^*$  and final percentages trapped on the beach ( $\beta$ ) for the irregular wave condition W3 and each type of plastic debris under study. The experimental results point out this relationship between  $\omega_{s,r}^*$ , the degree of packing for the elongated items, and beaching. Except for elongated debris types with a high degree of

packing, if  $\omega_s^*$  is lower than unity (such as P3), the percentage that remains trapped 416 on the beach is equal to or greater than 50%; while if  $\omega_s^*$  is greater than 1 (all other 417 analyzed plastic types with densities greater than that of the water), the trapped 418 percentage can be neglected (<10%). For buoyant items,  $\omega_r^*$  greater than 1 (P7 and 419 from P13 to P15) and lower than 1 (from P9 to P12) characterize trapping above 420 and below 50%, respectively. This behavior may be related to that the more buoyant 421 the materials are, the more they are affected by mass transport near the surface due 422 to breaking. 423

424

Fig. 10 shows the temporal evolution of concentrations of plastic materials 425 when they are initially released along the wave flume following a uniform distribu-426 tion. In general, plastic materials with densities greater than that of the water were 427 transported and accumulated in the breaking zone, while elements of lower density 428 were transported and accumulated near the beach. Fig. 11 shows the relationship 429 between the final concentrations in the breaking and beach areas for plastic debris 430 with a density greater and smaller than that of the water and the parameters  $\Omega$  and 431  $\Omega^*$ , respectively. Higher transport rates were found for the materials that acquired 432 positions in the water column closer to the surface (lower  $\omega_s$  and higher  $\omega_r$ ) for 433 wave conditions with higher  $HgT^2$ .  $\Omega$  and  $\Omega^*$  greater than 0.0025 and 0.0075, 434 respectively, favor transports and accumulations greater than 50% in the breaking 435 and beach areas for non-buoyant and buoyant plastic elements, respectively. 436

437

# 438 **4. Discussion**

#### 439 4.1. Plastic-debris characteristics

The plastic debris under study involves fragments of polypropylene, polyethy-440 lene, polystyrene, and PVC of different sizes and shapes, as well as face masks. 441 This selection is intended to cover a wide range of densities, sizes, and shapes of 442 plastic debris, since these are characteristics that play an important role in plastic-443 debris buoyancy, i.e., in defining its position in the water column, and therefore 444 make it be affected by different transport mechanisms. The results observed in this 445 experiment agree with previous findings and show that lightweight macroplastics 446 and mesoplastics (e.g., face masks) are transported on the surface by waves, heavier 447 plastics (e.g., PVC) are predominantly deposited on the bottom near the breaking 448 zone, while microplastics are mainly transported as suspended particles and its 449 onshore transport increases with buoyancy (Filella, 2015; Zhang, 2017). The shape 450 is also significative, for instance, heavier 2D shapes usually show greater buoyancy 451 than spheres with the same density and volume (Chubarenko and Stepanova, 2017). 452 453

#### 454 4.2. Initial release of plastic debris

The results derived from the initial condition of plastic materials in the run-up 455 zone provide information on their input rate into the marine environment, as well 456 as on their transport and distribution from the coast. This is an important and 457 novel topic considering that sources of plastic debris were primarily land-based 458 and attributed to coastal recreational activities (Lee et al., 2013; Rech et al., 2014; 459 Galgani et al., 2015). It was found that plastic materials with greater sinking ability 460 were more likely to enter the water environment from the beach, especially for 461 wave conditions with low  $HgT^2$ . Note that small values of  $HgT^2$  may refer to two 462

different transport conditions: low-energy wave conditions (e.g., towards the end of 463 a storm when debris tend to be beached, see Chubarenko and Stepanova, 2017) and 464 long-wave conditions (i.e., large wave period, where strong and prolonged in time 465 currents occur). Moreover, it was observed that if there was a high concentration of 466 elongated plastic items on the coast, they remain trapped on the beach until other 467 processes, such as wind or degradation manage to undo that new unit they formed 468 (Isobe et al., 2014; Critchell and Lambrechts, 2016). It should be noted that only two 469 qualitative degree of packing were investigated in this study, namely high and low. 470 Further studies exploring different configurations for different types of plastic de-471 bris are needed to extend the applicability of the results to natural conditions, where 472 plastic items interact with each other and/or with sediment grains, sea weeds or nets. 473 474

Furthermore, some preliminary beaching aspects for the plastic debris under 475 study were derived from this initial arrangement on the run-up zone. It was ob-476 served, the more buoyant the materials, the more likely they are to remain stranded 477 on shoreline affected by mass transport near the surface due to breaking. Nev-478 ertheless, since the beach profile in the laboratory was made on a methacrylate 479 background, which naturally does not constitute any substrate, further ad hoc field 480 and laboratory studies are needed to gain insight on the entrapment processes be-481 tween different types of shorelines (e.g., with or without vegetation, sand, mud, 482 etc.) and different types of plastic debris (Núñez et al., 2019; Van Sebille et al., 483 2020). Moreover, it should be highlighted that real bathymetry, bed roughness 484 and presence of bottom forms (and their changes in space and time) are expected 485 to modify the hydrodynamics and therefore the transport and dispersion of plastic 486 487 debris.

488

The results with the initial conditions of plastic debris uniformly distributed

along the wave flume indicated that, contrary to what happens with the input entry from the beach, a greater transport originates for the more buoyant materials and under the wave conditions with large  $HgT^2$ . This aspect became especially relevant in the offshore zone, which could be due to the Stokes drift (Isobe et al., 2014; Zhang, 2017; Stocchino et al., 2019; Alsina et al., 2020). Regarding the sinking materials, they are transported by the residual current at the bottom to the breaking point, which is in agreement with the findings of Forsberg et al. (2020).

# 497

#### 498 4.3. Regular/Irregular waves

Another relevant and novel aspect included in this research is the influence of 499 irregular waves. It was found that the irregular wave condition W3, whose target 500 signal is characterized by a  $H_{max}^{W3}$  of 18 cm similar to  $H_{reg}^{W1}$  and a  $H_{m0}^{W3}$  of 10 cm equal 501 to  $H_{reg}^{W2}$ , allowed plastic debris to escape from the beach area at times corresponding 502 to the lowest wave heights. Thus, the percentage of each plastic debris that managed 503 to escape from the beach at the end of this irregular sea state showed intermediate 504 values between the percentages associated with the regular conditions W1, which 505 showed the greatest entrapment rates, and W2, which showed the lowest entrap-506 ment rates. An average behavior was also found in transport under W3 compared 507 to W1 and W2. It can be deduced from these experimental results that the final 508 cross-shore distribution of plastic debris is similar under regular and irregular sea 509 states; however, cross-shore transport processes are affected by the different time 510 scales associated with regular and irregular wave conditions, as is the case with 511 beach profiles (Dean, 1985; Kerpen et al., 2020). 512

513

# 514 4.4. Dimensional scaling

When conducting laboratory experiments, challenges related to dimensional 515 scaling are almost inevitable (Forsberg et al., 2020). As is well known, the prob-516 lems of evaluating plastic debris transport in laboratory-scale tests are like those 517 arising when evaluating sediment transport, due to the difficulty of scaling viscous 518 and inertial forces. In this study, no specific scale factor was selected since basic 519 hydrodynamic phenomena and no specific case/configuration are reproduced, i.e., 520 the behavior of plastic debris under small waves was assessed. Further studies on 521 a larger scale are needed to be able to extend the conclusions derived from these 522 experiments. 523

524

# 525 4.5. Terminal velocity

The terminal velocity estimate in this study show some uncertainties and limi-526 tations. First, the number of repetitions to quantify the terminal velocity should be 527 high enough to reduce the related uncertainties (Kaiser et al., 2017), while in this 528 study only five (six in some cases) repetitions have been performed. Second, the 529 Francalanci's formulae (Francalanci et al., 2021), valid for a wide range of plastic 530 shapes (3D, 2D, and 1D) and compositions in quiescent fluids, have been used as a 531 cross-check of the reliability of these estimates, although these formulae have not 532 been tested for large and flexible particles. Moreover, some simplifications have 533 been assumed: I) terminal velocity estimates in calm water is physically interpreted 534 by considering simple physical parameters of plastic materials (i.e., density and pro-535 jected areas), without analyzing in-depth other relevant processes (see Chubarenko 536 et al., 2016). This approach is intended to be appropriate for an aggregate inter-537 pretation of the input/transport rates of plastic debris; II) terminal velocities are 538 estimated in calm water. This is obviously a simplification, because turbulence 539

processes induced by waves may affect the terminal velocity, as demonstrated by De Leo et al. (2021). To summarize, terminal velocity is a key factor controlling the vertical distribution of plastic debris and, therefore, its wave-induced transport (Van Sebille et al., 2020), nevertheless, the aggregate description of the cross-shore distribution/accumulation pattern of plastic debris (i.e., the objective of the paper) is not expected to be affected by these uncertainties/simplifications in the terminal velocity.

547

## 548 4.6. Salinity and biofouling

The results observed during laboratory tests would be significantly altered by 549 the presence of salinity in the water, which is naturally present in the marine en-550 vironment. Due to technical reasons, the experiments were performed using fresh 551 water. Therefore, it is expected that the behavior of plastic materials will be modi-552 fied, with special significance for those whose density is between that of fresh water 553 and that of seawater (Forsberg et al., 2020). For instance, the transport of the P8 554 test material, with a density of  $1020 kgm^3$ , would change from sinking to floating. 555 Nevertheless, the behavior of any type of plastic debris could be extrapolate in the 556 natural environment by estimating its settling/buoyancy rate in saline fluids accord-557 ing to the literature. 558

559

Another significant mechanism that may also affect the relative densities of plastic debris and consequently their transport and dispersion patterns is biofouling, which could even sink the least dense plastic materials (Andrady, 2011; Chubarenko et al., 2016; Fazey and Ryan, 2016; Kaiser et al., 2017; Van Sebille et al., 2020). Further research is needed to gain insight into the changes that biofouling growth can produce in the specific density of plastic materials and, consequently, be able to infer their transport and dispersion patterns.

567

#### 568 4.7. On the validation of predictive tools

A new and significant database is generated that expands the laboratory findings collected by the state-of-the-art on the transport and dispersion of plastic debris in the near-shore zone under the wave and wind action (Forsberg et al., 2020; Kerpen et al., 2020; De Leo et al., 2021). This extended database is relevant for the Scientific Community to validate and improve numerical modeling of plastic debris transport (e.g., Núñez et al., 2019; Jalón-Rojas et al., 2019).

575

The predictive tool would consist of coupling a hydrodynamic model, e.g., 576 IHFOAM (Lara et al., 2008, 2011; Higuera et al., 2013a,b) or SWASH (Zijlema 577 et al., 2011), and a Lagrangian tracking model, e.g., Ocean Parcels (van Sebille 578 et al., 2018), TESEO (Abascal et al., 2007), MOHID (Braunschweig et al., 2003; 579 de Pablo et al., 2020), which would be fed by the hydrodynamic one. The extended 580 experimental database is made of hydrodynamic and trajectory data and, therefore, 581 can be used to validate both the hydrodynamic and the Lagrangian transport models. 582 The validated numerical tool will allow to address some of the relevant issues that 583 have not been included to date. Thus, numerical studies to explore the influence of 584 new wind and wave conditions that are impossible to analyze with the limitations 585 of the laboratory, new beach profiles, or new types of plastic debris with or without 586 biofouling growth immersed in fluids with different salinities could be included. 587 It would even be possible to make the leap from cross-shore (2DV) to 3D physics 588 of plastic debris and, for instance, address the effect of longshore currents on the 589 nearshore transport and dispersion. 590

# 591 5. Conclusions

This research studies novel aspects of the cross-shore transport of plastic debris 592 in the breaking zone. The behavior of micro, meso, and macroplastic with densities 593 greater and smaller than that of the water with two-dimensional, such as face masks, 594 and cylindrical shapes under regular and irregular wave conditions was analyzed. 595 In addition to assessing the transport and dispersion of plastic debris present in the 596 marine environment, the study of the input rate of plastic debris from land to sea 597 was included as a new issue. With this aim, two parameters  $\Omega$  and  $\Omega^*$ , which relate 598 plastic-debris features and wave characteristics, were defined to describe the input 599 and transport rates of plastic debris with a density greater and smaller than that 600 of the water, respectively. The results of this research extend previous findings on 601 microplastic transport in the nearshore zone to new shapes, densities, and sizes of 602 plastic debris. 603

604

As for the input rates of plastic debris into coastal waters, higher rates were 605 found for the lowest wave parameters  $HgT^2$  (i.e., strong current conditions due to 606 large wave periods) and plastic items that show a greater tendency to sink. The 607 sinking ability of plastic debris depends on its terminal velocities. Terminal veloc-608 ities are greater the greater the difference between the densities of plastic debris 609 and the density of water and the smaller the area of plastic debris projected in the 610 direction of motion. That is, the plastic debris considered in this study having a 611 density greater than that of the water show a greater ability to escape the greater 612 their density, the smaller their projected area and, therefore, the greater their settling 613 rate; while buoyant elements with the greatest probability of escaping are those that 614 show a greater projected surface. For the elongated plastic items, the input rate was 615 found to depend on the initial arrangement between them. If the initial arrangement 616

on the beach is with a low concentration of elongated items, the behavior does not change and the input rate depends on the sinking ability and the steepness of waves. On the contrary, items join if the degree of packing on land is high and are transported by waves to the limit of run-up, where they remain trapped. It was found that values of  $\Omega$  and  $\Omega^*$  less than 0.0075 and 0.0025, respectively, favor an escape rate of plastic debris from the beach greater than 50%.

623

Regarding the transport and dispersion of plastic debris that is already present in 624 the marine environment, higher transport rates were observed for the most buoyant 625 elements and higher wave parameters  $HgT^2$ , generating a predominant accumu-626 lation in the breaking area for materials with a density greater than that of the 627 water and closer to the shore for the more buoyant plastic debris.  $\Omega$  and  $\Omega^*$  greater 628 than 0.0025 and 0.0075, respectively, favor transport and accumulation greater than 629 50% in the breaking and beach areas for non-buoyant and buoyant plastic materials, 630 respectively. 631

632

To sum up, wave characteristics together with densities, shapes, and sizes of plastic debris determine its terminal velocities, its position in the water column, and, therefore, its entry, transport, and distribution in the marine environment.

#### 636 6. Acknowledgments

P.N. is supported by a Margarita Salas post-doctoral fellowship funded by European Union-NextGenerationEU, Ministry of Universities and Recovery Transformation and Resilience Plan, through a call from the University of Cantabria. The financial support from the Government of Cantabria through the FÉNIX Program (ID 2020.03.03.322B.742.09) is warmly acknowledged. We acknowledge M.S. and the IHLab-Hydro team for all the technical support.

# 643 Appendix A Supplementary material

<sup>644</sup> Supplementary data to this article are provided.

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Figure 1: Sketch of the experiments: a) an overview of the wave flume, b-c-d) some of the plastic materials under study, e) wooden and fabric frames to delimit the offshore, shoaling, breaking, surf, and beach areas of the flume, and f) the experimental set-up with wave-gauges (WG), Acoustic Doppler Velocimeters (ADVs), and zenithal/lateral cameras (C).



Figure 2: Plastic debris types  $(P_i)$  under study.

	$\rho_P kgm^3$	a m	b m	c m	csf –	$D_n m$
<i>P</i> 1	1340	0.0049	0.0049	0.00015	0.0306	0.0015
P2	1340	0.0136	0.0136	0.00015	0.0110	0.0029
<i>P</i> 3	1340	0.0750	0.0500	0.00015	0.0024	0.0079
<i>P</i> 4	1340	0.1080	0.0049	0.00015	0.0065	0.0042
<i>P</i> 5	1340	0.1625	0.0049	0.00015	0.0053	0.0048
<i>P</i> 6	1340	0.3250	0.0049	0.00015	0.0038	0.0060
<i>P</i> 8	1020	0.1800	0.0020	0.00200	0.1054	0.0029
<i>P</i> 9	910	0.2100	0.1485	0.00012	0.0007	0.0148
P10	910	0.2100	0.1485	0.00007	0.0004	0.0123
<i>P</i> 11	910	0.0800	0.0550	0.00007	0.0011	0.0065
<i>P</i> 12	910	0.0049	0.0049	0.00007	0.0143	0.0012
<i>P</i> 14	900	0.1080	0.0030	0.00020	0.1667	0.0041
P15	900	0.0050	0.0030	0.00020	0.7746	0.0015
<b>P</b> 7	380	0.1750	0.0950	0.00150	0.0116	0.0284
P13	380	0.0950	0.0875	0.00150	0.0165	0.0226

Table 1: Main features of the plastic debris under study: density  $(\rho_P)$ , longest axis (a), intermediate axis (b), thickness (c), Corey shape factor (csf), and equivalent nominal diameter  $(D_n)$ .



Figure 3: Some results of plastic-debris identification algorithms.



Figure 4: Hydrodynamic conditions W1, W2, and W3 in the shoaling, breaking, and surf zones: a) cross-shore evolution of the wave height (average *H* for W1-W2;  $H_{m0}$  for W3) and b) average wave-induced currents ( $u_{av}$ ).



Figure 5: Terminal-velocity estimates: a) box plots (at least five repetitions); b) scatter plot between terminal velocities estimated experimentally  $(\omega_{s,r})$  and theoretically  $(\omega_{s,r}^T)$ ; c)  $\omega_s$  versus  $D_n$ ; d)  $\omega_r$  versus  $D_n$ .



Figure 6: Scatter plots of concentrations of plastic debris collected and weighed in the laboratory (% of weights) and from image processing (% of areas) for initial plastic distributions in the run-up zone ( $IC_{shore}$ , left panel) and initial distributions along the wave flume ( $IC_{dsitributed}$ , right panel).



Figure 7: Cross-shore distribution of plastic debris under W1, W2, and W3 wave conditions for  $IC_{shore}$  (upper panels) and  $dIC_{distributed}$  (lower panels). Note that the extension of each area (i.e., width of the columns) varies as a function of the position of the wave breaking.



Figure 8: Temporal evolution of plastic-debris concentrations (C) for  $IC_{shore}$ .



Figure 9: Relationship between the escape rates (*E*) of plastic debris and the dimensionless parameters  $\Omega$  and  $\Omega^*$  for plastic debris with a density greater (left panel) and less (right panel) than that of the water, respectively.

	$\omega_s^*$ –	β %		$\omega_r^*$ –	β‰
<b>P6</b> <sup>1</sup>	_	100.0	<i>P</i> 9	0.28	40.6
<i>P</i> 5 <sup>1</sup>	_	100.0	<i>P</i> 10	0.22	21.0
<b>P</b> 4 <sup>1</sup>	_	96.4	<i>P</i> 11	0.48	18.0
<b>P6</b> E	1.24	7.0	<i>P</i> 12	0.55	27.0
P5E	1.13	3.4	<i>P</i> 14	2.11	74.7
P4E	1.42	6.4	<i>P</i> 15	2.15	95.0
<i>P</i> 3	0.90	50.0	<i>P</i> 7	1.90	72.0
P2	1.52	6.6	<i>P</i> 13	2.61	67.0
<i>P</i> 1	1.94	9.8			
<b>P</b> 8	3.68	9.8			

Table 2: *Beaching* ( $\beta$ ) (%) from laboratory experiments under the irregular wave condition W3. <sup>1</sup>Elongated plastic debris with a high degree of packing on land constitutes a new elemental unit whose  $\omega_s$  was not inferred.



Figure 10: Temporal evolution of plastic-debris concentrations (C) for  $IC_{distributed}$ .



Figure 11: Relationship between the concentrations (C) of plastic debris on the breaking (left panel) and beach zones (right panel) and the dimensionless parameters  $\Omega$  and  $\Omega^*$  for plastic debris with a density greater (left panel) and less (right panel) than that of the water, respectively.