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### WHEN PLASTIC PACKAGING SHOULD BE PREFERRED: LIFE CYCLE ANALYSIS OF PACKAGES FOR FRUIT AND VEGETABLE DISTRIBUTION IN THE SPANISH PENINSULAR MARKET

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#### 20 Abstract

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22 Food packaging is an important industrial sector that has great influence on food loss and waste. The search 23 of optimal conditions to minimize the negative impacts of food packaging on the environment must promote 24 the selection of the best available packages. This work has evaluated the environmental impact of the 25 distribution of fruit and vegetables in the Spanish peninsular context using reusable plastic crates and single-26 use cardboard boxes. Discussion and decision at each phase and step of the methodology were provided, 27 being an example to follow for similar studies in the future. For the analysis, five different impact categories 28 were considered: global warming potential, acidification potential, eutrophication potential, ozone depletion 29 potential and photochemical oxidant creation potential. In addition, energy and water consumption were 30 taken into account. According to the results of the analysis, the use of reusable plastic crates should be 31 selected, since the values of all impact categories and energy consumption indicators were higher in the 32 case of single-use cardboard boxes. The sensitivity analysis revealed a robust preference for plastic crates 33 in comparison with cardboard boxes even in alternative scenarios, and only the hypothetic reduction of the 34 quality of the cardboard resulted in significant lower impacts for cardboard boxes in comparison to plastic 35 crates in photochemical oxidant creation potential, acidification potential, and energy consumption. This 36 work demonstrates that plastic packaging should not be totally excluded or banned, since it can be the most 37 environmentally friendly option in certain applications.

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40 Keywords: Life Cycle Assessment (LCA); Fruit and Vegetables; Packaging; Distribution; Reusable Plastic Crates; Single 41 Use Cardboard Boxes.

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44 **1. Introduction** 

46 The packaging industry must be considered a relevant industrial sector since it is playing a more 47 active role in the world economy (Rabnawaz et al., 2017). For example, the European packaging 48 market accounted for €195 billion turnover in 2018, and, with an annual growth rate around 2%, 49 it is expected to achieve €214 billion by 2023 (Platt, 2018). Meanwhile, total value in the global 50 packaging industry will surpass \$1 trillion in 2023, and, by 2028 an additional \$150 billion will 51 have been added to this market (Smithers-Pira, 2018). Packaging is much more than a simple 52 container: packaging must satisfy protection, commercial, and logistics requirements from a 53 sustainable perspective (González-Boubeta et al., 2018). This compromise towards sustainability 54 has promoted a growing demand for returnable packages to be reused. The reuse principle, which 55 refers to the repeated use of products and components for the same purpose for which they were 56 conceived, is considered in the waste management hierarchy promoted by the EU in Directive 57 2008/98/EC as the second most preferable option just below prevention. Consequently, 58 packaging reuse can become a critical strategy among waste prevention activities within the new 59 framework defined by circular economy (Rigamonti et al., 2019).

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61 The concept of circularity in the context of sustainable production describes the restorative and 62 preservative character of a product. In contrast to the scheme in a linear economy (take, make, 63 and dispose), circular economy proposes a scheme that requires the fabrication of products made 64 out of renewable or recycled materials, produced using renewable energy and, being 65 compostable, recyclable, or reusable after their service life (Pauer et al., 2019). In this context, 66 the European Union has adopted a new set of measures, commonly referred to as the Circular 67 Economy Package, to promote the transformation of Europe's economy into a more sustainable 68 one (European Commission, 2015). In the particular case of packaging, these measures include 69 several legislative proposals on waste, focused on increasing recycling rates, enhancing uptakes 70 of secondary materials, and reducing food waste. For correctly choosing the proper waste 71 circularity alternative, life cycle assessment (LCA) is the most commonly used methodology, 72 being the impact allocation issues the most controversial (Civancik-Uslu et al., 2019a). Besides, 73 the amended Directive 94/62/EC on Packaging and Packaging Waste both reinforce the role of 74 LCA and complement the objectives above, since the proposed higher recycling rates require the 75 redesign of packaging and higher investments in recycling infrastructures (Jora et al., 2018), 76 preferably including different actors in the production chain (Civancik-Uslu et al., 2019b).

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78 The growing public awareness about the negative impacts of packaging on the environment is 79 becoming a crucial aspect to have in mind. Packaging accounts for 15-25% in volume of total 80 municipal solid waste in most countries (Tencati et al., 2016; UNEP, 2018a; Margallo et al., 2019), 81 and plastic packaging accounts for 50% in weight of the total plastic waste in the world (UNEP, 82 2018b). The use of plastic polymers in the technosphere has recently received increased attention 83 given the gradual accumulation of mismanaged plastics in different environmental compartments, 84 such as agricultural sediments, lakes or the marine environment (Schwarz et al., 2019). In fact, a 85 recent report by UN Environment estimates that approximately 8.3 Mt of plastics may be reaching

86 the ocean on an annual basis, both in the form of microplastics due to different types of leakages 87 (city dust, tire abrasion, cosmetics ...), and macroplastics, due mainly to waste mismanagement 88 and littering (UNEP, 2018c). The consequences of this accumulation, although still not sufficiently 89 understood, include negative impacts on human wellbeing, particularly fisheries, heritage or 90 recreation, as well as physical and toxic effects to marine biota (Beaumont et al., 2019; Jang et 91 al., 2014). Unfortunately, a complete littering assessment model from a life cycle perspective has 92 not been developed yet (Civancik-Uslu et al., 2019c). This has led many countries to act on 93 plastics by limiting or banning the use of single-use plastics (Shahnawaz et al., 2019), with 94 Directive 2019/904 on the reduction of the impact of certain plastic products on the environment 95 as example. However, it must be noted that despite the bad press that plastic polymers have 96 received due to marine littering, their use in the technosphere has shown to be extremely useful. 97 For instance, plastics improve public health, providing cleanliness to drinking water supplies and 98 a wide range of medical devices. Moreover, the use of plastics in the packaging of food and 99 beverages has allowed a reduction in the weight of packages thanks to their high strength-to-100 weight ratio, contributing to reduce other impacts along the life cycle of packaging (Andrady and 101 Neal, 2009). A clear example of the importance to reduce weight to decrease impact can be found 102 within the aviation sector, for which about 90% of the impact of cabin waste is due to the weight 103 of the items, such as cutlery (Blanca-Alcubilla et al., 2019). Mixing plastics with minerals and other 104 functional fillers has led to new and better functions with less use of fossil-based resources 105 (Civancik et al., 2018).

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107 In addition to environmental impacts, packaging significantly affects entire supply chains, with 108 important implications in terms of transportation (Raugei et al., 2009), warehousing (Balaguera et 109 al., 2018), order processing and information, inventory carrying, lot sizing, and, in the case of food 110 packaging, it has a great influence on food loss and waste (FLW) (Meherishi et al., 2019). The 111 reduction of FLW is an emerging challenge for global sustainability. The agenda for the United 112 Nation's Sustainable Development Goals includes halving food waste at the retail and consumer 113 level as one of the important worldwide targets for ensuring sustainable consumption and 114 production patterns (Garcia-Herrero et al., 2018; Heller et al., 2019). From an environmental point 115 of view, FLW leads to the excessive consumption of materials and energy attributable to further 116 waste treatment and additional food production to compensate the losses (Hoehn et al., 2019; 117 Yokokawa et al., 2018). To avoid FLW due to packaging itself, the latter should protect food from 118 physical and biological damage, be easy to reseal to avoid deterioration, be correctly designed 119 for emptying completely, be available in sizes that avoid leftovers and provide adequate 120 necessary information to consumers, such as content, composition, or expiration date (Williams 121 and Wikström, 2011). Progress to improve the physical, chemical, sensory, and microbiological 122 protection of food to improve shelf life and to reduce FLW include new technological advances, 123 but special attention must be paid to the needs, attitudes, and behavior of consumers (Wikström 124 et al., 2019). Therefore, all the parts involved in the packaging design should understand the

demands of the packaging across the whole supply chain to optimize their product for reducingFLW and environmental impacts (Wohner et al., 2019).

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128 The importance of food packaging justifies the need to evaluate the corresponding environmental 129 impacts since packaging may be responsible for a considerable part of the environmental burden 130 of a product, despite its very short use phase (Licciardello, 2017). Life Cycle Assessment (LCA) 131 appears as the most complete and adequate tool for assessing the potential environmental 132 impacts of food packaging and their implications (Barros et al., 2018). The application of LCA to 133 compare different alternatives for food and beverages packaging (mainly cardboard versus 134 plastic) has been performed for several products, such as olive oil (Navarro et al., 2018), bread 135 (Koskela et al., 2014), eggs (Zabaniotou and Kassidi, 2003) or wine (Gazulla et al., 2010; 136 Vázquez-Rowe et al., 2012). However, most of these studies are focused on primary packaging, 137 and the analysis of secondary and tertiary packaging has not been covered adequately. Taking 138 into consideration that fruit and vegetable waste is the most relevant in terms of volume as 139 compared to other food categories (Vázquez-Rowe et al., 2019), the sustainability of specific 140 packaging for fruits and vegetables distribution has been previously studied by several studies. 141 Due to the very different characteristics of reusable packages, such as plastic crates or wooden 142 boxes, when compared to single-use packages made of cardboard, the resulting scenarios are 143 characterized by high complexity, and the results derived from their analysis can differ. While 144 most published studies, both in the European countries (Accorsi et al., 2014; ADEME, 2000; 145 Albrecht et al., 2013; Tua et al., 2019) and the North and South American contexts (Bernstad 146 Saraiva et al., 2016; Franklin Associates, 2016; Singh et al., 2006), found that the reusable plastic 147 crates perform generally better than the single-use wooden and cardboard boxes; other studies 148 showed that the single-use cardboard boxes should be preferred within certain specific scenarios 149 (Battini et al., 2016; Bortolini et al., 2018; Levi et al., 2011).

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151 However, the results cannot be directly employed to extrapolate and provide a clear-cut answer 152 for defining the friendliest option, since changes in the system conditions and hypotheses (the 153 different geographical coverage, the weights of products, the transportation distances, the actual 154 recycling infrastructures, the rate of mismanaged waste or any other country-specific condition) 155 affect the results considerably. In the particular case of Spain, the Polytechnic University of 156 Valencia, in collaboration with ITENE, conducted a comparative study on cardboard boxes and 157 folding plastic crates for the distribution of fruit and vegetables (Capuz and Aucejo, 2005). Their 158 results concluded that recyclable cardboard boxes are the best option when analyzed using 159 environmental and economic criteria. A more recent study carried out by the same research group 160 confirmed the preferability of cardboard boxes over plastic crates (Capuz et al., 2018). However, 161 in both cases, the results consider exporting fruits and vegetables over long one-way distances 162 to European markets and not combined multiple trips within European pool logistic systems.

164 Therefore, the analysis of the distribution of fruits and vegetables within the Spanish market has 165 not been previously covered. Hence, the current study has been defined as an exhaustive 166 analysis adapted to the Spanish reality. Therefore, the main objective is to obtain information on 167 the environmental impact associated to the distribution of fruits and vegetables in the Spanish 168 peninsular market, analyzing two alternative packaging solutions: single-use cardboard boxes 169 and reusable plastic crates, but excluding wooden boxes, which are currently barely used in 170 Spain. To our best knowledge, this work is certainly the first one published for the Spanish region, 171 based on the use of direct data from industry, which came from three different companies coping 172 more than 90% of the market. The Spanish market was studied as a pool system, and only once 173 it was previously studied at European level (Albrecht et al., 2013). Since other studies were based 174 on long distance trips, the obtained resulted were not directly applicable to the case of a domestic 175 market with shorter distances, and this could create confusion. This confusion about optimal 176 packaging must be cleared by application of a methodology under a life cycle assessment point 177 of view.

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The paper is structured as follows: Section 2 presents the LCA methodology including the goal of this study, the definition of the functional unit and the system boundaries, the allocations methods and the selected environmental categories and the data, limitations, assumptions and hypotheses considered in this study; Section 3 gives a detailed description of the system and presents the life cycle inventory; Section 4 explains the obtained results and the sensibility analysis; and lastly Section 5 provides the main conclusions of the work.

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#### 187 2. Methodology

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LCA methodology was employed in accordance with the recommendations provided by the ISO 14040 and 14044 standards (ISO 2006a, 2006b). This approach enables the analysis of the environmental impact associated with every stage in the life of the packages, from the extraction of raw materials for their production until they become a waste. As prescribed by the standard, an LCA study must comprise the definition of goal and scope, the life cycle inventory (LCI) analysis, the life cycle impact assessment (LCIA) and an iterative interpretation of results.

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### 196 **2.1. Goal and scope**

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As a consequence of the dissemination of environmental information without significant scientific support that can add public pressure against some packaging materials (Maye et el., 2019; Sarmadi, 2016; Tyson, 2010), this study aims to obtain objective scientifically-based information on the real environmental impact associated with fruits and vegetables distribution in the domestic Spanish (peninsular) market, by comparing two packaging solutions: reusable plastic crates and single-use cardboard boxes (Figure 1). 204 205

206

#### Figure 1

207 On the one hand, cardboard boxes are domestically produced and transported to the local 208 producers of fruits and vegetables. Once they are filled, they are taken to the distribution centers 209 and consumption points. Whenever their purpose has been fulfilled, they are managed as waste. 210 On the other hand, after their service life, plastic crates are collected, inspected, washed, repaired 211 (when necessary) and distributed again among local fruit and vegetable producers to be used for 212 successive times. Once their whole useful life is over, and they can no longer be reused and 213 repaired, the crates are managed as waste and replaced with new ones.

214

215 The considered fruit and vegetable distribution systems guarantee the proper distribution of the 216 product, but, in addition, the compared packages fulfill appropriate labelling, ergonomic, hygienic 217 and safety conditions among other characteristics typical of packaging. Further information 218 concerning the main characteristics of the packages can be found in Table S1.1 in the 219 Supplementary Material (SM). The modification of 11 different model parameters was also 220 analyzed, which could respond to possible changes in the distribution systems, in order to identify 221 more accurately which of the two options is preferable from an environmental point of view. 222 Moreover, this study analyzes two possible scenarios regarding the parameters that define the 223 service life of the crates: in the conservative scenario (which is taken as baseline) the useful life 224 is 10 years and 10 rotations per year are considered, while the technical scenario extends the 225 use to 15 rotations per year and maintains the lifetime (10 years). This last scenario corresponds 226 to the average technical operating conditions of the pool of plastic crates of the industrial partners 227 belonging to ARECO (Asociación de Operadores Logísticos de Elementos Reutilizables 228 Ecosostenibles).

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### 230 **2.2.** Function and functional unit

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232 The functional unit is the measurement of the function of the systems analyzed which enables 233 them to be totally comparable. In this study, the function was set as the ability of a specific 234 packaging container to repeatedly carry a certain amount of food in the most sustainable possible 235 way. Therefore, initially, the outset functional unit (FU) was defined as the distribution of 1000 236 metric tons of fruits and vegetables in plastic crates or cardboard boxes. To translate this FU into 237 reference flows of crates and boxes, the weight of load of the packages has been taken into 238 account: both boxes and crates can carry 15 kg of product. This implies that the transport of the 239 1000 metric tons requires 66,667 units of packages.

240

On the one hand, in the conservative scenario, plastic crates have a 10-year lifetime and are reused in 10 rotations per year. This means that during the 10 years of the crates' useful lifetime, they could have more than 6.6 million fillings. To consider the effect of the rotations, the outset FU was redefined for the conservative scenario as the distribution of 6,666,700 packages full of fruits and vegetables, with a transported weight of 15 kg, in single-use cardboard boxes or reusable plastic crates.

247

On the other hand, in the technical scenario, plastic crates have a higher number of rotations per year, so during this time they could have more than 10 million fillings. Once again, to compensate the effect of the rotations, the outset FU was redefined for the technical scenario as the distribution of 10,000,050 packages full of fruits and vegetables, with a transported weight of 15 kg, in singleuse cardboard boxes or reusable plastic crates.

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In the case of the plastic crates, some of them may have to be repaired or replaced by new ones to continue fulfilling their function, so the production of these additional crates should also be included in the analysis. The replacement was calculated taking into consideration the average data provided by companies belonging to ARECO, which have found a breakage index of 0.51% per use. The input data for the manufacturing, use and end of life stages of the two analyzed scenarios for both systems are detailed in **Figure 2**, taking into account the reference flows in each case.

Figure 2

#### 265 **2.3. System boundaries**

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267 This study contemplates the full life cycle of both distribution systems, considering the stages of 268 extracting the raw materials for manufacturing the packages, the distribution and use stages, and 269 the end-of-life processing as waste. Auxiliary systems such as transporting raw materials for 270 manufacturing the packages, obtaining electric power from primary energy sources, extracting 271 and burning fuel for transport of packages and waste are also included in the analysis. 272 Nevertheless, the production of capital goods (equipment, machinery or trucks) was placed 273 beyond the limits of the proposed system, since these are in general not relevant in the analysis 274 due to the depreciation per product made or transported.

275

276 Once the packages are managed as waste, secondary material to be reused in other products is 277 obtained. Furthermore, energy is recovered when the remains are incinerated. This fact entails 278 the incorporation of new functions to the transport and distribution of fruits and vegetables. 279 Consequently, for both systems to be equivalent, the environmental impacts among the different 280 functions must be allocated and account only for the part covering the main function shared by 281 both systems. This allocation is complex and can be avoided by system expansion, which entails 282 subtracting the environmental impact associated with obtaining materials and energy from 283 alternative production sources. Figure 3 is a schematic view of the boundaries of the initial and

| 284 | expanded systems and a further description of the different stages included in the system will be |
|-----|---|
| 285 | covered in Section 3.   |

Figure 3

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### **290 2.4. Allocation**

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Some processes included in the life cycle of plastic crates or cardboard boxes are simple, since all consumption of materials and energy, as well as the process emissions, are associated with the product that comes out of that process. However, other systems, in addition to the main products, also produce additional goods or co-products. In these cases, methods to distribute the consumption of materials and energy, as well as the emissions and waste generated between the different co-products of the process, must be established. An allocation hierarchy is suggested by ISO 14044 and these methods were employed in the unavoidable cases:

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In both systems, for refinery products (diesel, naphtha, fuel-oil and lubricant oils), the emission
 allocation has been based on mass, while the energy demand has been assigned as a function
 of the energetic content of each product in relation to the crude oil consumption.

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In the cardboard boxes system, the pulp and paper production process has been assigned as a
 function of the weight of the different produced paper qualities.

306

- In the plastic crates system, where ethylene and propylene monomers were required to
 manufacture the crates, the impacts of the products obtained by steam cracking (ethylene,
 propylene, butadiene, pyrolysis gas, hydrogen and heating gas) have been assigned according
 to their energy content.

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### 313 2.5. Life cycle impact assessment methods

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The categories of environmental impact and the energy indicators analyzed are compiled in **Table 1**. These have been developed by the Centre for Environmental Science at Leiden University (CML) (Guinée et al., 2001; Heijungs et al., 1992) and updated in April 2015. For the category of Photochemical Oxidant Creation Potential, the characterization factors provided in the IMPACT 2002+ (V2.1) assessment method have been employed (Jolliet et al., 2003). Additionally, total freshwater use indicator has also been considered.

Table 1

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324 Public concern for climate change is a relevant aspect in our society and this environmental 325 impact is measured through the Global Warming Potential. The protection of the ecosystems is 326 related to the exposure to substances such as sulphur and nitrogen oxides and phosphorous 327 compounds, which are directly related to the Acidification Potential and Eutrophication Potential. 328 Another of the impact categories included refers to the Ozone Depletion Potential, considered 329 within the Montreal Protocol about substances that deplete the ozone layer. The formation of 330 tropospheric ozone threatens the protection of the environment, health and quality of life and it 331 has been considered with the Photochemical Oxidant Formation impact category. Regarding 332 toxicity indicators, they have not been included in this analysis because the packages considered 333 must fulfil quality requirements that avoid the use of toxic substances. Water depletion as a 334 resource has not been included in the analysis. This impact category depends on local conditions 335 and adequate methodology to determine this impact is still under development and not agreed at 336 international scientific level.

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### **2.6.** Data, limitations, assumptions and hypotheses

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341 For the manufacturing stages of plastic crates and cardboard boxes the data were obtained from 342 the GaBi database 2016 (GaBi, 2016). For example, the consumption of electric energy to 343 produce the packages was assessed from the representative Spanish electricity production mix 344 for the 2012-2018 period. Likewise, the raw materials or some ancillary materials used in the 345 process were extracted from the same database, in order to include the most recent data 346 available. For the distribution and inverse logistics (in the case of plastic crates) stages, data 347 provided by the companies belonging to ARECO regarding fruit and vegetable distribution in 348 Spain for 2015 were used. Data collected were validated using existing and published data from 349 different sources (Eyerer 1996; Eyerer and Reinhardt 2000; GaBi, 2008, 2003; IKP, 2005) or by 350 consulted experts. The GaBi 7 Life Cycle Assessment engineering software was employed for 351 modelling and obtaining results (GaBi, 2016).

352

According to the recommendations of the International Reference Life Cycle Data System (ILCD, 2011), the absorption and emission of CO<sub>2</sub> from biogenic sources has been considered neutral in this study. For this purpose, both the absorption of biogenic CO<sub>2</sub> in obtaining the paper needed for cardboard boxes and its release after the useful life is over by incineration of the waste generated have been taken into account.

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The electricity recovered in the incineration process is assumed to replace the electric power mix of Spain. The model for plastic and cardboard incineration included in GaBi database considers electric and thermal energy recovery. However, Spanish incineration installations are not designed for thermal recovery, so a model to transform the recovered thermal energy into electricity has been proposed according to the efficiency rates compiled in the corresponding BREF document (MARM, 2011). Since the efficiency values in this document range from 17 to
30%, an average 23.5% rate was employed to represent the transformation of thermal energy to
electricity.

367

The main characteristics of both types of packages have been considered equivalent, although several studies have demonstrated that different packages can imply different performance characteristics in aspects like cooling rates, temperature uniformity, energy consumption or fruit quality (Bishop et al., 2007; Chonhenchob and Singh, 2005, 2003; Gruyters et al., 2019).

372

A 100 km distance between the waste generation point and the incineration installation was
estimated. Furthermore, the centers for the inspection, washing and reparation of the plastic
crates do not coincide with the distribution centers and an average distance value of 100 km,
based on ARECO estimations, was considered between them.

377

378 The value of the recycled granules was fixed as 70% of the value of the virgin material (Albrecht 379 et. al, 2013). The waste granules derived from the manufacturing process of the crates (1.5%-380 6.0%) were used in a closed loop for the production of crates in the same industrial process. The 381 value of the recycled paper was fixed as 90% of the value of Wellenstoff quality. The maximum 382 number of times paper fibers can be recycled is 3 (Delgado-Aguilar et al., 2015). The percentage 383 of cardboard boxes targeted to be recycled was defined as 80%, while the resting 20% has been 384 supposed to be incinerated with energy recovery (REPACAR, 2014). The number of boxes and 385 craves leaving the system because of misuse, theft or other incidences was considered negligible.

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### 388 **3. System description and life cycle inventory**

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The modeling of the two distribution systems was carried out in a modular way, including all stages of their life cycle "from the cradle to the grave". For each of these stages, the corresponding data inventory was prepared to quantify the energy and material flows entering and leaving the systems. Resource consumption and emissions to water, soil and air are considered in the analysis.

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### **396 3.1. Manufacture (production stage)**

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### 3.1.1. Manufacture of cardboard boxes

The raw materials for the manufacture of cardboard boxes are hard and soft wood from forestry
and also paper and wood waste. For the inventory, updated data from the GaBi 2016 database
were used (GaBi, 2016). Specifically, the following processes were used:

- 404 For softwood: The process includes the growth of trees and the transport to the sawmill. Data
  405 were obtained from industry and completed with literature data. It is representative for the period
  406 2015-2018.
- 407 For hardwood: The process includes the growth of trees and the transport to the sawmill. Data
  408 were obtained from industry and completed with literature data. It is representative for the period
  409 2015-2018.
- 410 For wood residues: Data obtained from consultations with industrial partners (not included in the411 GaBi database) were used.
- For recycled paper: It was considered that this entrance has no environmental impact, followingthe cut-off allocation method and neglecting the collection and transport stages.
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415 The pulp production process has been updated with the data provided by FEFCO (European 416 Federation of Corrugated Board Manufacturers). These data were complemented with data on 417 forestry, energy production, fuel and auxiliary chemicals from GaBi 2016 and updated Thinkstep 418 databases (Gabi, 2016). For the production of the cardboard boxes, semi-chemical pulp (for the 419 fluting) and Kraftliner (for the liner) were used. Electrical energy was obtained from the pulping 420 processes, which was used in the same industrial process; and additional thermal energy and 421 steam are recovered, which are used in other industrial processes. Considering that this adds 422 new functions to the system, requiring the application of a system expansion perspective, it was 423 considered that the impact of the production of an equivalent thermal energy was avoided, based 424 on a European average representative for the 2015-2018. The produced corrugated cardboard 425 sheets are cut in the shape and measure established to become packing boxes. Once cut, they 426 are assembled and palletized, or palletized without mounting before being sent to customers. The 427 impacts related to the assembly of the boxes were included in the analysis.

428

The distribution of the boxes from the production sites to the points where these boxes are used (cooperatives and other fruit and vegetable producers) was included in the production stage of the boxes. An average distance of 50 km with an average load percentage of trucks of 46% was considered.

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### 435 **3.1.2. Manufacture of plastic crates**

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Data related to the manufacture of the plastic boxes were provided by representative industrial
partners, such as Bekuplast (Germany), Didak Injection (Belgium) and Schoeller Arca Systems
(Switzerland), which are among the most relevant suppliers of polypropylene (PP) and highdensity polyethylene (HDPE) plastic crates.

441

442 The raw material for the production of HDPE and PP is crude oil. The main monomers for 443 obtaining these plastics, ethylene in the case of HDPE and propylene in that of PP, are obtained by a cracking process of naphtha or diesel in a steam cracker. The impact of oil extraction and
refinement was included in the inventory data for the production of HDPE and PP extracted from
the GaBi 2016 database (GaBi, 2016).

447

448 The HDPE polymerization is performed in a low-pressure process by different technologies 449 (solution polymerization, suspension polymerization or gas phase polymerization). In this study, 450 the gas phase polymerization technology in a fluidized bed reactor was selected because it is the 451 most used option (McKenna, 2019). Data gathered for the production of HDPE were extracted 452 from the GaBi 2016 database (GaBi, 2016). They correspond to an average production based on 453 data from several German producers. The average was calculated based on the production 454 capacity of the different companies, using polymerization by a fluidized bed reactor. These data 455 were representative for the period 2015-2018. The impact associated with the extraction, 456 transport and refining of crude oil was also included.

457

458 As in the case of HDPE, there are also different polymerization technologies for PP (solution 459 polymerization, liquid propane polymerization or gas phase polymerization). Data collected in this 460 case is a 50:50 combination of the two gas-phase polymerization technologies most used in the 461 market: polymerization in a fluidized bed reactor (as used by Union Carbide / Shell) and 462 polymerization in a vertical reactor (as used by BASF) (Gorbach et al., 2000; Khan et al., 2016). 463 For the production of PP, data were extracted from the GaBi 2016 database (GaBi, 2016). They 464 correspond to an average production based on data from several German producers. The 465 average was calculated based on the production capacity of the different companies. Data are 466 representative for the 2015-2018 period. The impact associated with the extraction, transport and 467 refinement of crude oil was also included.

468

In general, pure plastic granules are not stable against sunlight, heat and other external agents. For this reason, it is necessary to add certain additives in their composition. In this case, UV and antioxidant absorbers are used for fruit and vegetable distribution packages. In this study, data obtained from the packaging manufacturers were used. Specifically, 0.13% by weight of the PP and HDPE granules were considered standard UV absorbers and 0.5% antioxidants. Data used in the model for the production of UV absorbers were extracted from the GaBi 2016 database (GaBi, 2016).

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According to data provided by ARECO, 43% of reusable plastic crates are made of PP and 57%
of HDPE, in both cases of virgin raw material, without any contribution of recycled material. For
the transport of plastic granulates to the package factory, a truck-trailer was selected from the
GaBi 2016 database (GaBi, 2016). It was considered in both cases that a distance of 1000 km is
traveled from the granule producer to the manufacturer plants.

The manufacturing process of the crates is based on an injection molding process (more information on this industrial process can be obtained from Liang et al., 1993). Data for this process correspond to a representative process of the injection process provided by the industrial partners consulted. The production process requires between 50-70 seconds, 1.88 kWh and below 0.001 L of lubricating oil per box. The losses are between 1.5-6% (mean value 2.75%), but are re-granulated and reintroduced in other injection applications like the production of beer boxes. This waste reconditioning process can be carried out internally or externally.

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The produced crates are packaged by different systems. To calculate the amount of packaging needed (film and PP strapping), data provided by industrial manufacturers were used, which correspond to a consumption of 2 g of film and 0.04 g of PP strapping per crate. The distribution of the crates from the production sites to the points where these boxes are used (cooperatives and other fruit and vegetable producers) was included in the production stage of the boxes. An average distance of 500 km with an average loading rate for trucks of 56% was considered.

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#### 499 **3.2. Use (service life stage)**

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501 One On the one hand, the use stage of cardboard boxes is characterized by the fact that they are 502 employed only once during their useful life. Once they have been manufactured, a box fulfills a 503 transport function and is then sent to a recycler. The impacts associated with the use stage are 504 directly linked to transport. Since cardboard boxes are lighter than plastic crates, the weight of 505 the boxes appears as a very relevant factor. On the other hand, plastic crates can be reused. 506 This implies that, in addition to transport (as in the case of cardboard boxes), in this case other 507 tasks are for the effective reuse with safety and health guarantees, including inspection and 508 washing processes before they can be sent back to fruit and vegetable producers. Unlike in the 509 case of cardboard boxes, the relevant factors for plastic crates are the useful life, the number of 510 rotations per year, the number of fillings and the travel distances for the reverse logistics. In both 511 cases, the complete use stage can be divided in two main phases. The first one includes the 512 transport of fruits and vegetables from the producer (or packaging center) to the final consumer 513 (point of sale), going through the corresponding distribution centers. In the second phase, once 514 the product has been distributed to the points of sale, the packages are collected to be sent back 515 to the distribution logistics centers. Thereafter, the plastic crates are sent to an inspection and 516 cleaning center to be ready for reuse, while the cardboard boxes are sent to a waste manager 517 (Figure 4).

Figure 4

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## 522 **3.2.1.** Distribution from producers to points of sale

523

For the transport phase from the producers (or packaging centers) to the intermediate distribution center, a theoretical percentage of load was calculated assuming that 48 boxes or crates fit in a pallet and 33 pallets in a truck, resulting in 1,584 packages per truck. Since each package carries 15 kg of fruits and vegetables, this implies a total of 720 kg of fruits and vegetables transported per pallet, regardless of the weight of the boxes, crates, and pallets (0.807, 1.790 and 12 kg respectively). Table 2 shows the calculation of the weight of a complete truck considering the specifications of the two types of packages.

531 532

533

#### Table 2

In order to perform a comparative LCA of the 2 systems, a common representative transport for the two systems must be established. From the real movements made by the packages since they are rented until they are collected, the industrial partners have estimated an average distance in both cases of 400 km. For the inventory, a truck has been selected from the GaBi 2016 database. The theoretical percentage of load calculated (Table 2) and used is 94% for cardboard boxes and 100% for plastic crates.

540

The transport of fruits and vegetables from the intermediate distribution center to the final points of sale was considered within the model as a local distribution of the product. The weight of the packages and the corresponding contents were considered to calculate the loading rates of the trucks for the transport to the distribution centers to the point sales. The resulting loading rate was fixed at 89% for cardboard boxes and 95% for plastic crates. The average distance considered from the distribution center to the retailer was 100 km (according to ARECO's data).

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#### 549 **3.2.2.** Backhauling from consumers to reuse or revalorization

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551 The first task in the return logistics is the transport of the packages back from the points of sale 552 to the distribution centers, where only the transport of empty boxes was considered. Although 553 some cardboard boxes can be sent directly to the recycler from the point of sale without going 554 through a logistics center, data are not available. Therefore the model considered that all boxes 555 were sent to the distribution center before being transported to the recycler (from the 556 environmental point of view this fact will not have too much incidence, since the boxes, whether 557 they pass or not through a logistics center, they will be transported to a recycler and will have a 558 truck and a distance associated). The average distance considered was 100 km, as in the case 559 of the transport of fruit and vegetables from the intermediate distribution center to the final points 560 of sale (reverse local distribution).

The backhauling of empty plastic crates is an issue that must be discussed in depth. This task has a very important weight in logistics and system costs, but not so much from an environmental point of view. Due to the foldable nature of the crates, they can be transported efficiently, passing from 48 unfolded to 264 folded crates per pallet. Therefore, one truck exceeds to return the empty crates previously transported by four full trucks. In the worst case, for 4 full truck loads, a truck full of empty boxes and 3 totally empty trucks would be mobilized.

568

569 According to the data provided by the industrial partners, most distribution companies use the 570 backhauling of trucks from the points of sale to transport other goods to the distribution centers 571 (approximately 80% of cases apply this strategy). Therefore, the impact of this transport should 572 be directly attributed to the goods that are being transported back. The remaining 20% of the 573 trucks become empty both in the case of distribution in plastic crates and cardboard boxes. 574 Consequently, the only difference between the two systems is the percentage of trucks (a value 575 of 18% has been considered) that have empty plastic crates as load when return to the distribution 576 centers. A value of 18% was calculated taking into account that 264 empty folded crates fit in a 577 pallet, instead of 48 when they are unfolded, as referred in the technical specifications of the 578 product. The full impact of the transport of these empty crates has been fully attributed to the 579 distribution system in plastic crates. Figure 5 shows a scheme of backhauling logistics with the 580 two types of packaging used, which exemplifies how it was modeled.

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#### Figure 5

The plastic crates are sent from the distribution centers to inspection and washing centers where they are checked and conditioned for a new use. In many cases, the inspection and washing centers are located in the same distribution centers but, in other cases, these empty boxes must be transported to other facilities. Therefore, according to data provided by ARECO, an average distance of 100 km between the distribution centers and the washing centers was assumed, as well as the loading rate (55%).

590

591 In the washing plants, the crates are inspected before washing, and, if they are broken, they are 592 removed and replaced by new ones. The average breakage index by use defined by the industrial 593 partners for this study is 0.51% per use. This has implications for the calculation of the reference 594 flow, that is, to calculate the number of plastic crates needed to comply with the extended use FU 595 that has been defined, since the manufacture of 34,001 additional boxes in the case of the 596 conservative scenario and 51,001 additional boxes in the case of the technical scenario is 597 required. In most cases, the washing centers are managed by the same rental companies of the 598 plastic crates and act at the same time as washing and storage centers.

599

600 The washing process is automatized, and the loading of the boxes can be either manual or 601 automatic. The washing process allows to adjust the temperature, the washing time, or the type 602 and amount of detergent used. After washing, the boxes go through an automatic drying process 603 and are subsequently closed and stored on pallets. The inspection and washing process was 604 modeled according to the data provided by the industrial partners. The consumption of water was 605 defined as 0.5 L/crate and caustic detergent at 0.2% concentration was used. The production of 606 electricity and natural gas was adjusted to the Spanish electric power mix. Once the plastic boxes 607 have been inspected and washed, they are stored and ready to be returned to distribution centers 608 or directly to fruit and vegetable producers (or packaging centers). Based on the data provided 609 by the industrial partners, an average distance between these washing and storage centers and 610 the producers of 200 km and a loading rate of 58% were considered.

611

612 When the packages have ended their lifespan (after unloading the product in the cardboard boxes 613 and after breaking and not being able to be reused more in the case of plastic crates), these are 614 transported to recycling or end-of-life centers. For cardboard boxes, it was assumed that 80% are 615 recycled and used again in the manufacture of new boxes and that the remaining 20% are 616 incinerated in a facility with energy recovery. In both cases, a distance of 100 km from the 617 distribution centers to the treatment installations was considered. The loading rate used is 85% 618 in both cases. The plastic crates that have passed through an inspection and washing center and 619 are not considered suitable for new use are sent to recycling. The average distance that was 620 considered from inspection and washing centers to recyclers (data provided by industrial 621 partners) was 650 km and the loading rate 85%.

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### 624 **3.3. Recycling and valorization (end of life stage)**

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### 3.3.1. Management of cardboard boxes after end of life

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628 To model the end-of-life of cardboard boxes, it was assumed that 80% were recycled and used 629 again in the manufacture of new boxes and that the remaining 20% were incinerated in a facility 630 with energy recovery. Nevertheless, the percentage of boxes that were sent to recycling or 631 incineration was parameterized to be variable, so an analysis of its effects was carried out in the 632 sensitivity analysis. System expansion was applied in order to take into account the environmental 633 savings associated to paper material recovery. Transport and electricity production data were 634 modeled with the GaBi 2016 database, which are representative for the period 2015-2018 (GaBi, 635 2016).

636

The biological CO<sub>2</sub> balance was considered neutral in the study. In other words, the CO<sub>2</sub> that is absorbed during tree growth will end up being released into the atmosphere during the end-of-life process at some point. This implies a series of assumptions in the case of cardboard boxes that were taken into account in the model (**Figure 6**). According to data from Delgado-Aguilar et al. (2015), the number of times that paper fibers can be recycled is 3. This was considered for the

| 642 | material used in the manufacture of paper boxes, since 20% were already recycled fibers and the            |
|-----|--|
| 643 | remaining 80% virgin fibers. At the end-of-life, therefore, it was considered that for 80% of virgin       |
| 644 | fibers are recycled 3 times with their corresponding material savings, while in the case of recycled       |
| 645 | fibers only 2 cycles (with their corresponding saving of materials) were considered. Both waste            |
| 646 | from each of the recycling cycles and the resulting material after the total recycling cycles were         |
| 647 | considered to be incinerated in a plant with energy recovery. This implies the release of all the          |
| 648 | CO <sub>2</sub> that was once absorbed by the trees in the first production cycle. The energy recovered by |
| 649 | the incineration was considered to replace the Spanish electric power mix.                                 |
| 650 |  |
| 651 | Figure 6   |
| 652 |  |
| 653 |  |
| 654 | 3.3.2. Management of plastic crates after end of life  |
| 655 |  |
| 656 | In this study, 100% of the damaged crates that are identified in the inspection stage are sent to a        |
| 657 | recycler. In this work, a degradation rate of the polymer when crediting the amount of virgin plastic      |
| 658 | was considered, taking into account that a recycled polymer only substitutes 0.7 of virgin polymer         |
| 659 | (Albretch et al., 2013).   |
| 660 | Rejections of recycling plants are sent to an incinerator with energy recovery. Transport and              |
| 661 | electricity production data were modeled with the GaBi database. Again, system expansion to                |
| 662 | consider the environmental savings due to plastic recovery was applied.                                    |
| 663 |  |
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| 665 | 4. Results and discussion  |
| 666 |  |
| 667 | 4.1. Life cycle impact assessment  |
| 668 |  |
| 669 | The summary of the overall impacts as disaggregated results is shown in Table 3, including                 |
| 670 | disaggregated emissions and savings, as well as lump-sum total results (emissions minus                    |
| 671 | savings) for both conservative and technical scenarios. Plastic crates present better                      |
| 672 | environmental performance than the cardboard boxes for all the impact categories, which is                 |
| 673 | directly related with a lower consumption of materials from renewable and non-renewable                    |
| 674 | sources. Regarding energy consumption (Table 4), total consumption of primary energy from                  |
| 675 | renewable and non-renewable sources is also lower in the case of plastic crates. It should be              |
| 676 | highlighted that the energy used in the manufacture and distribution of the packages that is               |
| 677 | recovered in the end-of-life phase represents 28% in the case of plastic crates and 74% in the             |
| 678 | case of cardboard boxes in the conservative scenario (in the technical scenario the recovery is            |
| 679 | 24% and 74%, respectively). Table 5 shows the results of the water indicator. As it has been               |
| 680 | observed, total freshwater use is 5 times higher for cardboard crates than for plastic crates for          |
| 681 | the conservative scenario and 6 times for the technical one. The avoided use of water due to the           |

| 682 | recycling of paper is not compensated by the consumption in production and service life stages.        |
|-----|--|
| 683 | Regarding plastic crates, it is worth to mention that cleaning and hygienization of crates before      |
| 684 | being reused represents 32% and 38% of the total freshwater consumption for the conservative           |
| 685 | and technical scenarios respectively.  |
| 686 |  |
| 687 | Table 3  |
| 688 |  |
| 689 | Table 4  |
| 690 |  |
| 691 | Table 5  |
| 692 |  |
| 693 | In order to display a more easily comparable outlook to the obtained results, total environmental      |
| 694 | impacts and energy consumption in both scenarios were relativized with the package contributing        |
| 695 | most to each of the impact categories (cardboard boxes in both cases) as a reference. As shown         |
| 696 | in Figure 7, the results of both scenarios are very similar, just with slightly lower relative impacts |
| 697 | of the plastic crates in the technical scenario. On the one hand, in the conservative scenario, the    |
| 698 | impact category with shortest distance (17.6%) between both packages is AP, while, on the other        |
| 699 | hand, the opposite situation is the ODP category, with a significant (97.4%) difference between        |
| 700 | both values. In terms of greenhouse gas (GHG) emissions, boxes present 87.6% more impact in            |
| 701 | this category in comparison to crates. Despite the important energy savings of the cardboard           |
| 702 | boxes, specifically in the non-renewable category (associated with the savings during the              |
| 703 | incineration that replace the electricity mix of Spain, which contains a large proportion of non-      |
| 704 | renewable energy), these packages have a greater (more than 99.5%) impact on the use of                |
| 705 | renewable primary energy. Therefore, total consumption of renewable and non-renewable energy           |
| 706 | (PE) is favorable to plastic crates, which consume 43% and 41% of the ones consumed by                 |
| 707 | cardboard boxes in the conservative and technical scenarios, respectively.                             |
| 708 |  |
| 709 | Figure 7   |
| 710 |  |
| 711 | To analyze the influence of the impact of the analyzed systems, the results of environmental           |
| 712 | impact were normalized to the mean EU-28 emissions for year 2000 (Sala et al., 2017). This was         |
| 713 | done for the impact categories analyzed with CML 2015 for which these mean emissions were              |
| 714 | available (all those included in the study except for POCP). From the results displayed in Figure      |
| 715 | 8, which correspond to the conservative scenario (the results of the technical scenario are shown      |
| 716 | in Figure S2.1 in the SM), it can be appreciated that the impact categories with the greatest          |
| 717 | contribution to European impact are GWP and AP.  |
| 718 |  |
| 719 | Figure 8   |
| 720 |  |

721 When the difference between single-use cardboard boxes and reusable plastic crates is scaled 722 from the FUs defined in this study to the total number of packages mobilized for the distribution 723 organized in Spain over one year (roughly 550 million fillings according to the data provided by 724 the industrial partners), the impact on the most influential impact category, GWP, would imply an 725 annual saving of -785,240 metric tons of  $CO_2$  eq. for the conservative scenario (Table 6). This 726 amount represents 0.24% of the emissions generated by Spain in 2014 (MAGRAMA 2016). In 727 the case of energy consumption, the annual saving is -2,828 TJ, which represents the 0.29% of 728 the total electric consumption in Spain in 2018 (REE, 2018). When the technical scenario is 729 considered, the saving is even greater given the lower unitary emissions per fillings under the 730 technical conditions (extended effective lifetime of the crates). In fact, the technical scenario is 731 characterized by lower unitary impacts and energy consumptions if compared to the conservative 732 scenario, except in the case of EP category, which appears with totally equivalent unitary impacts 733 (Table 7). The results comparing to Spanish total emissions must be taken carefully, as the 734 numerator is life-cycle based while the denominator relates to direct emissions. 735 736 Table 6 737 738 Table 7 739 740 Figure 9 shows the resulting impacts and energy indicators disaggregated per life cycle stages 741 for both packages in the conservative scenario. A homologous figure for the technical scenario 742 (Figure S3.1) is provided in Section S3 in the SM, together with Tables S3.1-S3.4, which show 743 absolute results for the impact categories and the energy consumption indicators. 744 745 Figure 9 746

747 On the one hand, as observed in Figure 9, for the case of plastic crates, most of the emissions 748 and, thus, environmental impacts, are concentrated in the service life stage, followed by the 749 production stage of the crates. As regards the savings, these are concentrated in the end-of-life 750 stage for all impact categories. Regarding energy consumption, total renewable and non-751 renewable energy consumptions are concentrated in the production stages (44%) and service life 752 (54%), while the energy savings are all concentrated in the end-of-life stage. On the other hand, 753 in the case of cardboard boxes, except for GWP, most of the environmental impacts are 754 concentrated in the production stage of the boxes. In the case of GWP, 38% of the impact is 755 spread in the production stage and 61% in the end-of-life stage. As for savings, except in the case 756 of GWP, in which there is a greater saving in the production stage of the boxes (due to the 757 absorption of CO<sub>2</sub> from biological sources), for the other impacts practically all the savings are 758 associated with the end-of-life stages. With regard to energy consumption, 95% of the total 759 renewable and non-renewable energy consumptions are concentrated in the production stage 760 and 4% in the end-of-life stage, so the contribution of the service life stage to this indicator is only 1%. As for savings, 98.5% are concentrated in the end-of-life stage and the remaining 1.5% in
the production stage. Further information about the relative contribution of each process to the
total impacts and energy indicators in each stage is shown in Section S4 in the SM.

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### 766 **4.2. Sensitivity analysis**

A sensitivity study was conducted to analyze the influence on the results of some of the parameters which were employed. The objective of this sensitivity analysis is to determine how robust the results are and find out if any of the variables may modify the tendencies obtained. The parameters that were modified are compiled in **Table 8**. Those variables that affect reusable plastic crates and those that affect single-use cardboard boxes were distinguished and a separate analysis was performed.

## 773

774 775

In all cases, Equation 1 was used to determine the improvement or not in the environmentalimpact of the packages by each variable:

Table 8

778

$$\Delta IA = 100 \frac{IA_M - IA_B}{IA_B} \tag{1}$$

779

where  $\Delta IA$  is the environmental impact variation,  $IA_M$  the environmental impact with the modified parameter and  $IA_B$  the environmental impact of the baseline scenario. Therefore, a positive value implies that the option analyzed is worse than the baseline scenario, while a negative value means that the modified option has less environmental impact than the baseline scenario.

784

785 The results of the variation of the parameters on the environmental impacts of plastic crates boxes 786 in relation to the baseline conservative scenario are detailed in Figure 10. As shown, none of the 787 analyzed parameters produced a significant variation in the results (the corresponding 788 environmental impact variations are below  $\pm$  25%). The parameter that has the greatest effect is 789 P9 (corresponding to the percentage of plastic crates that are recycled at the end of the useful 790 life of the boxes), with increases in GWP (+24%) and ODP (+11%), when the recycle percentage 791 is reduced from 100 to 50%. It must be mentioned that POCP is a highly influenced impact 792 category (between +12% for P11b to -12% in P6) and appears as the category with highest 793 modification for most analyzed parameters.

## 794

795

### 796

#### Figure 10

797 The influence on results of the environmental impacts of cardboard boxes in relation to the impact 798 of plastic boxes in the baseline conservative scenario by modifying the parameters are shown in 799 Figure 11. Unlike in the case of plastic crates, in this case, there is one parameter (P1, corresponding to the cardboard quality) for which the variation in all impact categories, with the
 exception GWP (-18% variation), is significantly improved (in the range from -37 to -121%).

802 803

804

#### Figure 11

805 Parameter P1 defines the proportions of the different types of paper pulp in the production of the 806 cardboard boxes. The production of cardboard boxes for transport of fruits and vegetables has 807 high fluting ratios (63% semi-chemical pulp fluting and 37% Kraftliner for liners was the baseline 808 formulation considered in this work) because high quality materials are required to fulfill the 809 functions undertaken by the boxes. The baseline use of secondary fibers in the manufacture of 810 the boxes has been estimated at 13%. The variation in the values of parameter P1 does not refer 811 so much to the fact that there is a high uncertainty in the starting data used, and in particular in 812 this mix, but to study the influence of the quality on the environmental impacts. It is worth 813 mentioning that the variation in the proportions of the different types of paper (10% semi-chemical 814 pulp, 22% Kraftliner, 34% Wellenstoff and 34% Testliner) was carried out as a hypothetical 815 exercise. This composition does not correspond to any existing box in the market and there is no 816 technical demonstration to prove if they could technically fulfill their function. The results of varying 817 this parameter (Table 9 compares the impacts of the cardboard boxes in the modified and 818 baseline situations) imply very significant improvements since recycled paper is given a value of 819 zero environmental impact, since it is considered that recycled paper has no environmental 820 burden, unlike the production of virgin paper. Therefore, a baseline situation where the proportion 821 of recycled paper is 13% was compared to an improved situation with 71% recycled paper. 822 Further details of the sensitivity analysis, particularly about the results of the modification of the 823 resting parameters can be consulted in Section S5 of the Supplementary Material.

#### Table 9

827 Finally, once the effects of the parameters that could influence the environmental impacts of the 828 packages were analyzed, a comparative analysis was carried out to determine if the application 829 of these parameters would change the preference in the use of plastic crates with respect to the 830 cardboard boxes. Table 10 compiles the results of the sensitivity analysis of all the parameters 831 analyzed. The analysis scenarios and environmental impact indicators have been represented in 832 the table, highlighting which of the two options (reusable plastic crates or single-use cardboard 833 boxes) is the best alternative in each case, with a confidence degree of 25% (the environmental 834 impact of a package for a given category of impact is 25% higher or lower than the other option). 835 This confidence degree was considered a wide enough margin to compensate the effects due to 836 possible uncertainty of the data used in the inventory.

Table 10

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824 825

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838

840 As observed in Table 10, for all the parameters modified in the sensitivity analysis, apart from P1 841 and P2, the clear environmental preference towards the selection of reusable plastic crates 842 instead of single-use cardboard boxes is maintained. This fact is valid for all the impact categories 843 and energy indicators, with the exception of AP, where the impact of one or another package can 844 be considered similar. On the one hand, regarding P1, it should be noted that this analysis was 845 intended to perform a theoretical exercise to see the influence on the results of using material in 846 its manufacture of worse quality, without considering whether this could be technically 847 implemented. The exercise reveals that, in this case, there would be a significant decrease in the 848 environmental impacts of the cardboard boxes, which would give preference to single-use 849 cardboard boxes for AP, EP and PE. On the other hand, regarding P2, the sensitivity analysis 850 has considered that 100% of the cardboard boxes are recycled at the end of their useful life and 851 incineration is avoided. Fully recycling the boxes would produce savings between 1% and 39% 852 in the different impact categories analyzed, and 46% in energy consumption compared to the 853 baseline conservative scenario. Nevertheless, even under these new improved conditions, plastic 854 crates could be preferred after the comparison of the corresponding impacts, because only AP 855 appeared as the favorable category for the boxes, while the energy consumption could be 856 considered equivalent for both options.

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### 859 **5. Conclusions**

860

861 The current study evaluated the environmental impact of the distribution of fruits and vegetables 862 in Spain using two different types of packages: reusable plastic crates and single-use cardboard 863 boxes. Two different scenarios were considered as a function of the lifetime of the crates and the 864 number of annual rotations: the conservative one was defined by 10 years lifetime and 10 865 rotations per year, while the technical one considered 10 years too, but the number of rotations 866 increased to 15. In addition, a sensitivity analysis was carried out modifying 11 parameters of the 867 model to study the influence of these possible variations of the system on the selection of the 868 most preferable packaging option.

869

The results showed that reusable plastic crates implied significantly lower environmental impacts than the single-use cardboard boxes. All impact categories and energy consumption indicators were lower in the case of crates in both scenarios, except POCP, which could be considered comparable for both packages when taking into account a 25% security margin in the results to manage the uncertainty of the model and the data used.

875

876 On the one hand, the highest environmental impact of the cardboard boxes was related to the 877 manufacturing stage (forestry, wood supply, and production), while the savings were 878 concentrated in the end-of-life, mainly due to the recovery of secondary paper fibers. On the other 879 hand, the highest environmental impact of the plastic crates was related to the use stage (including the backhaul from the points of sale to the distribution centers, the inspection and sanitation processes and the transport of the crates back to the fruit and vegetables producers), followed by the manufacturing stage (production of granulated polymer). Once again, the savings were also concentrated in the end-of-life stage due to the recovery of recycled plastic chips. Nevertheless, further research must be carried out to improve the design of these types of packages in order to minimize their environmental impacts, for instance, considering the employment of more environmentally friendly bioplastics.

887

888 The sensitivity analysis revealed that among the 11 parameters evaluated, only two presented 889 remarkable influence on the results: the plastic recyclability ratio and the quality of the cardboard 890 employed in the manufacture of the boxes. Nevertheless, the sensitivity analysis displayed a clear 891 preference for plastic crates in comparison with cardboard boxes. In most cases, plastic crates 892 had at least 25% lower impact than cardboard boxes, and a comparable impact in the remaining 893 cases, although an exception was identified. The hypothetic reduction of the guality of the 894 cardboard (from 63% virgin semi-chemical pulp for fluting and 37% virgin Kraftliner for liner to 895 10% virgin semi-chemical pulp and 34% recycled Wellenstoff for fluting and 22% virgin Kraftliner 896 and 34% recycled Testliner for liner), composition that has not been experimentally tested as 897 feasible, would result in 25% lower impacts for cardboard boxes in comparison to plastic crates 898 in POCP, AP and energy consumption.

899

900 To sum up, the use of a multiple-use plastics solution rather than other packaging materials is 901 justified in this case study, a case which is probably extendable to many other situations. 902 However, for this preference to be true, supply chains must guarantee the correct management 903 of the end-of-life processes. Otherwise, plastics lose attractiveness as a packaging option and 904 may engender multiple problems when disposed inadequately, arriving to the natural environment 905 as a hazard to biota. Therefore, we advocate for a case by case analysis of the appropriateness 906 of using plastics for packaging, acknowledging the situations in which it will be the preferred option 907 over other materials, but also identifying those supply chains in which its use multiples the 908 environmental burdens that may arise.

909

910 For a decision from these types of comparisons to be fair, it is absolutely important that the study 911 is transparent and critically reviewed by a panel of independent experts, with knowledge in LCA 912 methodology and auditing procedures.

- 913
- 914

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916

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| 1157 | Abbreviations |  |  |  |  |
|------|---------------|--|--|--|--|
| 1158 |               |  |  |  |  |
| 1159 | AP            | Acidification Potential                  |  |  |  |
| 1160 | EP            | Eutrophication Potential                 |  |  |  |
| 1161 | GWP           | Global Warming Potential                 |  |  |  |
| 1162 | HDPE          | High-density polyethylene                |  |  |  |
| 1163 | FLW           | Food loss and waste                      |  |  |  |
| 1164 | FU            | Functional unit                          |  |  |  |
| 1165 | LCA           | Life Cycle Assessment                    |  |  |  |
| 1166 | LCI           | Life Cycle Inventory                     |  |  |  |
| 1167 | LCIA          | Life Cycle Impact Assessment             |  |  |  |
| 1168 | ODP           | Ozone Depletion Potential                |  |  |  |
| 1169 | PE            | Use of Primary Energy                    |  |  |  |
| 1170 | PE-NR         | Use of Primary Non-Renewable Energy      |  |  |  |
| 1171 | PE-R          | Use of Primary Renewable Energy          |  |  |  |
| 1172 | POCP          | Photochemical Oxidant Creation Potential |  |  |  |
| 1173 | PP            | Polypropylene                            |  |  |  |
| 1174 |               |  |  |  |  |
| 1175 |               |  |  |  |  |

| 1176 | Captions   |
|------|--|
| 1177 |  |
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| 1191 |  |
| 1192 |  |
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| 1200 | Warming Potential (GWP) (based on Albrech et al, 2009).  |
| 1201 | Figure 7: Relative results for the comparison of the impacts of both packages in the conservative  |
| 1202 | (a) and technical (b) scenarios.   |
| 1203 | Figure 8: Environmental impact results normalized to the average regional emissions of Europe      |
| 1204 | 25 (+3) for the year 2000 in the conservative scenario.  |
| 1205 | Figure 9: Relative contributions of each life cycle stage to the environmental indicators in the   |
| 1206 | conservative scenario.   |
| 1207 | Figure 10: Results of the sensitivity analysis of the variables that have influence on reusable    |
| 1208 | plastic crates.  |
| 1209 | Figure 11: Results of the sensitivity analysis of the variables that have influence on single-use  |
| 1210 | cardboard boxes.   |
| 1211 |  |
| 1212 |  |

Table 1: Impact categories analyzed in this study.

## 

| Impact category                                 | Measuring unit                      |
|---|-------------------------------------|
| Use of primary energy (PE)                      | MJ                                  |
| Use of primary renewable energy (PE-R)          | MJ                                  |
| Use of primary non-renewable energy (PE-NR)     | MJ                                  |
| Global warming potential (GWP)                  | kg CO₂ eq                           |
| Ozone layer depletion potential (ODP)           | kg R11 eq                           |
| Acidification potential (AP)                    | kg SO <sub>2</sub> eq               |
| Eutrophication potential (EP)                   | kg phosphate eq                     |
| Photochemical oxidant creation potential (POCP) | kg C <sub>2</sub> H <sub>4</sub> eq |
|   |                                     |

# 1217 Table 2: Assessment of truck loads for transport from producers to distribution centers.

| Specifications                | Cardboard boxes | Plastic crates |
|-------------------------------|-----------------|----------------|
| Package content (kg)          | 15              | 15             |
| Package weight (kg)           | 0.807           | 1.790          |
| Pallet weight (kg)            | 12              | 12             |
| Number of packages per pallet | 48              | 48             |
| Loaded pallet weight (kg)     | 771             | 818            |
| Number of pallets per truck   | 33              | 33             |
| Total truck load (kg)         | 25,434          | 26,994         |
| Maximum truck load (kg)       | 27,000          | 27,000         |
| Loading rate (%)              | 94              | 100            |
|                               |                 |                |

|           |                       | Conservative scenario |                 | Technical scenario |                 |
|-----------|-----------------------|-----------------------|-----------------|--------------------|-----------------|
| Impact    | Unit                  | Plastic crates        | Cardboard boxes | Plastic crates     | Cardboard boxes |
| Emissions |                       |                       |                 |                    |                 |
| AP        | kg SO <sub>2</sub> eq | 4,924                 | 18,505          | 7,069              | 27,758          |
| EP        | kg phosphate eq       | 1,011                 | 6,164           | 1,480              | 9,246           |
| GWP       | kg CO <sub>2</sub> eq | 1,638,163             | 32,279,558      | 2,296,227          | 48,419,337      |
| ODP       | kg R11 eq             | 0.002                 | 0.081           | 0.003              | 0.121           |
| POCP      | kg C₂H₄ eq            | 496                   | 1,640           | 660                | 2,461           |
| Savings   |                       |                       |                 |                    |                 |
| AP        | kg SO <sub>2</sub> eq | 912                   | 13,638          | 1,066              | 20,457          |
| EP        | kg phosphate eq       | 108                   | 3,789           | 127                | 5,683           |
| GWP       | kg CO <sub>2</sub> eq | 290,450               | 21,413,737      | 339,499            | 32,120,606      |
| ODP       | kg R11 eq             | 0.000                 | 0.004           | 0.000              | 0.005           |
| POCP      | kg C₂H₄ eq            | 30                    | 777             | 36                 | 1,166           |
| Total     |                       |                       |                 |                    |                 |
| AP        | kg SO <sub>2</sub> eq | 4,012                 | 4,867           | 6,002              | 7,301           |
| EP        | kg phosphate eq       | 902                   | 2,376           | 1,353              | 3,563           |
| GWP       | kg CO <sub>2</sub> eq | 1,347,713             | 10,865,821      | 1,956,728          | 16,298,731      |
| ODP       | kg R11 eq             | 0.002                 | 0.077           | 0.003              | 0.116           |
| POCP      | kg C₂H₄ eq            | 466                   | 863             | 624                | 1,295           |

Table 3: Absolute environmental impacts in the two scenarios considered.

|                  |      | Conservative scenario |                 | Technic        | cal scenario    |
|------------------|------|-----------------------|-----------------|----------------|-----------------|
| Energy indicator | Unit | Plastic crates        | Cardboard boxes | Plastic crates | Cardboard boxes |
| Consumption      |      |                       |                 |                |                 |
| PE               | MJ   | 36,157,015            | 229,069,722     | 48,720,993     | 343,604,583     |
| PE-NR            | MJ   | 33,098,358            | 79,062,871      | 44,373,586     | 118,594,306     |
| PE-R             | MJ   | 3,058,656             | 150,006,851     | 4,347,407      | 225,010,277     |
| Saving           |      |                       |                 |                |                 |
| PE               | MJ   | 10,132,653            | 168,764,773     | 11,843,774     | 253,147,159     |
| PE-NR            | MJ   | 9,951,176             | 129,884,217     | 11,631,651     | 194,826,326     |
| PE-R             | MJ   | 181,477               | 38,880,555      | 212,123        | 58,320,833      |
| Total            |      |                       |                 |                |                 |
| PE               | MJ   | 26,024,361            | 60,304,949      | 36,877,219     | 90,457,424      |
| PE-NR            | MJ   | 23,147,182            | -50,821,347     | 32,741,935     | -76,232,020     |
| PE-R             | MJ   | 2,877,179             | 111,126,296     | 4,135,284      | 166,689,444     |

Table 4: Absolute energy indicators in the two scenarios considered.

|                           | Conservative scenario |                 | Technic        | cal scenario    |
|---------------------------|-----------------------|-----------------|----------------|-----------------|
| Total freshwater use (tn) | Plastic crates        | Cardboard boxes | Plastic crates | Cardboard boxes |
| Production                | 333,829               | 5,418,017       | 390,203        | 8,127,026       |
| Service life              | 161,693               | 8,030           | 242,539        | 12,045          |
| End of life               | 6,781                 | -2,903,904      | 7,927          | -4,355,856      |
| Total                     | 502,304               | 2,522,144       | 640,669        | 3,783,215       |

Table 5: Total freshwater use in the two scenarios considered.

|                         |                                 | Conservative scenario |                 | Technical scenario |                 |  |
|-------------------------|---------------------------------|-----------------------|-----------------|--------------------|-----------------|--|
| Indicator               | Unit                            | Plastic crates        | Cardboard boxes | Plastic crates     | Cardboard boxes |  |
| GWP                     | kg CO <sub>2</sub> eq           | 1,347,713             | 10,865,821      | 1,956,728          | 16,298,731      |  |
| FU                      | fillings                        | 6,666,700             | 6,666,700       | 10,000,050         | 10,000,050      |  |
| Unitary GWP             | kg CO <sub>2</sub> eq / filling | 0.202                 | 1.630           | 0.196              | 1.630           |  |
| Real scale distribution | fillings / year                 | 550,000,000           | 550,000,000     | 550,000,000        | 550,000,000     |  |
| Annual GWP              | kg CO₂ eq / year                | 111,185,757           | 896,425,724     | 107,619,516        | 896,425,724     |  |
| Annual GWP savings      | kg CO <sub>2</sub> eq / year    | -785,239,967          |                 | -788,806,208       |                 |  |
|                         |                                 |                       |                 |                    |                 |  |
|                         |                                 | Conservative scenario |                 | Technical scenario |                 |  |
| Indicator               | Unit                            | Plastic crates        | Cardboard boxes | Plastic crates     | Cardboard boxes |  |
| PE                      | MJ                              | 26,024,361            | 60,304,949      | 36,877,219         | 90,457,424      |  |
| FU                      | fillings                        | 6,666,700             | 6,666,700       | 10,000,050         | 10,000,050      |  |
| Unitary PE              | MJ / filling                    | 3.904                 | 9.046           | 3.688              | 9.046           |  |
| Real scale distribution | fillings / year                 | 550,000,000           | 550,000,000     | 550,000,000        | 550,000,000     |  |
| Annual PE               | • •                             |                       | 4,975,133,433   | 2,028,236,914      | 4,975,133,433   |  |
| Annual PE               | GJ / year                       | 2,146,999             | 4,975,133       | 2,028,237          | 4,975,133       |  |
| Annual PE savings       | GJ / year                       | -2,828,134            |                 | -2,946,897         |                 |  |

Table 6: Resulting savings derived from the scaling to 550 million units of packages mobilized in Spain annually.

| Impacts | Unit  | Conservative scenario  | Technical scenario     | Ratio Technical/Conservative (%) |
|---------|---|------------------------|------------------------|----------------------------------|
| AP      | kg SO2 eq/filling                           | 6.02·10 <sup>-4</sup>  | 6.00·10 <sup>-4</sup>  | 99.8                             |
| EP      | kg phosphate eq/filling                     | 1.35·10 <sup>-4</sup>  | 1.35·10 <sup>-4</sup>  | 100.0                            |
| GWP     | kg CO2 eq/filling                           | 2.02·10 <sup>-1</sup>  | 1.96·10 <sup>-1</sup>  | 96.8                             |
| ODP     | kg R11 eq/filling                           | 2.98·10 <sup>-10</sup> | 2.95·10 <sup>-10</sup> | 99.0                             |
| POCP    | kg C <sub>2</sub> H <sub>4</sub> eq/filling | 6.99·10 <sup>-5</sup>  | 6.24·10 <sup>-5</sup>  | 89.4                             |
| PE      | MJ/filling                                  | 3.90                   | 3.69                   | 94.5                             |

Table 7: Unitary environmental impacts and energy indicators per filling of the plastic crates in the two scenarios considered.

| Reference | Sensitivity parameter   | Baseline | Variation |
|-----------|---|----------|-----------|
| P1        | Percentage of (semi-chemical) virgin fluting in the cardboard box               | 63%      | 10%       |
|           | Percentage of virgin liner (Kraftliner) in the cardboard box                    | 37%      | 22%       |
|           | Percentage of recycled fluting (Wellenstoff) in the cardboard box               | 0%       | 34%       |
|           | Percentage of recycled liner (Testliner) in the cardboard box                   | 0%       | 34%       |
| P2        | Percentage of cardboard boxes recycled at the end of the service live           | 80%      | 100%      |
| P3        | Value of secondary paper fibers in relation to Wellenstoff                      | 90%      | 100%      |
| P4        | Percentage of recycled plastic used to manufacture the crates                   | 0%       | 30%       |
| P5        | Percentage of virgin HDPE used to manufacture the crates                        | 57%      | 100%      |
| P6        | Percentage of virgin PP used to manufacture the crates                          | 43%      | 100%      |
| P7a       | Losses of granulate during the production of the crates                         | 2.75%    | 1.50%     |
| P7b       | Losses of granulate during the production of the crates                         | 2.75%    | 6.00%     |
| P8a       | Breakage index of the plastic crates during their service life                  | 0.51%    | 0.20%     |
| P8b       | Breakage index of the plastic crates during their service life                  | 0.51%    | 0.70%     |
| P9        | Percentage of plastic crates recycled at the end of the service life            | 100%     | 50%       |
| P10       | Value of the secondary plastic material in relation to the primary raw material | 70%      | 100%      |
| P11a      | Number of annual rotations  | 10       | 12        |
| P11b      | Number of annual rotations  | 10       | 8         |

Table 8: Parameters and values of the sensitivity analysis.

| Impact category | ∆IA Plastic crates (%) | $\Delta$ IA Cardboard boxes (%) |
|-----------------|------------------------|---------------------------------|
| AP              | 0                      | -118                            |
| EP              | 0                      | -75                             |
| GWP             | 0                      | -18                             |
| ODP             | 0                      | -37                             |
| POCP            | 0                      | -54                             |
| PE              | 0                      | -121                            |

Table 9: Results of the sensitivity analysis for variation of P1 (quality of material in the manufacture of cardboard boxes).

Table 10: Summary of the sensitivity analysis and the corresponding best options in each case.

| Soonaria | Impact categories                  |    |     |     |      |    |
|----------|------------------------------------|----|-----|-----|------|----|
| Scenario | AP                                 | EP | GWP | ODP | POCP | PE |
| Baseline |                                    |    |     |     |      |    |
| P1       |                                    |    |     |     |      |    |
| P2       |                                    |    |     |     |      |    |
| P3       |                                    |    |     |     |      |    |
| P4       |                                    |    |     |     |      |    |
| P5       |                                    |    |     |     |      |    |
| P6       |                                    |    |     |     |      |    |
| P7a      |                                    |    |     |     |      |    |
| P7b      |                                    |    |     |     |      |    |
| P8a      |                                    |    |     |     |      |    |
| P8b      |                                    |    |     |     |      |    |
| P9       |                                    |    |     |     |      |    |
| P10      |                                    |    |     |     |      |    |
| P11a     |                                    |    |     |     |      |    |
| P11b     |                                    |    |     |     |      |    |
|          | Best option plastic crates (>25%)  |    |     |     |      |    |
|          | Similar options (<>25%)            |    |     |     |      |    |
|          | Best option cardboard boxes (>25%) |    |     |     |      |    |



Figure 1: Examples of the packages considered in this study.

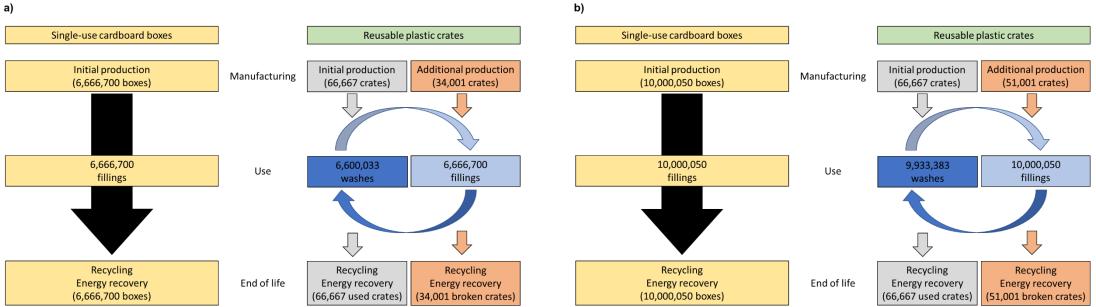


Figure 2: Overview of the system characteristics and flows over the life cycle in the conservative (a) and technical (b) scenarios.

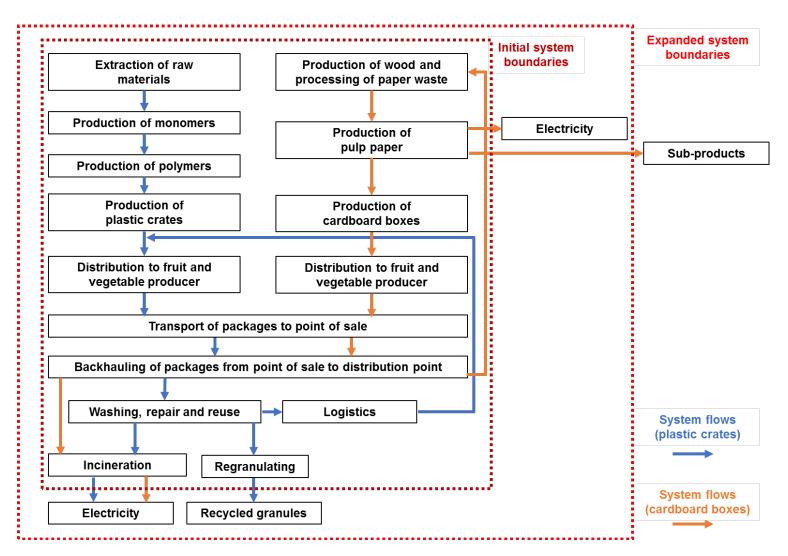


Figure 3: Schematic view of the boundaries of the initial and expanded systems.

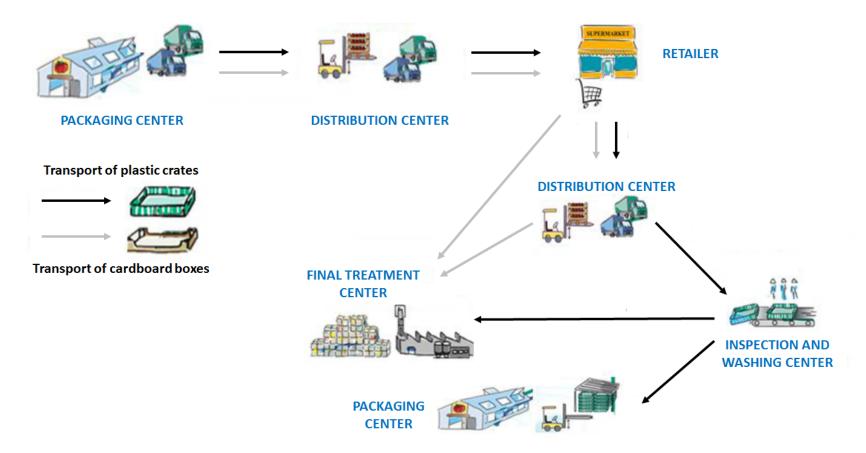
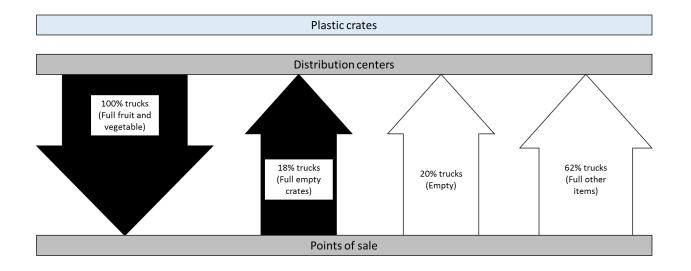


Figure 4: Graphic scheme of the logistics of both package systems.



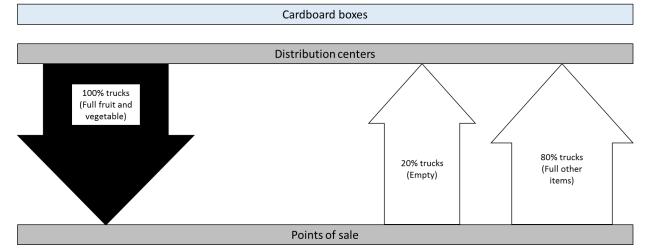


Figure 5: Graphic comparison of the backhauling of both package systems.

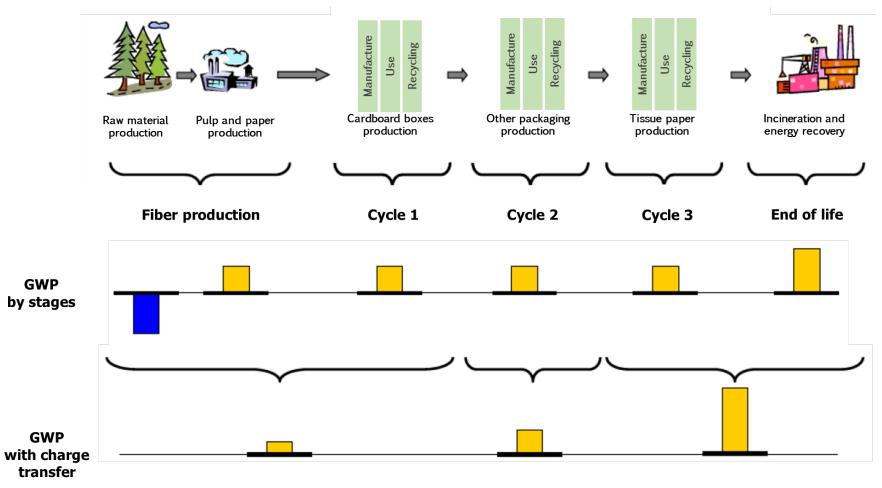
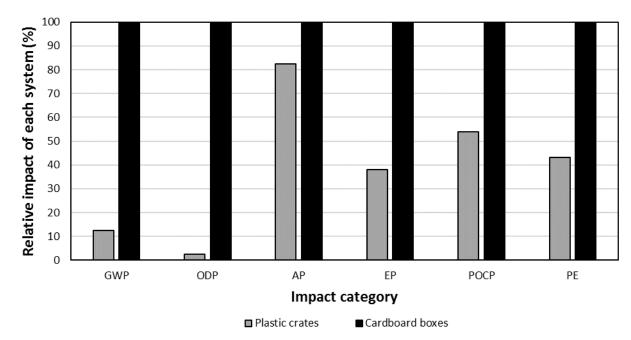


Figure 6: Considerations of biologic CO<sub>2</sub> and number of fiber recycling cycles in the Global Warming Potential (GWP) (based on Albrech et al, 2009).



b)

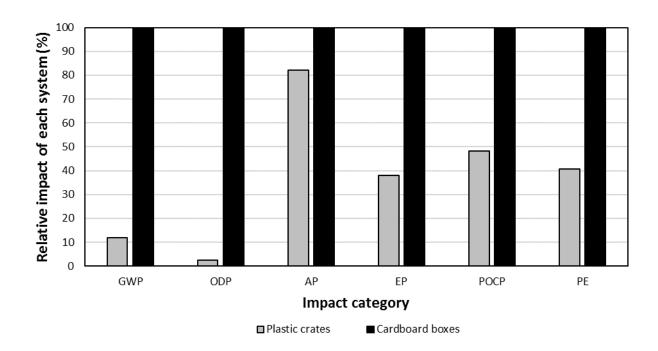


Figure 7: Relative results for the comparison of the impacts of both packages in the conservative (a) and technical (b) scenarios.

a)

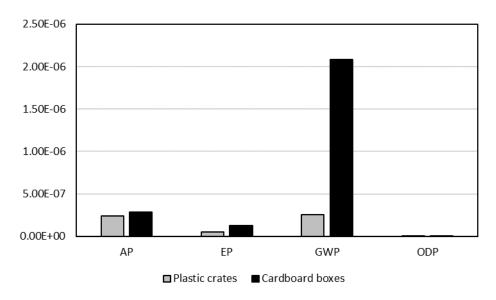


Figure 8: Environmental impact results normalized to the average regional emissions of Europe 25 (+3) for the year 2000 in the conservative scenario.

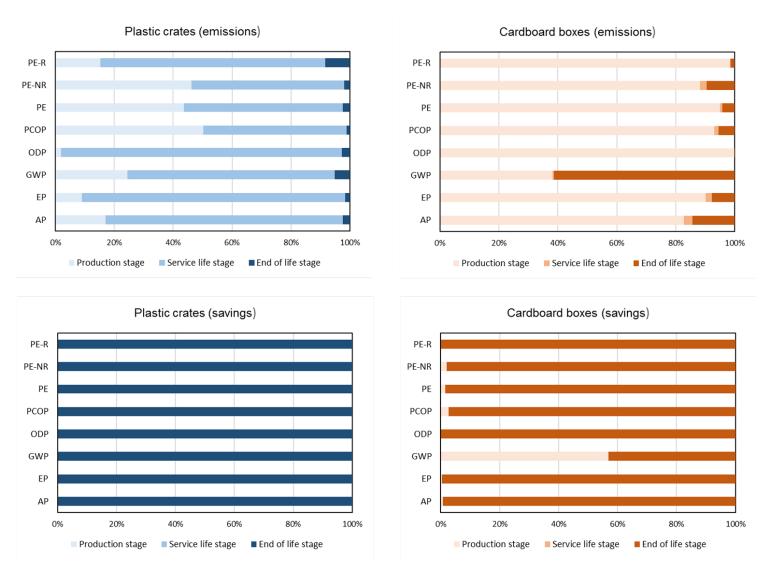


Figure 9: Relative contributions of each life cycle stage to the environmental indicators in the conservative scenario.

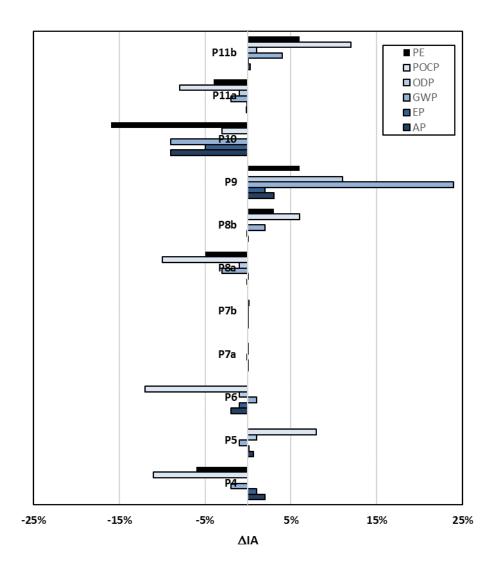


Figure 10: Results of the sensitivity analysis of the variables that have influence on reusable plastic crates.

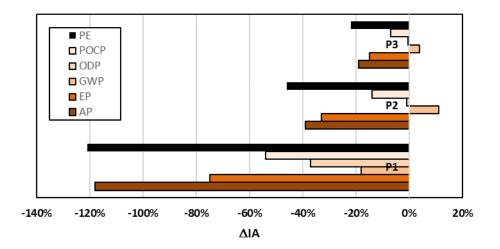


Figure 11: Results of the sensitivity analysis of the variables that have influence on single-use cardboard boxes.