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# On the use of mini-CT specimens to define the Master Curve of unirradiated Reactor Pressure Vessel steels with relatively high reference temperatures

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#### Abstract

The safe operation of nuclear plants requires an accurate characterization of the fracture toughness of reactor pressure vessel materials, which can be reduced over time due to irradiation or thermal aging processes. This necessity is a challenge itself since the availability of specimens inside the surveillance capsules of the vessels is generally scarce. Therefore, innovative techniques have to be applied, in order to increase the reliability of fracture toughness measurements and at the same time to reduce the volume of material needed for the tests. In this paper, the Master Curve (MC) approach has been employed, combined with the use of mini-CT specimens made from two different reactor pressure vessel (RPV) steels (ANP-5 and A533B LUS). The MC methodology allows the fracture toughness of the material to be evaluated by using a single parameter: the reference temperature,  $T_0$ . This parameter has been previously estimated using mini-CT specimens in a number of unirradiated steels, most of them with relatively low  $T_0$  values (-120°C to -60°C), providing satisfactory results. This paper adds further validation of the use of mini-CT specimens to define the  $T_0$  of unirradiated RPV steels with relatively high values of this parameter. Additionally, the analysis of the fracture surfaces confirms the existence of cleavage fracture following the weakest link theory (i.e., one single initiation point), as required by the Master Curve approach.

Keywords: Mini-CT; Ductile-to-Brittle Transition Zone; Reference Temperature; Master Curve; RPV steels; ANP-5; A533B LUS

## 1. Introduction

One of the main challenges when ensuring the safe operation and the life extension of nuclear power plants (NPPs) relies on the safe operation of the proper reactor pressure vessel (RPV). In this sense, a specific issue to be addressed is the embrittlement of the RPV steel due to its exposure to neutron irradiation or thermal aging processes. Traditionally, the quantification of the embrittlement level has been evaluated through Charpy impact tests of specimens introduced in certain positions of the RPV before the beginning of the plant operation, which are periodically extracted and tested. However, after the life extension of many NPPs beyond the initial lifespan, they face the problem of there being a lack of specimens to be used in the surveillance programs for long-term operation purposes. Besides, local material heterogeneities and small defects have been identified in large forgings such as those used in RPVs, which cannot be accurately addressed when using large testing specimens.

For these reasons, it is necessary to develop a methodology capable of optimizing the remaining material, which allows a direct evaluation of the fracture toughness and takes into account possible inhomogeneities of the material when required.

One of the most extended methodologies to quantify the irradiation effects in ferritic steels is the Master Curve (MC). This approach is able to provide, through a single parameter called reference temperature  $(T_0)$ , a complete fracture characterization within the ductile to brittle transition zone (DBTZ) of ferritic steels. Usually,  $T_0$  has been determined by using either standard fracture specimens, SENB or C(T), or pre-cracked Charpy specimens. However, the MC approach allows, in principle, the use of specimens with small thicknesses. This allows small scale testing techniques with miniaturized specimens to be implemented, such as miniatured compact tension specimens, also called 0.16T C(T) or mini-CT specimens, among others. This specimen that has been previously tested in a surveillance program. Such Charpy specimens can be subsequently reinserted into the surveillance capsule for further irradiation, and the material can then be retested at different operational stages by machining the corresponding mini-CT specimens.

Recently, the applicability of mini-CT specimens in combination with the MC approach has been intensively studied on unirradiated bases [1–8] and weld [9,10] metals, as well as on irradiated materials [11–16]. However, the obtention and testing particularities of mini-CT specimens still cause concerns that must be addressed before being accepted by the different regulatory bodies and

organisms. To expand the knowledge on machining, geometrical aspects, testing technology, etc., and to validate the results obtained by using mini-CT specimens in combination with the MC approach, different initiatives are being carried out, among which the European project FRACTESUS [17,18] stands out. This project provides the framework of the present research.

With all this, this paper aims to provide further validation of using mini-CT specimens to define the material Master Curve and to compare the corresponding results with those obtained using conventional fracture mechanics specimens. In this case, the analysis is focused on two unirradiated RPV steels with relatively high values of reference temperature ( $T_0$ ). Thus, Section 2 presents the materials, the experimental program, and the methodology used for the application of the MC approach to mini-CT specimens; Section 3 gathers the experimental results and provides the evaluation of  $T_0$ , together with an analysis of the micromechanisms and the corresponding discussion. Finally, Section 4 presents the main conclusions.

## 2. Materials and Methods

## 2.1 Materials

Two RPV steels have been used for the fracture toughness tests in mini-CT specimens: one is the ANP-5 steel, completely characterized in [19], which was taken from a weld coupon obtained by NiCrMo1 submerged arc welding wire and modified LW320/330 powder. This weld metal coupon, also known as P370 WM, emulates an RPV shell used in the first generation of German pressurized water reactors (PWRs); the second material is the A533B LUS steel, used in a round-robin organized by the Japan Society for the Promotion of Science (JSPS) and characterized in [20]. This material has a high transition temperature and a low upper shelf in unirradiated conditions, mainly due to high P and S contents. Its behavior is particularly interesting because, in unirradiated conditions, it simulates the effects of irradiation on the fracture toughness of RPV steels after a long operation. The chemical compositions are shown in Table 1.

Both materials were tested in [19] and [20] by using conventional standardized fracture specimens, obtaining the corresponding  $T_0$  values (see Table 2). The resulting broken halves of that initial experimental campaign have been subsequently used to obtain the mini-CT specimens used in the present research.

The most relevant mechanical properties are gathered in Table 2, such as the yield stress ( $\sigma_{ysRT}$ ) and the ultimate strength ( $\sigma_{uRT}$ ) at room temperature, the MC reference temperature ( $T_0$ ), the temperatures for energies of 68J and 41J measured in Charpy specimens, the reference temperature for nil-ductility transition and the reference temperature ( $RT_{T0}$ ) as defined in ASME Code Cases N-629 [21] and N-631 [22]. It is worth noticing that  $T_0$  was determined through 0.4T SENB specimens for ANP-5 steel and compact tension (CT) specimens of different sizes (0.5T-2T) for the A533B LUS steel.

In this work, as the tests were carried out at different temperatures for  $T_0$  determination, the dependence of Young's modulus and yield stress with temperature was determined according to ASTM E1921 equations (1) and (2) [23]:

$$\sigma_{\rm y}({\rm MPa}) = \sigma_{\rm ysRT} + \frac{10^5}{(491 + 1.8{\rm T})} - 189$$
(1)

$$E (GPa) = 204 - \frac{T}{16}$$
(2)

Material	С	Si	Mn	Р	S	Cr	Мо	Ni	Cu
ANP-5	0.08	0.15	1.14	0.015	0.013	0.74	0.60	1.11	0.22
A533B LUS	0.24	0.41	1.52	0.028	0.023	0.08	0.49	0.43	0.19

Table 1. Chemical composition of ANP-5 and A533B LUS steels (wt.%).

 Table 2. Material properties of ANP-5 and A533B LUS steels.

Material	$\sigma_{ysRT}$ (MPa)	σ <sub>uRT</sub> (MPa)	T <sub>0</sub> (°C)	Т <sub>68</sub> , (°С)	T <sub>41</sub> (°C)	RT <sub>NDT</sub> (°C)	RТ <sub>т0</sub> (°С)
ANP-5	604	696	-38	0	-12	-28	-19
A533B LUS	460	640	+8	101	34	68	13

2.2 Methods

#### 2.2.1 Master Curve approach

The Master Curve (MC) is an engineering tool that enables a straightforward estimation of the fracture toughness of ferritic steels within the ductile-to-brittle transition zone (DBTZ) [24,25] and is standardized by ASTM E1921 [23]. The MC approach is based on the weakest link theory and, consequently, describes the fracture toughness results by a three parameter Weibull distribution, but only requires a single material parameter,  $T_0$ , to be defined.  $T_0$  represents the temperature at which the median of fracture toughness,  $K_{JC(med)}$ , for a 1T (25.4 mm) thick specimen is equal to 100 MPaVm. Once the corresponding  $T_0$  is known, the MC can be defined for any probability of failure ( $P_f$ ) by the following equation (3):

$$K_{JC, Pf} = 20 + \left[ ln \left( \frac{1}{1 - P_f} \right) \right]^{1/4} \cdot \{ 11 + 77 \cdot exp[0.019 \cdot (T - T_0)] \}$$
(3)

Besides, when dealing with other specimen thicknesses rather than 1T, the MC proposes a correction to convert the actual  $K_{JC}$  value into the corresponding  $K_{JC(1T)}$ , using the following equation (where B is the thickness of the tested specimen) (4):

$$K_{JC(1T)} = 20 + [K_{JC} - 20] \left(\frac{B}{25.4}\right)^{1/4}$$
(4)

This equation corrects the size (thickness) effect associated with the statistical nature of cleavage fracture: the larger the thickness the higher the possibility of finding a cleavage promoting particle along the crack front.

The MC approach, therefore, makes it possible to address the three main issues of fracture characterization within the DBTZ: the scatter of the results, the dependency of fracture toughness on the temperature, and the influence of the specimen thickness. With all this,  $T_0$  can be determined with relatively few fracture tests (6 valid tests in the best-case scenario) and a low volume of material.

#### 2.2.2. Experimental program

The experimental campaign was conducted using 4 mm thick CT specimens (B = 4 mm). The geometry selected, shown in Figure 1, follows the specifications of ASTM E1921 [23], thus accomplishing the general tolerance of  $\pm 0.013W$  on all dimensions (approximately  $\pm 0.1$  mm for mini-CT specimens). Additionally, a pair of knife edges were machined at the front face of the specimen to mount the crack opening displacement (COD) assuring a fixed fit to the specimen. The front face displacements were subsequently translated into the corresponding load-line displacements by applying a rotational factor of 0.73, as indicated in [23], and used for the determination of the J integral value at cleavage fracture, since its plastic part, J<sub>p</sub>, is defined from the corresponding load-line displacement. It is noteworthy that in this work side grooves were not considered given their demonstrated little impact on this type of small specimens [26], the resulting reduced measuring capacity, and the increment of the machining cost, among other circumstances.

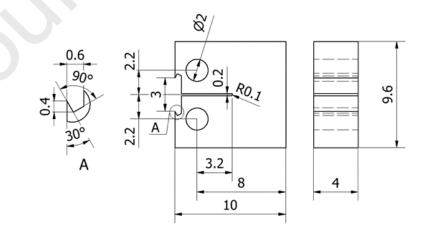


Figure 1. The geometry of the mini-CT specimens. Dimensions in mm.

The mini-CT specimens were extracted from already broken fracture mechanics specimens with conventional dimensions. In the case of the ANP-5 material, 22 mini-CTs were obtained from a broken compact crack arrest specimen provided by Framatome.

For the A533B LUS steel, 16 mini-CT specimens were machined from 4 halves of 2 0.5T CT specimens provided by SCK-CEN. It is important to notice, as mentioned above, that the materials used here were employed in [19] and [20] in two previous experimental campaigns from which the corresponding  $T_0$ -values were determined. Such  $T_0$  values, obtained from standardized specimens, will be compared to those derived in this work from mini-CT specimens. A schematic of the mini-CT specimen's extraction is shown in Figure 2.

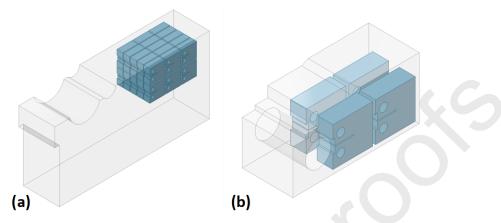


Figure 2. Schematic of mini-CT specimen extraction for (a) ANP-5 material and (b) A533B LUS.

The testing procedure and the subsequent analysis were performed by following the standard ASTM E1921 [23]. Before the tests, crack-like defects were introduced by fatigue pre-cracking. The length of the resulting cracks was controlled optically and also by COD measurements and the corresponding compliance (and crack length) values, ensuring a final pre-crack length within the range of 0.5W±0.05W, as specified in the ASTM E1921 [23].

Since this paper aims to characterize the fracture behavior in the DBTZ, the specimens were cooled at the selected temperature in a thermostatic chamber fed by liquid nitrogen, with electronic control in the range of  $\pm 1$  °C. In order to guarantee a homogenous temperature throughout the whole specimen material during the test, specimens were exposed to the target temperature for at least 15 minutes before starting the actual fracture test. Although ASTM E1921 [23] recommends attaching a thermocouple directly to the specimen, scientific literature and industrial practice accept the temperature to be monitored by a thermocouple attached to the surface of the clevis, assuming differences in the range of  $\pm 3$  °C between the clevis and specimen [4]. In the present work, for operation simplicity, this last option was chosen. The initial test temperature of each material was selected by lowering about 30-35 degrees the values of T<sub>0</sub> shown in Table 2, as recommended in [4] with the aim of avoiding any data censoring derived from K<sub>Jclimit</sub> (see section 2.2.3).

The tests were performed in a servo hydraulic machine with a load capacity of 100 kN, subjected to a quasi-static loading rate of 1 MPaVm/s, within the range of 0.1 to 2 MPaVm/s recommended by ASTM E1921 [23]. During the tests, the load and the front face displacement (measured by means of a COD extensometer) were continuously recorded. The experimental setup is shown in Figure 3.



#### Figure 3. Experimental setup of the mini-CT specimen.

From the broken halves of each specimen, the real initial crack length was determined by direct measuring according to ASTM E1921 [23], which was then used for further calculations. Additionally, the straightness of each individual crack front was checked following ASTM E1921 [23].

#### 2.2.3. Evaluation of the reference temperature

The evaluation of the fracture toughness within the DBTZ test was performed by calculating  $K_{JC}$ . This elastic-plastic parameter in terms of stress intensity factor units is derived from the J integral at the onset of cleavage fracture ( $J_c$ ). The relationship between both parameters is the following (5):

$$K_{JC} = \sqrt{J_c \cdot \frac{E}{(1 - \nu^2)}}$$
(5)

where E is Young's modulus and v is Poisson's ratio. The K<sub>JC</sub> values used to define MC are valid as long as a series of criteria such as high constraint, small scale yielding, and cleavage fracture micromechanisms are addressed. In this sense, the maximum K<sub>JC</sub> capacity is limited by the specimen's remaining ligament (b<sub>0</sub>) as indicated in equation (6). The K<sub>JC</sub> data that exceeds this limit must be replaced (censored) by the value of the K<sub>Jclimit</sub>.

$$K_{\text{JClimit}} = \sqrt{\frac{E \cdot b_0 \cdot \sigma_y}{30 \cdot (1 - v^2)}}$$
(6)

Equation (6) is a particular concern when using mini-CT specimens, given that their small dimensions increase the probability of censoring  $K_{Jc}$  data obtained at temperatures close to  $T_0$  or higher.

Finally, the ductile crack growth is limited to values beyond  $0.05(W-a_0)$  or 1 mm, the smaller of the two. When this requirement is not fulfilled, the corresponding  $K_{JC}$  value is censored by the highest uncensored  $K_{JC}$  value of the whole dataset.

Once the aforementioned two-step censoring procedure has been applied (see section 8.9.2 of ASTM E1921 [23] for further details), the next step consists of converting  $K_{JC}$  values obtained in 4 mm thick specimens (mini-CTs) into  $K_{JC(1T)}$  values following equation (4).

In this work,  $T_0$  is evaluated from the resulting  $K_{JC(1T)}$  data set by using the multi-temperature method according to ASTM E1921 [23]. A provisional value of  $T_0$ , referred to as  $T_{0Q}$ , is obtained from equation (7):

$$\sum_{i=1}^{N} \delta_{i} \cdot \frac{\exp\left[0.019 \cdot (T_{i} - T_{0Q})\right]}{11 + 77 \cdot \exp\left[0.019 \cdot (T_{i} - T_{0Q})\right]} - \sum_{i=1}^{N} \frac{(K_{JC(i)} - 20)\exp\left[0.019 \cdot (T_{i} - T_{0Q})\right]}{\{11 + 77 \cdot \exp\left[0.019 \cdot (T_{i} - T_{0Q})\right]\}^{5}} = 0$$
(7)

where N is the number of specimens tested and  $T_i$  is the test temperature for the corresponding  $K_{JC(i)}$  value.  $T_{0Q}$  is finally validated as  $T_0$  if two additional conditions are met: the data included in the calculations must be tested within the temperature range of T-T<sub>0</sub> = ±50°C, and (8):

$$\sum_{i=1}^{n} r_i \cdot n_i \ge 1 \tag{8}$$

where  $r_i$  is the number of uncensored data and  $n_i$  is the specimen weighting factor, see Table 5 of section 10.3 ASTM E1921 [23]). Once  $T_0$  is determined, the relation between  $K_{JC(med)}$  and T is unequivocally given by (9):

$$K_{\text{IC(med)}} = 30 + 70 \cdot \exp[0.019 \cdot (T - T_0)]$$
(9)

Additionally, the  $K_{JC}$  value for any probability of failure ( $P_f$ ) and working temperature (T) is given by equation (3), being the most common the curves associated with probabilities of failure of 95%, repetitively gathered in equations 10 and 11.

$$K_{JC(0.05)} = 25.2 + 36.6 \cdot \exp[0.019 \cdot (T - T_0)]$$
<sup>(10)</sup>

$$K_{IC(0.95)} = 34.5 + 101.3 \cdot \exp[0.019 \cdot (T - T_0)]$$

Finally, the standard deviation ( $\sigma_{T0}$ ) of the estimate of  $T_0$  is given by (12):

$$\sigma_{\rm T0} = \left(\frac{\beta^2}{\rm r} + \sigma_{\rm exp}^2\right)^{1/2} \tag{12}$$

(11)

where  $\beta$  is the sample size uncertainty factor determined following section 10.9.1 in ASTM E1921 [23], r is the total number of uncensored data used to calculate T<sub>0</sub> and  $\sigma_{exp}$  is the contribution of experimental uncertainties, usually taken as 4°C.

## 3. Results and discussion

#### 3.1. Reference temperature evaluation

Tables 3 and 4 gather the initial crack lengths, the experimental fracture toughness values, and their conversion to full-scale specimen values, together with the resulting  $K_{Jclimit}$  values in steels ANP-5 and A533B LUS, respectively. It should be noted that some results were directly discarded because the crack length criterion of  $a_0/W=0.5\pm0.05$  was exceeded, according to ASTM E-1921 [23]. Therefore, the MC methodology was finally applied to 19 and 14 tested specimens for ANP-5 and A533B LUS respectively. All the crack fronts satisfied the straightness criterion of ASTM E1921 [23].

For the ANP-5 material, a total of two  $K_{JC}$  values were censored ( $\delta_i = 0$ ) since they exceeded the two censoring criteria: excessive ductile crack growth (greater than 0.05·(W-a<sub>0</sub>)) and  $K_{JC}$  greater than  $K_{Jclimit}$ . These  $K_{JC}$  values were replaced as specified by ASTM E1921 [23], in this case, by the maximum uncensored  $K_{JC}$  value of the dataset. In the A533B LUS dataset, three specimens were censored because they exceeded  $K_{Jclimit}$ , so they were replaced by the 1T-scaled  $K_{Jclimit}$ .

Table 3. Crack length measurements and fracture toughness test results in ANP-5.

Code	a₀ (mm)	Test temp. (°C)	K <sub>JClimit</sub> (MPa√m)	K <sub>JC</sub> (MPa√m)	K <sub>JC</sub> (1T) (MPa√m)	$\delta_{i}$
ANP-5_01	4.30	-88.3	139.27	69.75	51.32	1
ANP-5_02	4.10	-88.3	144.41	46.37	36.60	1
ANP-5_03	4.00	-77.3	143.73	69.81	51.36	1
ANP-5_05	3.98	-66.9	142.38	55.33	42.30	1
ANP-5_06	3.96	-66.9	143.48	122.79	84.75	1
ANP-5_08	4.16	-66.9	138.85	85.65	61.33	1
ANP-5_09	4.11	-66.9	140.67	55.08	42.12	1
ANP-5_10	4.17	-66.9	139.33	82.12	59.14	1
ANP-5_11	4.17	-56.1	138.04	79.04	57.24	1
ANP-5_12	4.17	-56.1	139.05	317.10	84.67 <sup>1</sup>	0
ANP-5_13	3.98	-56.1	141.94	81.89	58.96	1
ANP-5_15	4.00	-56.1	142.74	82.92	59.64	1
ANP-5_16	4.16	-56.1	139.02	279.74	84.75 <sup>1</sup>	0
ANP-5_17	4.01	-61.5	141.53	120.27	83.32	1
ANP-5_18	3.87	-61.5	144.35	57.32	43.49	1
ANP-5_19	4.04	-61.5	141.00	108.64	75.84	1
ANP-5_20	3.99	-61.5	141.33	56.83	43.20	1
ANP-5_21	4.03	-61.5	141.02	58.42	44.22	1
ANP-5_22	3.97	-61.5	142.86	62.18	46.40	1

<sup>1</sup> Censored due to large ductile crack growth and K<sub>JC</sub> value exceeding K<sub>Jclimit</sub>.

Table 4. Crack length measurements and fracture toughness test results in A533B LUS.

Code	a <sub>0</sub> (mm)	Test temp. (°C)	K <sub>JClimit</sub> (MPa√m)	K <sub>JC</sub> (MPa√m)	K <sub>JC</sub> (1T) (MPa√m)	δί
A533B LUS_01	4.10	-30	119.03	127.79	82.58 <sup>1</sup>	0

		Jc	ournal Pre-proof	fs		
A533B LUS_03	3.93	-35	122.70	78.89	57.07	1
A533B LUS_04	4.31	-35	117.07	92.04	65.44	1
A533B LUS_05	4.21	-35	118.32	77.62	56.39	1
A533B LUS_06	4.37	-35	116.34	110.19	76.71	1
A533B LUS_07	4.11	-35	119.40	67.64	50.11	1
A533B LUS_08	4.05	-40	120.64	60.35	45.41	1
A533B LUS_09	4.03	-40	121.64	49.13	38.36	1
A533B LUS_10	4.05	-35	119.90	99.40	70.11	1
A533B LUS_11	4.39	-35	115.72	110.48	76.96	1
A533B LUS_12	4.03	-40	121.09	64.12	47.88	1
A533B LUS_13	4.39	-40	115.98	78.27	56.68	1
A533B LUS_14	4.39	-30	110.85	81.62	58.82	1
A533B LUS_16	4.14	-30	118.30	86.34	61.87	1

<sup>1</sup> Censored due to exceeding K<sub>Jclimit</sub>.

Figures 4 and 5 show the MC results, including the 5% and 95% tolerance bounds (Eqs. 10 and 11) together with the experimental data. Besides, the validity zone of the MC ( $T_0\pm50$  °C) and the  $K_{Jclimit(1T)}$  limit (for  $b_0=4mm$ ), have been plotted. In the case of ANP-5, three values were outside the validity range of the final  $T_0$ , which was therefore finally sustained by 16 experimental results. In the case of the A533B LUS steel all data (14) were located within the final validity range. It is evident that, for the two materials being analyzed, the MC provides a good fitting of the experimental results obtained through mini-CT specimens.

Furthermore, it can be observed that the validity zone (area below the censoring line and within  $T_0\pm50$  °C) of the results obtained in mini-CT specimens is quite reduced. Since the remaining ligament is proportional to the thickness of the specimen, decreasing the thickness implies lower values of  $K_{Jclimit}$ . These particularities make it necessary to test well below the  $T_0$  (e.g.,  $T_0$ -30°C) to obtain sufficient uncensored values, taking care not to violate the above referred temperature range required for  $T_0$  analysis.

With all this,  $T_0$  valid values of -26.1°C ± 6.7°C and 7.2°C ± 6.9°C were determined for ANP-5 and A533B LUS steels respectively. In comparison with the  $T_0$  values obtained from large specimens (shown in Table 2 and equal to -38°C and +8°C for ANP-5 and A533B LUS respectively), ANP-5 presented a higher  $T_0$  with a difference of +11.9°C, while A533B LUS showed a lower  $T_0$ , with a deviation of -0.8°C. In addition, the homogeneity of the materials was verified with the screening procedure provided by ASTM E1921 standard, Section 10.6.2 [23]. The results, obtained by using TOTEM software [27], reveal that ANP-5 material does not meet the screening criterion, see equation (13), (i.e., it is an inhomogeneous material) meanwhile A533B LUS material does meet the criterion (homogeneous material). Thus, for ANP-5 material, Appendix X.5, Section X.5.2 (Simplified Method) has been applied with the aim of obtaining a conservative estimate of the reference temperature in non-homogeneous materials ( $T_{0IN}$ ), which resulted -8.8°C. In this particular case,  $T_{0IN}$  coincides with  $T_{0scrn}$ , as defined by [23].

$$T_{0scrn} - T_0 \le 1.44 \sqrt{\frac{\beta^2}{r}} \tag{13}$$

The obtained value of  $T_{0IN}$  should be used in subsequent structural integrity assessments and reporting, the corresponding Master Curve being also shown in Figure 4. However, as long as the purpose of this paper is to verify the suitability of mini-CT specimen to characterize the DBTZ, and also that the reference temperature of the ANP-5 material obtained from conventional specimens (see [19,28]) was  $T_0$  as defined by the standard procedure of [23], not by Appendix X5 (i.e., in [19,28] the material resulted homogeneous), the value of the reference temperature obtained here through mini-CT specimens and used for the mentioned verification is -26.1°C, and not -8.8°C.

For a better understanding of the capacity of mini-CT specimens to determine the material  $T_0$  and, thus, the corresponding MC, a review of the literature was conducted. The efforts were focused on unirradiated materials (both base metal and weld metal), as is the case in the two steels analyzed in this work. Figure 6 shows a comparison of the  $T_0$  values obtained with large conventional specimens with those obtained using mini-CT specimens. All the values, including the materials tested in the present work, are located between the bands of  $\pm 15^{\circ}$ C, as shown in the graph. Thus, in general, the values of  $T_0$  obtained with mini-CT specimens are in good agreement with those obtained with larger specimens. Here it is interesting to point out the that published data (and

the results of this paper) show an interesting behavior: sometimes, the use of mini-CT specimens provides values of  $T_0$  that are lower than those obtained from conventional fracture specimens, while in other occasions mini-CT specimens generate higher values of  $T_0$ . Considering the data found in the literature, the  $T_0$  values calculated in this study seem to be highly consistent, something which is particularly relevant if it is considered that the  $T_0$  values analyzed in the literature are basically between -120°C and -60°C, whereas the values reported in this work provide validation of the use of mini-CT specimens in a well-above temperature range, extending their validation to define  $T_0$  (and the MC).

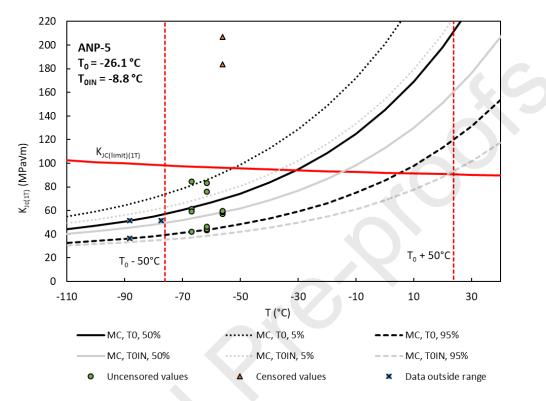


Figure 4. Master Curve analysis of ANP-5 material.

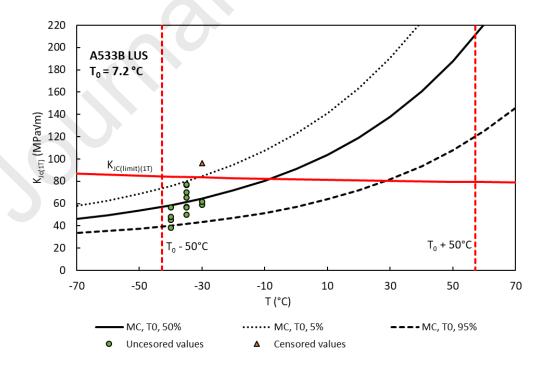


Figure 5. Master Curve analysis of A533B LUS material.

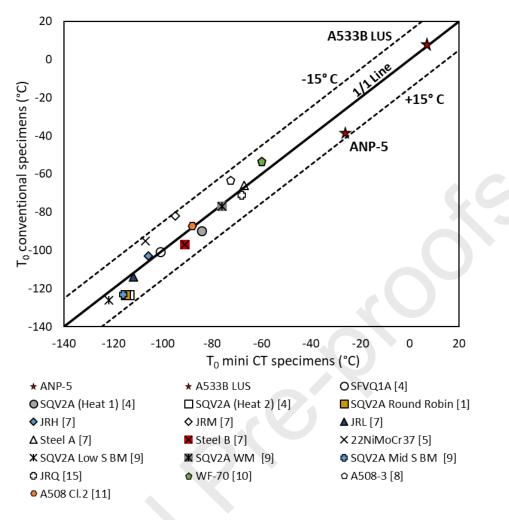


Figure 6. Comparison between T<sub>0</sub> values of unirradiated materials determined from conventional specimens and T<sub>0</sub> values obtained using mini-CT specimens.

3.2. Additional evidences on the validity of mini-CT specimens

The use of mini-CT specimens in surveillance programs still presents concerns from regulatory bodies, who require further evidences about the reliability of this technique. Beyond the sound results gathered in Figure 6, this section will provide additional reasoning supporting the use of mini-CTs to define the MC of RPV steels. This reasoning is focused on two main aspects directly related to the definition of the MC: the value of the shape parameter (b) of the Weibull distribution and the fulfillment of the weakest link theory in mini-CT specimens.

Concerning the shape parameter, the MC assumes that fracture is controlled by weakest link statistics and follows a three parameter Weibull distribution. Accordingly, within the scope of small-scale yielding conditions, the cumulative failure probability ( $P_f$ ) on which the MC is based follows equation (14):

$$P_{f} = 1 - e^{-\frac{B}{B_{0}} \cdot \left(\frac{K_{JC} - K_{min}}{K_{o} - K_{min}}\right)^{b}}$$
(14)

where  $K_{JC}$  is the fracture toughness for the selected probability of failure ( $P_f$ ), B is the specimen thickness and  $B_0$  is the reference specimen thickness assumed in this methodology ( $B_0 = 25.4$  mm, also referred to as 1T). The three Weibull parameters are:  $K_0$ , the scale parameter located at the 63.2 % cumulative failure probability level;  $K_{min}$ , the location parameter, representing the value of the stress intensity factor below which cleavage does not occur, and; b, the shape parameter. Both  $K_{min}$  and b take the same values for all ferritic steels and have been amply justified in conventional specimens, providing values of 20 MPaVm and 4, respectively [24,25,29,30]. With the aim of providing additional support to the use of shape parameter of 4, as proposed by the ASTM E1921 [23] based on [25], in mini-CT specimens, Figure 7 shows the Weibull plot for uncensored data of the ANP-5 and the A533B LUS steels, assuming a  $K_{min}$  equal to 20 MPaVm. The  $K_{JC}$  values are rank ordered and assigned an estimate of the median rank probability (p) [37], which is given by (15):

$$p = \frac{(i - 0.3)}{N + 0.4} \tag{15}$$

where i is the rank of the  $K_{JC}$  value and N is the total number of  $K_{JC}$  values. The Weibull exponents (i.e., the slopes of the fitting lines) range between 1.9 and 28.7. Figure 8 shows these fitted Weibull exponents in relation to the number of tests, together with a number of data from the literature that were used to define b in conventional specimens [25]. It can be observed how the results of the present study generally fall within the 5% and 95% tolerance accepted by the literature (5 out of 6 results). There are two slopes that are clearly higher than the others, but here it is important to note the fact that they have been obtained with only two and three points, respectively, in ANP-5 (-56°C) and A533 LUS (-30°C) steels. Overall, the use of 4 as the shape parameter in mini-CT specimens seems a reasonable practice based on the evidence found here.

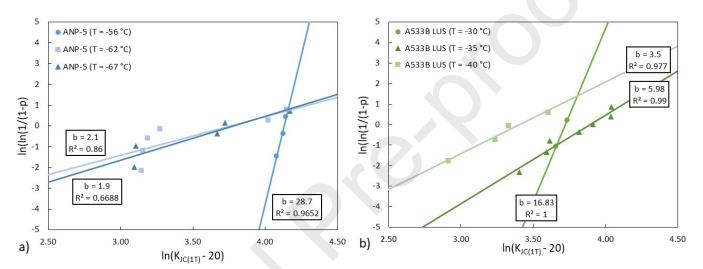


Figure 7. Weibull plot for valid data of steels: a) ANP-5 and b) A533B LUS.

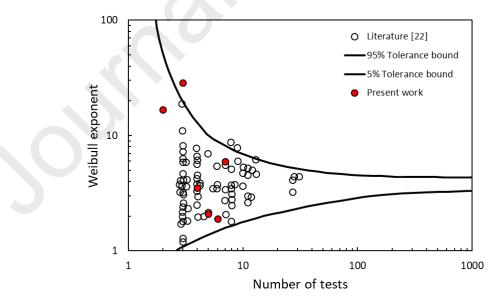


Figure 8. Weibull exponent (shape parameter, b) vs. the number of specimens, and confidence bands.

Concerning the fulfillment of the weakest link statistics, which is the base to use the three parameter Weibull distribution, this requires the fracture process to be caused by cleavage (brittle micromechanism) with a single initiation point. Multiple initiation points would also imply brittle processes, but not following the weakest link theory (this is the case of fracture processes within the material Lower Shelf). Therefore, the aim here is to verify whether the mini-CT specimens tested in the experimental program

failed by cleavage fracture or not, and also if there was a single (main) initiation site. In this sense, the fracture surfaces of all tested specimens were examined with a scanning electron microscope (SEM).

Figures 9 and 10 show, as an example, the fracture surfaces observed in one specimen of each material under different magnifications, focusing on the most likely initiation zone. In both cases, the majority of the fracture surfaces begin with very narrow (a few microns) ductile areas along the initial crack front (see Figures 9a and 10a), where microvoids are present as can be seen in Figures 9b and 10b. After this limited ductile tearing, the predominant failure micromechanism was cleavage fracture in all cases. It was not unusual though to find small areas of ductile fracture. Additionally, a small area of slant fracture was observed at the sides of the specimens, as a result of the plane stress effect in that region (see Figures 9a and 10a).

In addition, there was a single initiation point in all cases, or a clearly main initiation point (see Figures 9a and 10a), which tended to be found close to the middle of the specimen, where triaxiality conditions are maximum. Figure 9c shows a detail of the initiation site, where an oxide was identified as the triggering particle of cleavage. Figure 10c presents the most likely initiation point, although no particle was found in this case.

The SEM analysis demonstrates thus that the fracture processes in the mini-CT specimens analyzed in this work have followed the weakest link theory, with cleavage fracture governing the fracture and with a single initiation point triggering the whole process.

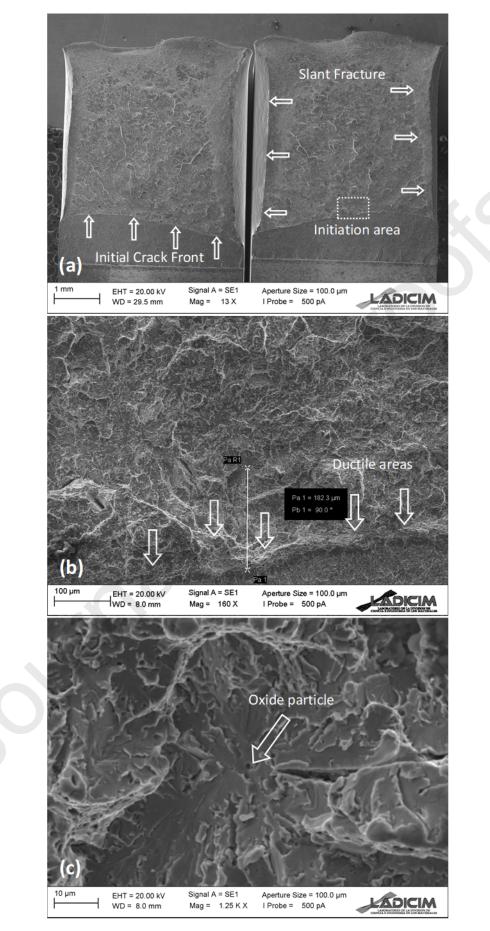


Figure 9. SEM image of the fracture surface of ANP-5\_13 specimen, with three different magnifications. (a) general view of the two broken halves; (b) detail of the crack front; (c) detail of the initiation point.

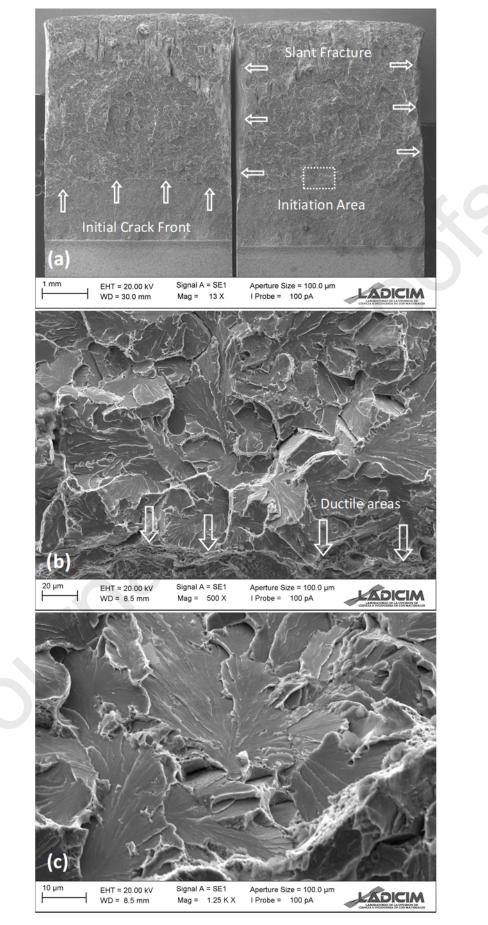


Figure 10. SEM image of the fracture surface of A533B LUS\_13 specimen, with three different magnifications. (a) general view of the two broken halves; (b) detail of the crack front; (c) detail of the initiation point.

## 4. Conclusions

This paper analyzes and validates the use of mini-CT specimens to define the Master Curve (MC) of two different unirradiated RPV steels, ANP-5 and A533B LUS. With this aim, the reference temperature ( $T_0$ ) has been determined using mini-CT specimens, and the fracture micromechanisms were evaluated by SEM analysis. The main conclusions are:

- The T<sub>0</sub> values of the two materials were successfully obtained from the fracture toughness tests performed on mini-CT specimens. The obtained values (-26.1°C for ANP-5 and +7.2°C for A533B LUS) are consistent with those obtained from standard specimens (-38°C and +8°C, respectively).
- The results provide further evidence of the reliability of using mini-CT specimens to define T<sub>0</sub>. Besides, this new evidence is provided in two unirradiated steels with T<sub>0</sub> values well above the validation range found in the literature.
- With all the results put together, the differences between the values of T<sub>0</sub> obtained using mini-CT specimens and those obtained using conventional specimens are generally within ±15%.
- The analysis of the fracture micromechanisms and the Weibull shape parameter provides additional evidence about the fulfillment of two MC assumptions when working with mini-CT specimens: the fracture process follows weakest link statistics and the shape parameter can be assumed to take a value of 4.

## CRediT authorship contribution statement

**Marcos Sánchez**: Conceptualization, Investigation, Methodology, Data curation, Writing – original draft. **Sergio Cicero**: Conceptualization, Investigation, Methodology, Funding acquisition, Writing – review & editing. **Borja Arroyo**: Investigation, Data curation, Writing – review & editing. **Ana Cimentada**: Investigation, Data curation.

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### **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## **Data Availability Statement**

Individual data for the fracture tests found in this document may be found in https://www.openaire.eu/.

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## CRediT authorship contribution statement

**Marcos Sánchez**: Conceptualization, Investigation, Methodology, Data curation, Writing – original draft. **Sergio Cicero**: Conceptualization, Investigation, Methodology, Funding acquisition, Writing – review & editing. **Borja Arroyo**: Investigation, Data curation, Writing – review & editing. **Ana Cimentada**: Investigation, Data curation.

#### Highlights

- The Master Curve approach has been successfully employed, combined with the use of mini-CT specimens made from two different reactor pressure vessel steels: ANP-5 and A533B LUS.
- This paper adds further validation of the use of mini-CT specimens to define the T<sub>0</sub> of unirradiated RPV steels with relatively high values of this parameter.
- A fractography analysis of the fracture surfaces was performed confirming the existence of cleavage fracture following the weakest link theory (i.e., one single initiation point), as required by the Master Curve approach.
- A literature review was conducted to compare the T<sub>0</sub> values obtained with large conventional specimens with those obtained using mini-CT specimens.

## **Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

□ The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: