

1           **Application of landscape mosaics for the biological quality assessment of subtidal**  
2           **macroalgae communities using the CFR index.**

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11  
12  
13       **Abstract**

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15       The assessment of anthropogenic impacts on coastal waters is an important task to accomplish  
16       under the European Water Framework Directive (WFD 2000/60/EEC). Macroalgae are one of  
17       the biological quality elements that must be considered, but their assessment has been generally  
18       limited to intertidal areas due to the difficulties and costs associated with working in subtidal  
19       areas. In this work, the suitability of using landscape mosaicing techniques is analyzed for the  
20       application of the “Quality of Rocky Bottoms” index (CFR by its Spanish acronym) in subtidal  
21       areas. For this purpose, the sensitivity and accuracy of both the indicators that compose the CFR  
22       index (characteristic macroalgae coverage, fraction of opportunistics and characteristic  
23       macroalgae richness) and the index itself were tested against different sampling surfaces and  
24       validated through direct applications of the CFR carried out *in situ* by scuba divers. The study  
25       was carried out at three sites, located on the coast of Cantabria (N. Spain), covering a variety of  
26       environmental conditions (depth ranges and anthropogenic pressures). Underwater video  
27       transects of 5-20 m length were recorded by scuba divers and processed with specialized  
28       software to build continuous image mosaics of the assessment sites. Each mosaic was inserted  
29       into a Geographical Information System where all distinguishable macroalgae species were  
30       identified and their coverages were estimated. Replicated subsamples of different areas (0.25  
31       m<sup>2</sup>, 0.5 m<sup>2</sup>, 1 m<sup>2</sup> and 2.5 m<sup>2</sup>) were tested from each mosaic for the estimation of both the single  
32       indicators and the CFR index itself. Main results showed that larger subsample areas produced  
33       higher and more accurate CFR values, mainly related to higher richness values and to smaller  
34       variability within the replicates. Accordingly, the minimum sample size required to carry out  
35       this type of studies was estimated to be of 2.5 m<sup>2</sup>, showing no significant differences with the  
36       total mosaics. At this spatial scale, the assessments of the CFR index using mosaics showed a  
37       significant correlation and an excellent agreement with the results obtained *in situ*. In summary,  
38       underwater video mosaicing techniques proved to be a useful tool for the application of the CFR  
39       index and could also be of great interest for the study of subtidal environments by allowing  
40       visualization of extensive seafloor areas.

41  
42       **Keywords:** Landscape mosaics, CFR index, subtidal macroalgae, sample size, Water  
43       Framework Directive.

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## 48 **1. Introduction**

49

50 During the last decade, the requirements established by the European Water Framework  
51 Directive (WFD 2000/60/EEC) have motivated the development of several biotic  
52 indices for the assessment of different biological quality elements (phytoplankton,  
53 macroalgae, angiosperms, benthic invertebrates and fishes). Regarding macroalgae  
54 communities, most European countries have limited their assessments to the intertidal  
55 fringe due to costs and difficulties associated with working in subtidal areas. In this  
56 sense, most of the developed indices have been focused on their application to intertidal  
57 areas (e.g., Ballesteros *et al.* 2007; Bermejo *et al.*, 2012; Díez *et al.*, 2012a; Neto *et al.*,  
58 2012; Orfanidis *et al.* 2003; Pinedo *et al.* 2007; Wells *et al.*, 2007) but only a few of  
59 them are appropriate for subtidal areas (Derrien and Legal, 2010; Carpentier *et al.*,  
60 2011) or for both intertidal and subtidal areas (Juanes *et al.*, 2008). Most of these  
61 indices require precise species identifications, which makes their application in subtidal  
62 areas difficult. However, the “Quality of Rocky Bottoms” index (CFR by its Spanish  
63 acronym) (Juanes *et al.*, 2008; Guinda *et al.*, in preparation) uses an easy to apply  
64 methodology that does not require very precise taxonomical identifications because it is  
65 based in the assessment of general coverages of large characteristic macroalgae and  
66 opportunistic species. This simplification of the assessment procedure makes fast  
67 application of the index possible, which is very practical for extensive monitoring works  
68 or for its application to subtidal areas.

69

70 Most of the studies carried out at subtidal rocky bottoms require *in situ* sampling works  
71 that are usually performed by scuba divers. These studies are very time-consuming  
72 because they require visual assessments at various sampling units or quantitative sample  
73 collection works. In the case of visual assessments, divers must be skilled in taxonomic  
74 identification and assessment procedures and quantitative sample collections are  
75 extremely time-consuming and limited to small sampling areas. These inconveniences  
76 reduce the number of sampling units and the total areas that can be covered at each dive.  
77 To facilitate these surveys, other sampling techniques, such as underwater photography  
78 and video, have been used as an alternative. Photo-transects techniques have been  
79 successfully used for the study of several aspects regarding benthic communities, such  
80 as their structure and dynamics (Garrabou *et al.*, 2002), long-term temporal changes

81 (Kollmann and Stachowitsch, 2001), continuous changes along depth gradients (Smale,  
82 2008), coral reefs recovery after hurricane impacts (Coles and Brown, 2007), algal beds  
83 ecological monitoring (Ducrotoy and Simpson, 2001) and general monitoring works  
84 (Van Rein *et al.*, 2011). Video techniques have been applied by Norris *et al.* (1997) for  
85 the assessment of subtidal seagrasses or, combined with hydroacoustic techniques, for  
86 the seafloor substrate classification (Rooper and Zimmermann, 2007). Combinations of  
87 underwater imagery and hydroacoustic techniques, together with modelling and  
88 automated classification techniques, have been useful for the development of predictive  
89 habitat distribution maps (Ierodiaconou *et al.*, 2011; Holmes *et al.*, 2008), which are  
90 very valuable for the extensive management of subtidal areas.

91

92 In addition to the reduced scientific expertise needed for divers and the reduced diving  
93 times needed for video recordings or photographs, these techniques provide the added  
94 benefit of permanent visual records, which can be later analyzed in the laboratory,  
95 looking for additional information in the images. However, one of the main weaknesses  
96 of the photography and video surveys is their low resolution; species must be identified  
97 from a photograph or from individual video frames, which can be difficult in case of  
98 small-sized organisms. This limitation is partially compensated by the possibility of  
99 having information from large surveyed areas, which are especially attractive for  
100 extensive assessments or monitoring studies. A step forward in this sense has been  
101 achieved with the development of video and photo mosaicing techniques (e.g. Gracias  
102 and Santos-Victor, 1998; Marks *et al.*, 1995; Rzhhanov *et al.*, 2000; Rzhhanov *et al.*,  
103 2007) that allow the creation of large images of the seafloor by mosaicing several  
104 photographs or video frames, thus providing a wide vision of the structure and  
105 composition of benthic assemblages in the surveyed area. In this aspect, Parravicini *et*  
106 *al.* (2009) and Kaiser (2003) considered that sampling unit size, rather than sampling  
107 method, is the crucial factor to take into account in sampling design. Consequently, it is  
108 necessary to define, according to the pursued objectives, the minimum sampling area  
109 required for each type of study. Most studies of subtidal environments use small  
110 sampling quadrats that generally range between 0.025 and 1 m<sup>2</sup> (e.g. Carpentier *et al.*,  
111 2011; Alvaro *et al.*, 2008; Garrabou *et al.*, 2002; Parravicini *et al.*, 2010). In contrast,  
112 video mosaic analyses are based on records of wide areas that can range between 10 and  
113 600 m<sup>2</sup> (e.g. Lirman *et al.*, 2007; Lirman *et al.*, 2010; Ludvigsen *et al.*, 2007) and  
114 subsampling of different quadrats with areas between 0.25 and 1 m<sup>2</sup> (Lirman *et al.*,

115 2007; Lirman *et al.*, 2010). Video-mosaicing techniques have been used in different  
116 types of underwater studies, such as the assessment of coral reefs status (Lirman *et al.*,  
117 2007), recovery of reefs after injuries suffered by vessel groundings (Lirman *et al.*,  
118 2010) and by hurricane impacts (Gleason *et al.*, 2007). Besides biological applications,  
119 these techniques have been also used in deep-sea archaeological surveys (Søreide and  
120 Jasinski, 2005; Ludvigsen *et al.*, 2007). Geographic Information Systems (GIS) provide  
121 a very useful tool for these applications, as they allow carrying out spatial analyses (e.g.  
122 estimation of coverage percentages of different biological species) over large geo-  
123 referenced videomosaics (Jerosch *et al.* 2006).

124

125 The use of Remotely Operated Vehicles (ROVs) and underwater towed cameras has  
126 provided an additional tool to survey deep subtidal areas (e.g Guinan *et al.*, 2009;  
127 Lorance *et al.*, 2006; Norcross *et al.*, 1999; Rzhhanov *et al.*, 2007). These systems reduce  
128 the inherent limitations of scuba divers because they can reach deeper depths and  
129 provide longer underwater time, thereby increasing the possibility of carrying out more  
130 extensive surveys at greater depth ranges. In shallow areas, the use of ROVs can be also  
131 very useful as they allow surveying a great number of sampling stations in the same  
132 day, which is not possible by scuba diving, thus reducing the temporal variability and  
133 costs of the surveys. This advantage can be even more interesting in highly  
134 hydrodynamic coastal regions, such as the Cantabrian Sea (Castanedo *et al.*, 2006;  
135 Valencia *et al.*, 2004), where the number of subtidal surveying available days can be  
136 very limited.

137

138 Finally, the use of non-destructive sampling methods, included in the recommendations  
139 of the International Council for the Exploration of the Sea (ICES, 2001), assumes less  
140 environmental damage and absence of laboratory work, thereby simplifying data  
141 processing and notably reducing the total monitoring costs (Ballesteros *et al.*, 2007;  
142 DEFRA, 2004; García-Castrillo *et al.*, 2000). Non-destructive sampling methods in  
143 underwater surveys require fast visual assessments that usually cannot allow for detailed  
144 taxonomical identifications. In this sense, the level of taxonomic detail required in the  
145 studies should be taken into account based on the pursued objectives. Since Ellis (1985)  
146 introduced the concept of taxonomic sufficiency, many studies have demonstrated that,  
147 in some cases, identification of organisms to higher taxonomic levels, such as family or

148 order, is sufficient to achieve the desired objectives (Díez *et al.*, 2010; Ferraro and Cole,  
149 1990; Puente and Juanes, 2008; Somerfield and Clarke, 1995; Warwick, 1988a, 1988b).

150

151 The assessment of the CFR index is based in an ecological approach that does not  
152 require a precise taxonomical identification of macroalgae species and which  
153 application should be carried out over extensive survey areas. Hence, considering all the  
154 above mentioned aspects, the use of underwater videomosaics as large subtidal  
155 sampling units, combined with the use of GIS applications for the identification and  
156 quantification of main macroalgae species, and the application of the CFR index (Juanes  
157 *et al.*, 2008), might be a low-cost and effective strategy for the rapid assessment of  
158 subtidal macroalgae assemblages in order to carry out extensive management or  
159 monitoring works. In this sense, one of the main aspects that should be solved is the  
160 minimum sampling area required for accurate and reliable results.

161

162 According to these guidelines, the aim of this work is to assess the suitability of using  
163 seafloor video mosaics for the application of the CFR index in subtidal areas. For this  
164 purpose, two specific objectives are established: i) to analyze the sensitivity and accuracy  
165 of the estimation of both the indicators that compose the CFR index (characteristic macroalgae  
166 coverage, fraction of opportunistics and characteristic macroalgae richness) and the index itself  
167 using different sampling surfaces and ii) to validate the obtained results through direct  
168 applications of the CFR index in the field.

169

## 170 **2. Material and Methods**

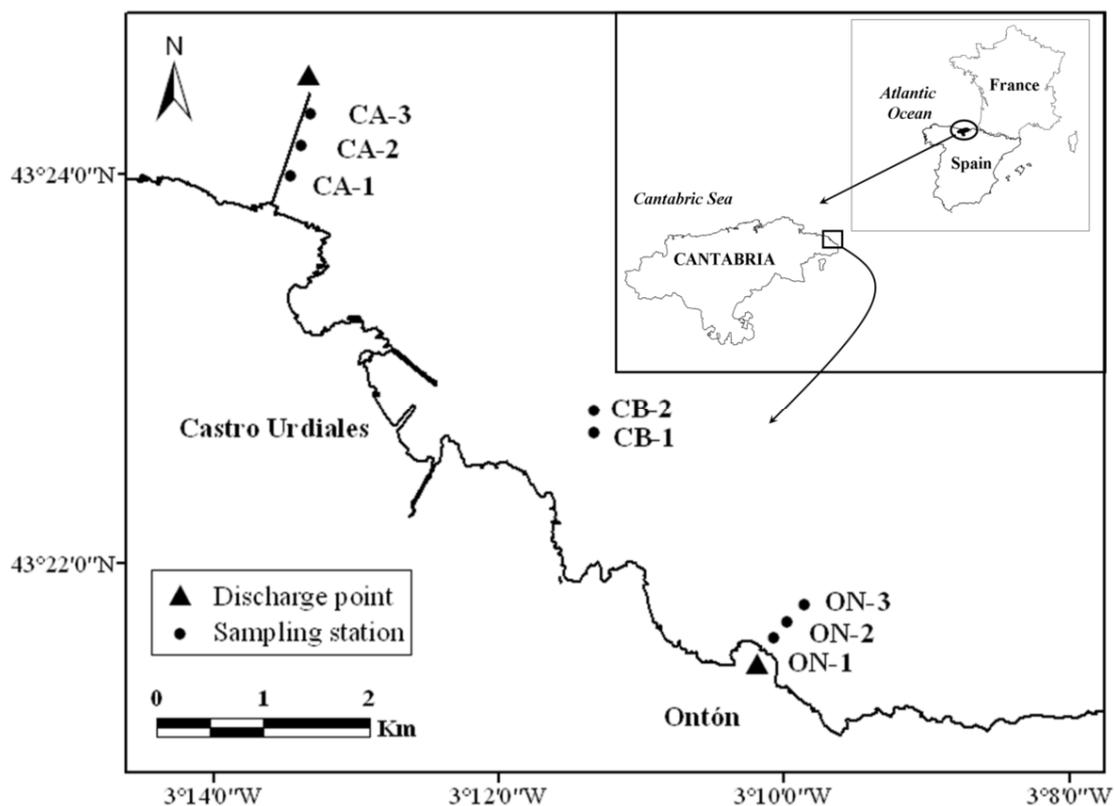
171

### 172 *2.1. Study Area*

173

174 The study was carried out in the summer of 2011 at three sites located on the coast of  
175 Cantabria (N. Spain) (Figure 1). At the first site, Castro (CA), an urban effluent is  
176 discharged through a submarine outfall. The second place, Ontón (ON), is located near  
177 the industrial effluent of a fluoride factory that discharges directly to the intertidal zone.  
178 The third site, Callejos de Bamboa (CB), is located between Castro and Ontón. Three  
179 sampling stations were established in Castro and Ontón and two were established in  
180 Callejos de Bamboa. The stations were classified according to three depth ranges;  
181 shallow (S), between 10-15 m depth, was only represented by ON-1 station, medium

182 (M), between 15-20 m, was represented by CA-1, CB-1 and ON-2, and deep (D),  
183 between 20-25 m, was represented by CA-2, CA-3, CB-2 and ON-3.  
184



185  
186 **Figure 1.** Location of the sampling stations and the discharge points at each studied site  
187 on the coast of Cantabria (N. Spain).

188  
189 *2.2. Survey methodology*

190  
191 At each station, two to three transects of 5 to 20 m length and 1 m wide were video  
192 recorded by scuba divers over stable rocky substrates that were suitable for macroalgae  
193 colonization. At the beginning of each transect, a 50 x 50 cm square was set to provide a  
194 real scale of the final mosaics. In addition, the CFR index (Juanes *et al.*, 2008) was  
195 visually applied *in situ* by skilled divers over the whole area of each transect, according  
196 to the last version accepted in the European Intercalibration process for the Water  
197 Framework Directive implementation (Guinda *et al.*, unpublished; JRC, 2011).

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200

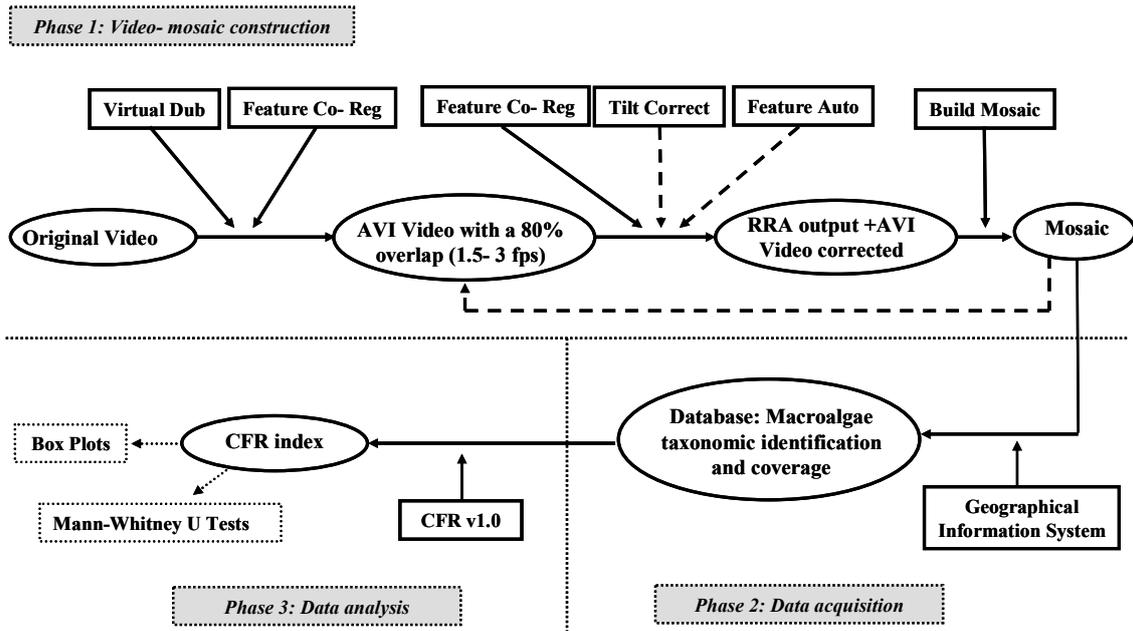
201 2.3. *Mosaic construction*

202

203 In order to create landscape video-mosaics from the recorded transects, the number of  
204 frames of the underwater videos were reduced to get an overlap between consecutive  
205 frames about 80% (1.5-3 frames per second approximately), thus reducing the time  
206 required for the mosaic construction process. The mosaic construction programs used  
207 were developed at the Center for Coastal and Ocean Mapping (CCOM) of the  
208 University of New Hampshire (Rzhanov *et al.*, 2000). The process comprises four major  
209 stages that were run iteratively to get the most visually consistent results (Figure 2). The  
210 first stage established common linking points between successive pairs of frames using  
211 the *Feature Co-Reg* application. As a result, an output RRA file was generated with the  
212 registration parameters. Sometimes, some failures in finding relative homography  
213 occurred; in those cases, linking points were manually chosen between different pairs of  
214 frames using the *Feature* application. The information about these corrected linking  
215 points was saved in the original RRA file. Moreover, the tilt, pitch and roll of the  
216 camera were compensated in the mosaic-building process by the *Tilt Correct*  
217 application. The fourth stage created the video mosaic of the imaged area using the  
218 *Build Mosaic* application. If the obtained mosaic was not satisfactory, then the process  
219 was iteratively repeated until a satisfactory mosaic was obtained (Figure 3A).

220

221



222

223 **Figure 2.** Stages of the methodology followed for the application of the CFR index  
 224 using video-mosaics. Dashed lines represent processes which are not mandatory for the  
 225 process.

226

227 *2.4. Data acquisition*

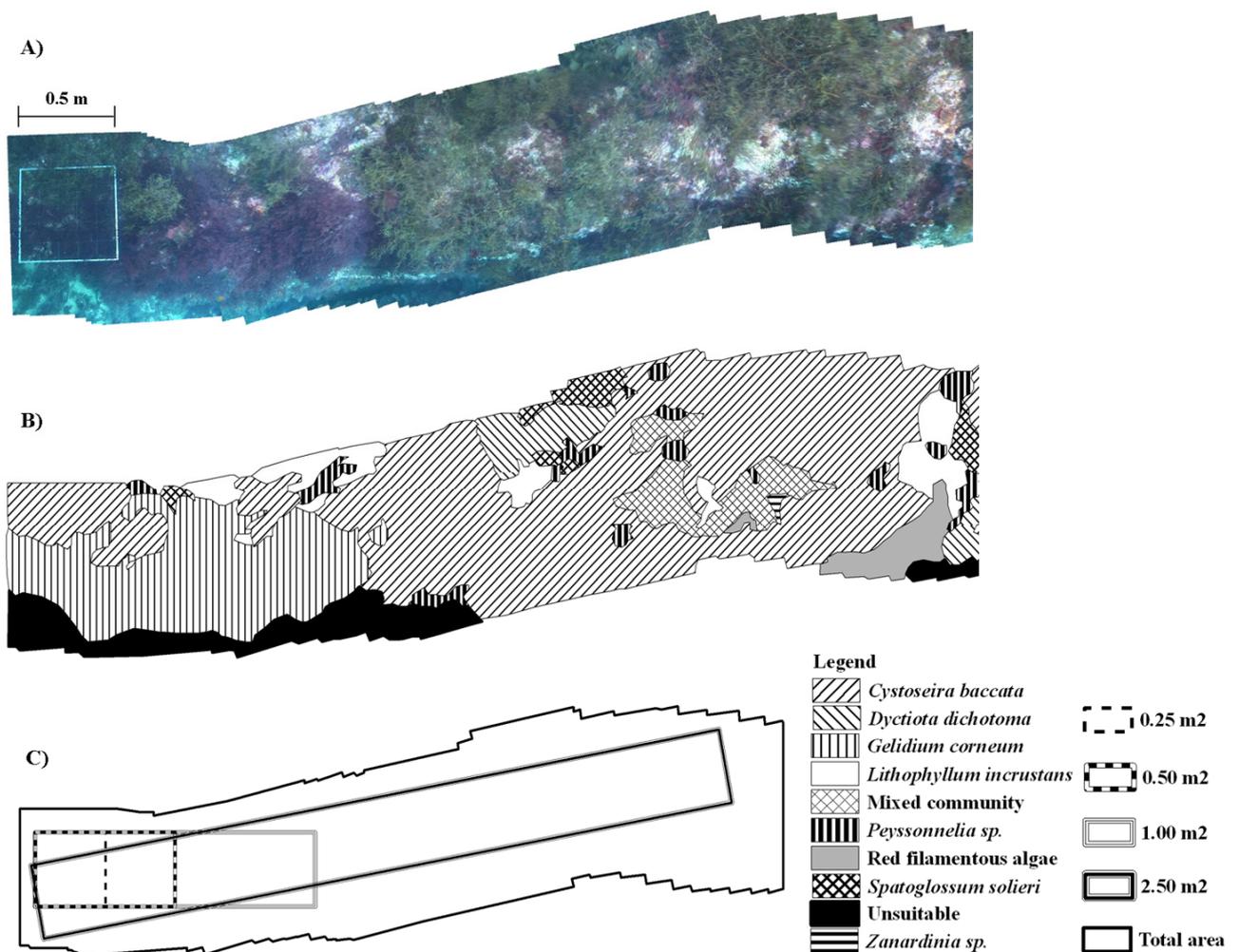
228

229 Seafloor video-mosaic images were geo-referenced, incorporated into a Geographical  
 230 Information System (ArcGis v10.0) and adjusted to the real size, as it is shown in Figure  
 231 3A. At each mosaic, all distinguishable macroalgae species were identified and outlined,  
 232 which produced polygons whose areas were measured and registered (Figure 3B). This  
 233 information was extracted for the whole mosaics and for several subsamples of four  
 234 different sizes (0.25 m<sup>2</sup>, 0.5 m<sup>2</sup>, 1 m<sup>2</sup> and 2.5 m<sup>2</sup>). Depending on the number of  
 235 transects per station (two for deeper ones and three for the shallower) and their lengths,  
 236 the number of subsamples per station ranged between six to nine replicates for the  
 237 smaller sized subsamples (0.25-1 m<sup>2</sup>), and between five to six replicates for the 2.5 m<sup>2</sup>  
 238 subsamples. The subsamples were equidistantly set along each mosaic (Figure 3C).

239

240 The taxonomic identification was carried out to the lowest possible level. However,  
 241 when macroalgae could not be identified to the species level, groups of species and  
 242 mixed communities were also established. Thus, delicately branched red filamentous

243 species with a noticeable size, such as *Heterosiphonia plumosa*, *Bornetia secundiflora*  
 244 and some species of the genera *Polysiphonia* and *Pterosiphonia*, were classified as “red  
 245 filamentous algae”, whereas mixed communities of small-sized algae were identified as  
 246 “low bearing algae”. All of these species are equally considered in the CFR index;  
 247 consequently, the missing taxonomical precision in this case does not affect the final  
 248 results. In the case of Ontón, a high abundance of an epiphytic red filamentous algae  
 249 mat was found covering other algae and most parts of the substrate; hence, it was  
 250 classified as “red opportunistic filamentous” (ROF) due to its high proliferation. A  
 251 small sample of the algae mat was taken to the laboratory and identified as a  
 252 combination of species dominated by *Trailiella intricata* and *Falkenbergia rufolanosa*.



253  
 254 **Figure 3.** A) Fragment (first third) of a surveyed transect with a reference square at the  
 255 beginning of the mosaic. B) Taxonomical identification of different macroalgae species  
 256 using GIS. C) Subsamples of different sizes performed at the beginning of the landscape  
 257 mosaic.

258

## 259 2.5. Data analysis

260

261 Using the coverage values of the identified macroalgae species, the individual indicators  
262 that comprise the CFR index were calculated (characteristic macroalgae coverage (C),  
263 fraction of opportunistic species (F) and characteristic macroalgae richness (R)) and the  
264 CFR index was applied following the same methodological approach mentioned in the  
265 section 2.2. (Juanes *et al.*, 2008; Guinda *et al.*, unpublished; JRC, 2011) (Figure 2). The  
266 calculations were carried out for the different subsamples and for the total mosaics.  
267 Shadows, fauna or substrates that are unsuitable for macroalgae colonization, such as  
268 sediments or small stones, were not considered in the analyses; consequently, these  
269 areas were disregarded in the analyses.

270

271 In order to analyze the existence of significant differences in the results obtained using  
272 different subsample areas and the results obtained using the whole mosaics, Mann-  
273 Whitney U Tests were applied by pairs for each indicator and for the CFR index.

274

275 The results of the CFR index applied over the whole mosaics were finally compared  
276 with the results obtained *in situ* in order to validate the accuracy of the proposed  
277 methodology for the application of the CFR index. The comparison was carried out i)  
278 by the application of a linear regression between the CFR results obtained by the two  
279 procedures (visual and mosaics) and ii) by the application of a weighted kappa analysis  
280 (Monserud and Leemans, 1992) between the quality classifications obtained by the two  
281 procedures.

282

## 283 3. Results

284

285 Nineteen mosaics were constructed from the videos recorded at each of the three studied  
286 sites. Their total lengths ranged from 6.6 to 19 m (average of 13.7 m), which represent  
287 total surveyed areas between 4.9 and 21.1 m<sup>2</sup>.

288

289 In general terms, the shallower stations were characterized by the presence of species  
290 such as *Cystoseira baccata*, *Gelidium corneum* and *Peyssonnelia squamaria*, while the

291 deepest stations were characterized by the presence of *Halopteris filicina*. However, the  
292 higher coverages in all cases corresponded to a combination of low bearing algae, red  
293 filamentous algae and encrusting species. In the case of Ontón, high coverages of  
294 *Trailiella intricata* and *Falkenbergia rufolanosa* (ROF) were also detected. According  
295 to the information summarized in Table 1, *Cystoseira baccata* was the dominant  
296 characteristic macroalgae in the medium-depth stations of Castro (CA-1) and Callejos  
297 de Bamboa (CB-1), reaching an average coverage of 74.1% in Castro. The deepest  
298 stations of Castro (CA-2, CA-3) and Callejos de Bamboa (CB-2) had *Halopteris filicina*  
299 as the dominant characteristic macroalgae species, with coverages that reached 32.3% in  
300 Castro. At the deepest station of Ontón (ON-3) only low coverages of *H. filicina* were  
301 detected. *Calliblepharis ciliata* appeared only at mid depth in Ontón (ON-2), with a  
302 coverage of 8%, and *Codium tomentosum* and *Gelidium corneum* were found only at  
303 shallow and medium-depth stations with sparse coverage values (<5.6%). In addition, a  
304 low coverage of *Corallina* sp. was identified in the shallowest station of Ontón (ON-1).  
305 *Peyssonnelia squamaria* and *Spatoglossum solieri* were the most represented species,  
306 appearing in five stations that correspond to the three sites; however, their coverages  
307 were generally low, except in Callejos de Bamboa, where they reached maximum  
308 coverages of 6% and 4.9%, respectively.

309

310 Apart from the characteristic species, other accompanying species were also identified.  
311 In this case, encrusting macroalgae (*Lithophyllum incrustans* and/or *Mesophyllum*  
312 *lichenoides*), red filamentous algae and low bearing algae were the most conspicuous  
313 accompanying groups. Regarding opportunistic species, only Ontón showed important  
314 coverages. The general structure of the macroalgae community in all the stations  
315 surveyed in Ontón was composed mostly by low bearing algae and small patches of  
316 some characteristic species (e.g., *C. ciliata*, *G. Corneum*, *H. filicina*, *P. squamaria*), red  
317 encrusters (specially *M. lichenoides* in ON-1) and red filamentous algae. All of the  
318 above were mostly covered by a thin layer of *T. intricata* and *F. rufolanosa*.

Species/Station	Average Macroalgae Coverage per station (%)							
	CA-1	CA-2	CA-3	CB-1	CB-2	ON-1	ON-2	ON-3
<u>Characteristic macroalgae:</u>								
<i>Calliblepharis ciliata</i> (R)							8,02	
<i>Codium tomentosum</i> (G)	0,23			0,17		0,28		
<i>Corallina sp.</i> (R)						0,04		
<i>Cystoseira baccata</i> (B)	74,06	0,07		15,40				
<i>Dyctiopteris membranacea</i> (B)					0,16			
<i>Dyctiota dichotoma</i> (B)		7,41	3,05	7,95	12,93			
<i>Gelidium corneum</i> (R)	0,29			5,59		1,22		
<i>Halopteris filicina</i> (B)		24,49	32,33	0,31	9,88			1,90
<i>Halydris siliquosa</i> (B)	10,73				0,92			
<i>Laminaria ochroleuca</i> (B)	0,02							
<i>Peyssonnelia squamaria</i> (R)			0,05	5,97	0,35	1,48	0,46	
<i>Spatoglossum solieri</i> (B)	1,55	0,04		2,34	4,90		0,02	
<u>Other macroalgae:</u>								
Red filamentous algae (R)	4,96	10,28	29,35	1,20	17,30		0,50	2,84
<i>Lithophyllum incrustans</i> (R)	2,09	3,88	5,12	13,34	5,98		1,55	1,92
<i>Mesophyllum lichenoides</i> (R)		1,05				26,15		
Encrusting <i>Peyssonnelia</i> (R)	0,28				0,77			
Low bearing algae (Mixed)	2,90	27,70	26,27	44,77	22,94	32,51	44,59	46,56
<i>Zanardinia sp.</i> (B)				2,38	2,01	5,80		
<u>Opportunistic species:</u>								
Red Opportunistic Filamentous (R)						32,51	44,46	46,56

320 **Table 1.** Average macroalgae coverage per station corresponding to total transect surfaces (R: Red, B: Brown, G: Green species).

321

322 The results of the indicators that comprise the CFR index and the final CFR values  
323 obtained at each station are represented by Box plots in the Figure 4. The results include  
324 the values that correspond to the whole mosaic areas and to the different subsample  
325 sizes considered.

326

327 *Characteristic macroalgae coverage* (Figure 4A). The largest coverages of  
328 characteristic macroalgae, ranging from 85% to 95%, were observed at the medium  
329 depth station of Castro (CA-1), whereas the lowest coverages, ranging from 1.5% to  
330 2.1%, were observed at the deepest station of Ontón (ON-3). At Castro and Callejos de  
331 Bamboa, the largest coverages were observed at medium depths (CA-1 and CB-1), with  
332 values of 86.9% and 37.7%, respectively, taking into account the whole mosaics areas.  
333 The deepest stations of Callejos de Bamboa and Castro (CB-2, CA-2 and CA-3) showed  
334 similar results, ranging from 29% to 35.4%, respectively. In Ontón, characteristic  
335 macroalgae coverage was highest (8.5%) at medium depth station (ON-2). The  
336 variability among subsamples is higher for the smallest areas (0.25 m<sup>2</sup>) and it decreases  
337 with increased sample size. Whereas the average coverage values are not very different  
338 among different sample sizes, the results obtained among subsamples of the same  
339 mosaic vary enormously (e.g. between 10.6% and 100% for the 0.25 m<sup>2</sup> subsamples in  
340 CB-2). At Ontón, where coverage values are very small, these differences are not so  
341 high, and at ON-3 the coverage values range between 0% and 9.72% among the 0.25 m<sup>2</sup>  
342 subsamples. CA-1 constitutes a special case because the variability of the smallest  
343 subsamples is very small (90.7% to 100%), whereas the highest variability is found  
344 among the 1m<sup>2</sup> subsamples (58.6% to 99.2%).

345

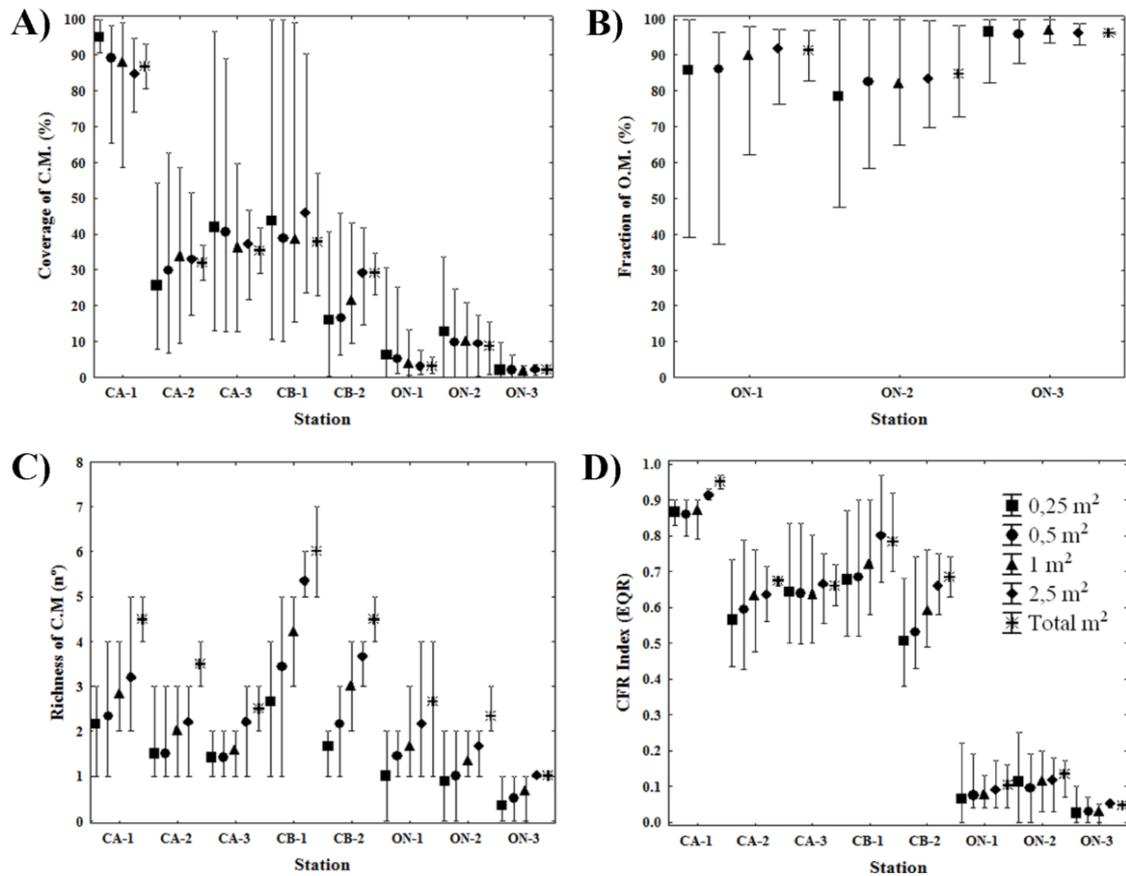
346 *Fraction of Opportunistic species* (Figure 4B). At Ontón, high coverages of  
347 opportunistic species (30-50%) were found, which, considering the low characteristic  
348 macroalgae coverage values obtained in these stations, corresponded to average fraction  
349 of opportunistic values that range from 78.5% to 96.4%. The highest fractions of  
350 opportunistics are observed in ON-3, associated with the smaller characteristic  
351 macroalgae coverages. Just as with coverage values, the variability of the results is  
352 highest for the smaller sample areas (0.25m<sup>2</sup>) and decrease with the increased sample  
353 size.

354

355 *Characteristic macroalgae richness* (Figure 4C). Considering the total area of the  
356 mosaics, the highest characteristic macroalgae richness values were found at CB-1, with  
357 7 species, followed by CB-2 and CA-1, with 4 to 5 species. Deep stations from Castro  
358 (CA-2 and CA-3) obtained 3-4 species, slightly better results than ON-1 and ON-2 (2-3  
359 species). Deep station from Ontón (ON-3) obtained the worst results, with only one  
360 species on average. In this case, the effect of sample size is very important, because  
361 bigger sample sizes obtained markedly higher richness values at all the stations. The  
362 variability among samples of the same size is not as high because the obtained richness  
363 values are low in all cases and negligible when compared to the differences observed  
364 among different sample sizes.

365

366 *CFR index* (Figure 4D). The results of the CFR index showed two clear groups of  
367 stations; a first group composed of Castro and Callejos de Bamboa stations and a  
368 second group that relates to Ontón stations. The first group, with average CFR values  
369 for the total area of the mosaics that range from 0.66 to 0.95, corresponds to the stations  
370 with the better qualities of macroalgae assemblages. According to the classification  
371 system established for the application of the CFR index under the Water Framework  
372 Directive (Juanes *et al.*, 2008; Guinda *et al.*, unpublished; JRC, 2011), these values  
373 correspond to quality classes between “high” and “good”. The stations of the second  
374 group, with average CFR values below 0.15 in all cases, are classified as having a “bad”  
375 quality. In the three sites, deeper stations obtained lower CFR values, mainly associated  
376 to lower characteristic macroalgae coverages. Analyzing subsamples of different areas,  
377 bigger samples produced higher CFR values, associated with higher richness values,  
378 and more accurate results, because of smaller variability in the replicates. As a result,  
379 deeper stations from Castro (CA-2) and Callejos de Bamboa (CB-2) produced different  
380 quality classifications that depended on the size of the samples. In this case, smaller  
381 samples produced “moderate” qualities, whereas larger samples produced “good”  
382 qualities.



383

384 **Figure 4.** Box plots of the results obtained for the different indicators of the CFR index,  
 385 with different subsample sizes. A) Characteristic macroalgae coverage, B) Fraction of  
 386 opportunistic species, C) richness of characteristic species, D) CFR index.

387

388 The results of the Mann-Whitney U Tests applied by pairs, between subsamples of  
 389 different sizes and the values of the total area of the mosaics, for each of the indicators  
 390 of the CFR index, are shown in Table 3. Five stations (CA-1, CA-2, CB-1, CB-2, ON-2)  
 391 show significant differences in richness values for one or more sample sizes with  
 392 respect to the total areas. These differences are larger in the smallest sample sizes and  
 393 decrease as sample size increases. Thus, in the case of 1 m<sup>2</sup> sample sizes, only two  
 394 stations (CB-1 and ON-2) show significant differences with respect to the total area and,  
 395 in the case of 2.5 m<sup>2</sup> samples, no significant differences are observed for any station.  
 396 CFR index results are significantly different only for CA-1. These differences were  
 397 detected even at 1 m<sup>2</sup> sample size, but they disappear at the 2.5 m<sup>2</sup> sample size. No  
 398 significant differences have been observed in the coverages of characteristic macroalgae  
 399 and in the fraction of opportunistics.

400

Station	Coverage of C.M.				Fraction of opportunistic species			
	0,25 m <sup>2</sup>	0,5 m <sup>2</sup>	1 m <sup>2</sup>	2,5 m <sup>2</sup>	0,25 m <sup>2</sup>	0,5 m <sup>2</sup>	1 m <sup>2</sup>	2,5 m <sup>2</sup>
CA-1	0,182	0,505	0,739	1,000				
CA-2	0,505	0,739	1,000	1,000				
CA-3	1,000	1,000	0,770	0,699				
CB-1	0,926	0,518	0,644	0,439				
CB-2	0,317	0,317	0,317	1,000				
ON-1	0,780	0,644	0,926	0,796	0,926	0,644	0,926	1,000
ON-2	0,926	0,926	0,644	0,796	0,926	0,926	0,644	0,796
ON-3	0,287	0,495	0,737	1,000	0,287	0,495	0,737	1,000

Station	Richness of C.M				CFR index			
	0,25 m <sup>2</sup>	0,5 m <sup>2</sup>	1 m <sup>2</sup>	2,5 m <sup>2</sup>	0,25 m <sup>2</sup>	0,5 m <sup>2</sup>	1 m <sup>2</sup>	2,5 m <sup>2</sup>
CA-1	<b>0,039*</b>	0,062	0,080	0,232	<b>0,035*</b>	<b>0,039*</b>	<b>0,039*</b>	0,094
CA-2	<b>0,049*</b>	<b>0,049*</b>	0,084	0,105	0,182	0,182	0,317	0,699
CA-3	0,078	0,078	0,102	0,676	0,769	0,769	0,769	0,699
CB-1	<b>0,010*</b>	<b>0,018*</b>	<b>0,019*</b>	0,248	0,166	0,116	0,229	0,606
CB-2	<b>0,032*</b>	<b>0,039*</b>	0,049	0,124	0,096	0,182	0,317	0,739
ON-1	0,086	0,159	0,232	0,581	0,306	0,644	0,518	0,796
ON-2	<b>0,013*</b>	<b>0,009*</b>	<b>0,031*</b>	0,123	0,781	0,518	0,518	0,796
ON-3	0,127	0,237	0,378	1,000	0,287	0,495	0,737	1,000

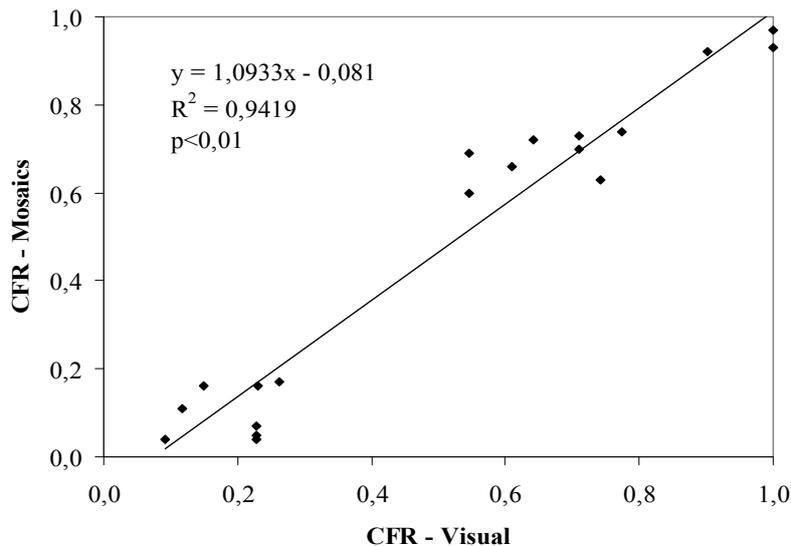
401

402 **Table 3.** Listing of the p values obtained in the Mann-Whitney U Tests by pairs, carried  
403 out among the results obtained for the indicators of the CFR index at different  
404 subsample sizes and the values corresponding to the total areas, for each station. \*  
405 Significant differences (p<0.05).

406

407 As observed in Figure 5, the results of the CFR index obtained by visual applications *in*  
408 *situ* and the CFR results obtained over the mosaics were highly correlated ( $R^2=0.94$ ;  
409  $p<0.01$ ). Regarding the assignation of quality classes, the percentage of agreement was  
410 63.2% and the resulting weighted kappa value was 0.92, which corresponds to an  
411 “excellent” prediction level according to Monserud and Leemans (1992).

412



413

414 **Figure 5.** Correlation between the results obtained for the CFR index by direct *in situ*  
 415 applications (X axis) and by indirect applications using mosaics (Y axis). According to  
 416 the last version of the CFR index accepted in the European Intercalibration process for  
 417 the Water Framework Directive implementation, the boundaries among quality classes  
 418 are the following: High-Good: 0.81; Good-Moderate: 0.6; Moderate-Poor: 0.4, Poor-  
 419 Bad: 0.2) (Guinda *et al.*, unpublished; JRC, 2011).

420

#### 421 **4. Discussion**

422

423 The results obtained in this work demonstrate the suitability of the landscape video-  
 424 mosaicing techniques for the application of the CFR index in subtidal areas. This  
 425 methodology allows obtaining accurate assessments of the composition of biological  
 426 communities over extensive subtidal areas in a fast, easy and economical way, hence, it  
 427 could be considered as an appropriate system for the monitoring and management of  
 428 these environments.

429

430 As stated by Carpentier *et al.* (2011), a method for the assessment of subtidal  
 431 macroalgae communities must be simple and rapid to perform. It must also allow divers,  
 432 in some cases unskilled in algal taxonomy, to carry out reproducible and easy  
 433 assessments and must synthesize the environmental data in order to advise managers on  
 434 the decision-making process (Juanes *et al.*, 2008). The proposed non-destructive method  
 435 can be a useful technique for the extensive assessment and monitoring of macroalgae  
 436 communities and to improve existing knowledge about subtidal communities by

437 reducing to a great extent the costs associated to open-sea surveys. These reductions are  
438 achieved by increasing the number of sampling sites and the extension of the surveyed  
439 areas, while reducing the diving times and the number of sampling days. In addition, the  
440 reduction of sampling days may reduce also the temporal variability during the study  
441 period. These advantages can be very interesting in highly hydrodynamic coastal  
442 regions, such as the Cantabrian Sea (Castanedo *et al.*, 2006; Valencia *et al.*, 2004)  
443 where the number of days available to carry out subtidal surveys may be very limited.  
444 Furthermore, mosaicing techniques can also be applied to videos recorded by Remotely  
445 Operated Vehicles (ROVs), thus extending its capacities to deep-sea research studies  
446 (Rzhanov *et al.*, 2007) and reducing even more the number of sampling days required to  
447 cover a higher number of sampling stations, which is not possible by scuba diving.  
448 Other type of extensive studies that could be carried out with this technology might be  
449 associated with the quantification of marine resources (e.g. *Gelidium corneum*), or with  
450 the trend analyses of benthic communities, such as the Laminarians retreat in the  
451 Cantabrian region (Fernández, 2011; Díez *et al.*, 2012b).

452

453 If we analyze the precision and accuracy of the obtained results, clear differences are  
454 found among the three indicators that compose the CFR index and, consequently, in the  
455 index itself. In the case of characteristic macroalgae coverage and fraction of  
456 opportunistics, the obtained results did not show significant differences for any of the  
457 sampling-unit sizes used, what indicates a good accuracy of the method in these cases.  
458 However, the results obtained with bigger sampling-unit sizes showed smaller  
459 variability in the results, thus increasing the precision of the assessments. On the other  
460 hand, richness and CFR index showed also a smaller variability when using bigger  
461 sampling-unit sizes (more precision), but the accuracy of the smaller samples was  
462 lower, what produced significant differences in some cases.

463

464 These effects can be explained by the heterogeneous “patchiness” of macroalgae  
465 aggregations, which requires large sampling areas or elevated number of samples to  
466 obtain a good representation of their real distribution. In this sense, the number of  
467 replicates used in this study for the estimation of characteristic macroalgae coverage and  
468 fraction of opportunistics was enough to obtain appropriate results with any of the tested  
469 sample surfaces. However, richness increases in direct relation to the variety of habitats  
470 sampled, therefore, it is obvious that higher richness values might be expected from

471 larger sampling areas (Walther *et al.*, 1995; Condit *et al.*, 1996; Gotelli and Colwell,  
472 2001). Thus, richness is nearly always underestimated in inventories because of its  
473 strong dependency on sampling effort (Melo *et al.*, 2007). A long-standing question for  
474 ecologists has been to estimate the minimum sampling area required to obtain accurate  
475 richness values for a community (Evans *et al.*, 1955; Keating *et al.*, 1998; Melo *et al.*,  
476 2003). As it can be seen in the present study, the lower accuracy obtained with the  
477 smaller samples was mainly due to the underestimation of the richness values and the  
478 subsequent underestimation of the CFR index. In both cases, the accuracy and precision  
479 increased with the increasing sample sizes. In this sense, the Mann-Whitney test results  
480 (Table 3) indicated that the differences in richness values were not significant for any of  
481 the stations for sample areas larger than 2.5 m<sup>2</sup>. Therefore, this sample area (2.5 m<sup>2</sup>)  
482 could be considered as the minimum sample size recommended for this kind of study. It  
483 must be also considered that the resolution limitations of the camera can difficult the  
484 identification of some species and could produce lower richness values because of  
485 missing some characteristic species. Furthermore, there is a “canopy effect” where  
486 frondose algae camouflage lower strata of bare rock, encrusting algae and turf forming  
487 algae (Álvaro *et al.*, 2008; Parravacini *et al.*, 2009). These limitations affect the final  
488 assessment of the CFR index, as lower richness values produce lower CFR values.

489

490 The same limitations can be applied to opportunistic species, because they are usually  
491 low-bearing algae that might be very difficult to identify. The exception would be the  
492 green opportunistic algae, such as *Ulva spp.* that can be easy to identify to genus level.  
493 This would be enough for the application of the CFR index. However, the identification  
494 of red or brown opportunistics, such as certain ceramiaceae or ectocarpaceae, can be  
495 very difficult to determine from photographs, videos or mosaics. In the present study,  
496 the identification of the red opportunistic filamentous *T. intricata* and *F. rufolanosa* was  
497 carried out in the laboratory after a collection of a quantitative sample. The great  
498 abundance of this species covering most of the seafloor motivated its sampling and  
499 identification in the laboratory. However, small abundances of this kind of species  
500 could have been disregarded in Castro and Callejos de Bamboa, where no opportunistic  
501 species were identified.

502

503 Despite the inconveniences mentioned above, the results obtained by the application of  
504 the CFR index using mosaics were highly correlated with the results obtained by the

505 direct applications *in situ*. In addition, the assignation of quality classes obtained an  
506 “excellent” agreement level in the weighted kappa analysis, thus demonstrating the  
507 suitability of the proposed methodology. It must be mentioned that the mosaicing  
508 method has demonstrated the same capacity than the direct application in order to detect  
509 anthropogenic disturbances, covering the whole range of quality categories from “high”  
510 to “bad”. The disagreements observed in the assignations of quality classes occurred in  
511 7 out of 19 assessments (Figure 5), but only 2 of these misclassifications produced an  
512 important error for management purposes (e.g. the application of the WFD), as their  
513 quality assignments corresponded to a “moderate” category in the visual estimation and  
514 to a “good” category in the case of the mosaic application, what constitutes a big  
515 difference in terms of accordance with the WFD requirements accomplishment,  
516 although the difference in terms of CFR values was small. The other 5  
517 misclassifications corresponded to stations located in Ontón which were classified as  
518 “poor” by the visual assessment and as “bad” in the mosaic assessment, what has the  
519 same meaning to the effects of the WFD, as none of them would achieve an acceptable  
520 level.

521

## 522 **5. Conclusions**

523

524 The construction of video mosaics constitute a useful tool for the study of subtidal  
525 environments as they provide a wide vision of the seafloor, with reduced efforts and  
526 costs for the surveys, specially if they are carried out with ROVs. However, any  
527 seafloor video-mosaic application is limited in terms of image resolution and “canopy  
528 effect”, both of which complicates the identification of some species. The CFR index  
529 has been successfully applied to video mosaics that were obtained at three subtidal  
530 zones and the results demonstrate the suitability of this technique for the assessment of  
531 subtidal communities in extensive monitoring studies. A minimum sampling area of 2.5  
532 m<sup>2</sup> is suggested for the application of the CFR index in subtidal areas using the  
533 proposed methodology.

534

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537

538

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540

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548

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